

# **Diffraction: a different window on QCD and the proton structure (an overview for non specialists)**

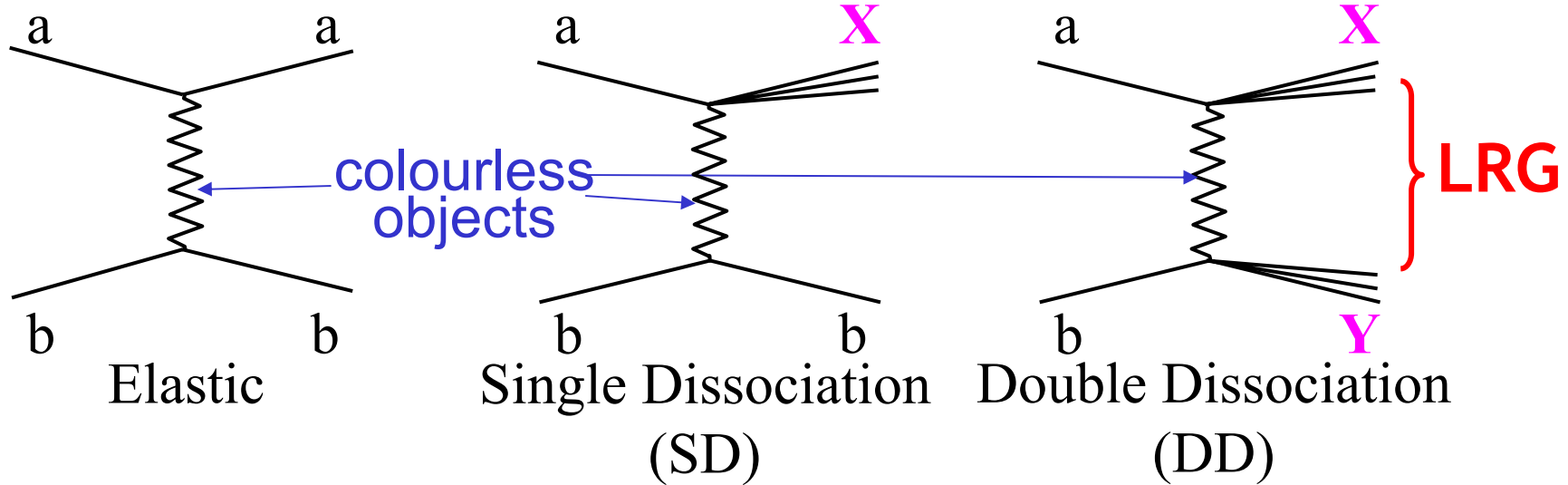
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INFN Torino, Italy**

**Wine & Cheese Seminar, Fermilab,  
Sept 19, 2003**

- 1. Diffraction in terms of quarks, gluons and QCD**
- 2. Diffraction as a tool to probe the proton**
- 3. A look at the future**

# Diffraction in hadron scattering

Diffraction is a feature of hadron-hadron interactions (30% of  $\sigma_{\text{tot}}$ )



- o) Beam particles emerge intact or dissociated into low-mass states. Energy  $\approx$  beam energy (within a few %)
- o) Final-state particles separated by large polar angle (or pseudorapidity,  $\ln \tan(\theta/2)$ ): **Large Rapidity Gap (LRG)**
- o) Interaction mediated by t-channel exchange of object with vacuum quantum numbers (no colour): **the Pomeron**

# Pomeron ?!

Pomeron goes back to the '60s: Regge trajectory, ie a moving pole in complex angular momentum plane. Would like to understand diffraction in terms of quarks, gluons and QCD (need a hard process)

## A worthwhile task:

- Diffraction is a significant part of  $\sigma_{\text{tot}}$
- Elastic part drives  $\sigma_{\text{tot}}$  via optical theorem:  $d\sigma_{\text{el}}/dt|_{t=0} \propto (\sigma_{\text{tot}})^2$
- Novel tool to study the transition between hard (perturbative) and soft (confinement) regions of QCD & low-x structure of the proton

In the last 5-10 years, we learned a lot about diffraction by scattering pointlike probes (electrons) on Pomeron – the same technique used for studying the structure of the proton

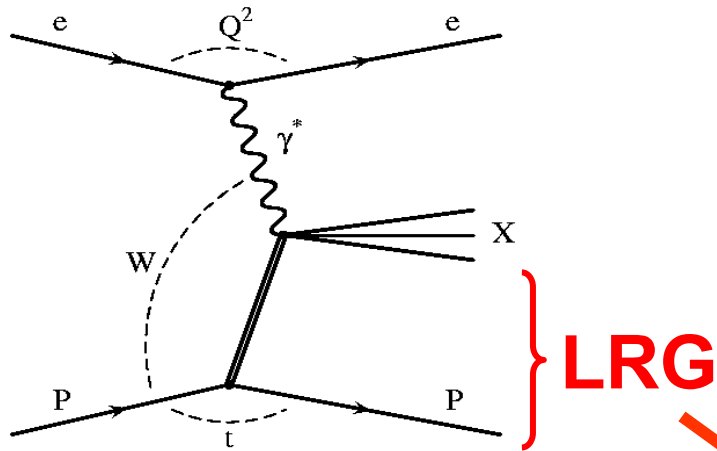
→ now clear that diffraction has a well deserved place in QCD

NB in following will often refer to Pomeron as if it were real particle (it isn't)

# Part I

- **The partonic structure of the Pomeron as probed by a pointlike virtual photon**
- **Diffraction PDFs**
- **Their applicability in ep and pp, p $\bar{p}$  processes**

# Diffractive Deep Inelastic Scattering



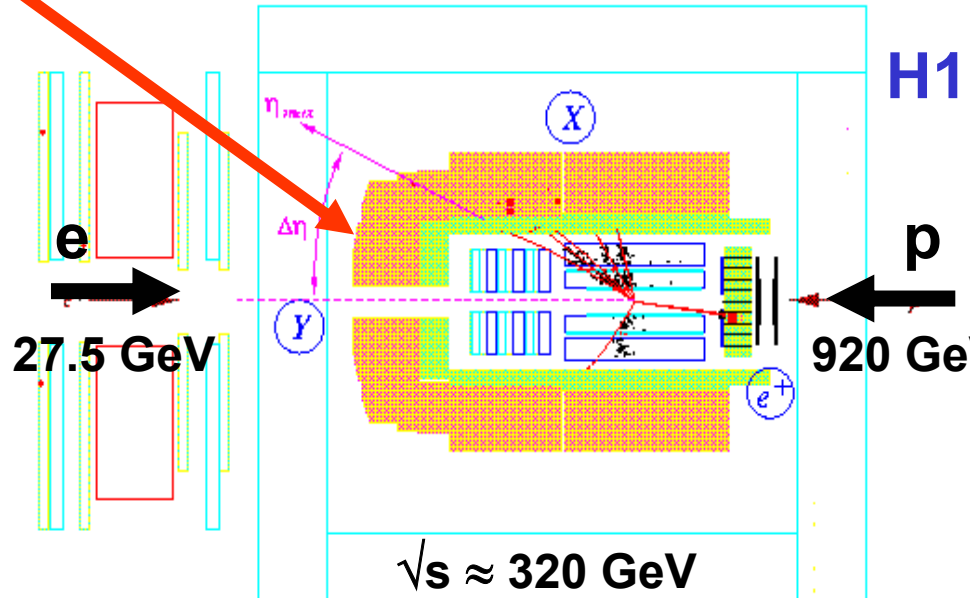
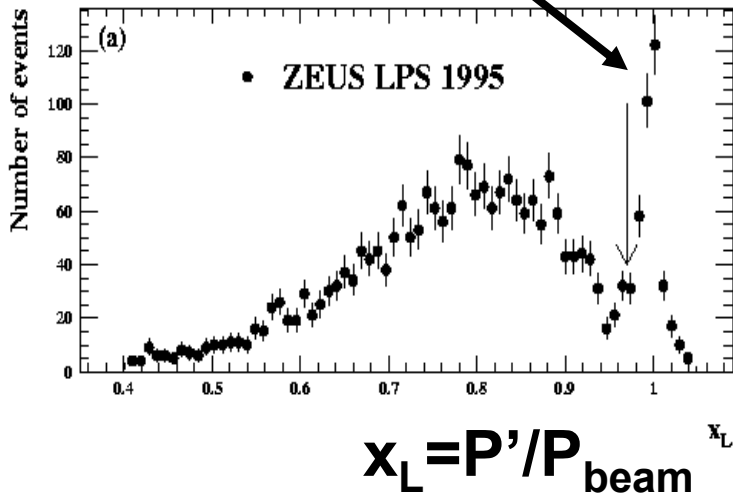
$Q^2$  = virtuality of photon =  
 = (4-momentum exchanged at e vertex)<sup>2</sup>

$t$  = (4-momentum exchanged at p vertex)<sup>2</sup>

$W$  = invariant mass of photon-proton system

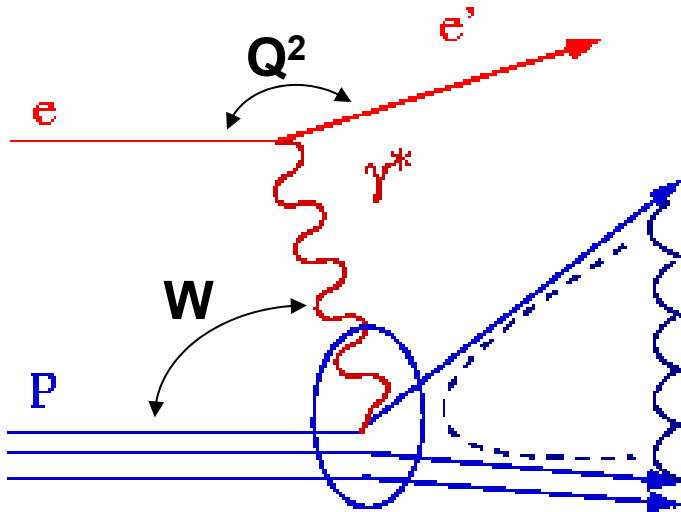
$M_X$  = invariant mass of photon-Pomeron system

Diffractive peak



# Standard Deep Inelastic Scattering

For  $Q^2 \ll M_Z^2$ :



In a frame in which the proton is very fast (Breit frame):

$x$  = Bjorken's variable =  
 = fraction of proton's momentum  
 carried by struck quark  
 $\approx Q^2/W^2$

$W$  = photon-proton centre of mass energy

$y = W^2/s$

$$\frac{d^2\sigma}{dx dQ^2} = \frac{4\pi\alpha^2}{xQ^4} \left\{ 1 - y + \frac{y^2}{2[1 + \underline{R(x, Q^2)}]} \right\} \underline{F_2(x, Q^2)}$$

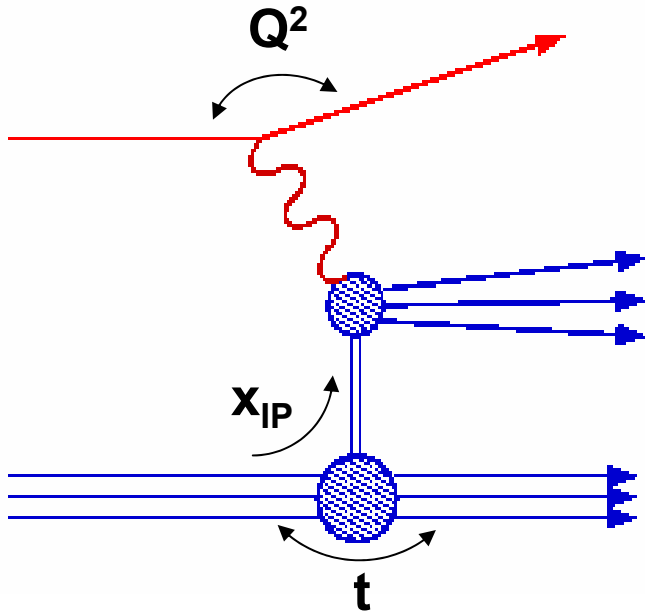
$$F_2 = \sum_i [e_i^2 x f_i(x, Q^2)]$$

$$R = \sigma_L / \sigma_T$$



DIS probes the partonic structure of the proton

# Diffractive Deep Inelastic Scattering



$x_{IP}$  = fraction of proton's momentum taken by Pomeron

=  $\xi$  in Fermilab jargon

$\beta$  = Bjorken's variable for the Pomeron = fraction of Pomeron's momentum carried by struck quark

=  $x/x_{IP}$

$$\frac{d^4\sigma}{d\beta dQ^2 dx_{IP} dt} = \frac{4\pi\alpha^2}{\beta Q^4} \left\{ 1 - y + \frac{y^2}{2(1 + R^{D(4)})} \right\} \frac{F_2^{D(4)}(\beta, Q^2, x_{IP}, t)}{\underline{\hspace{10em}}}$$

Naively, if IP were particle:

$$F_2^{D(4)} \approx f_{IP}(x_{IP}, t) F_2^{POM}(\beta, Q^2)$$

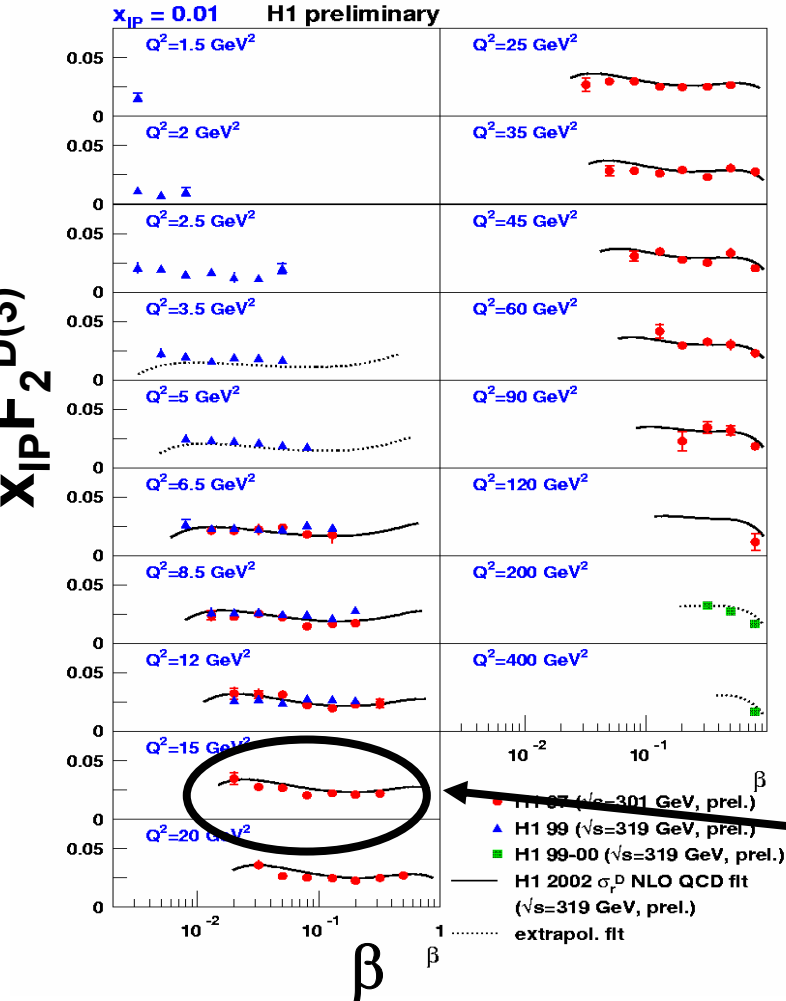
Flux of Pomerons

"Pomeron structure function"<sup>7</sup>

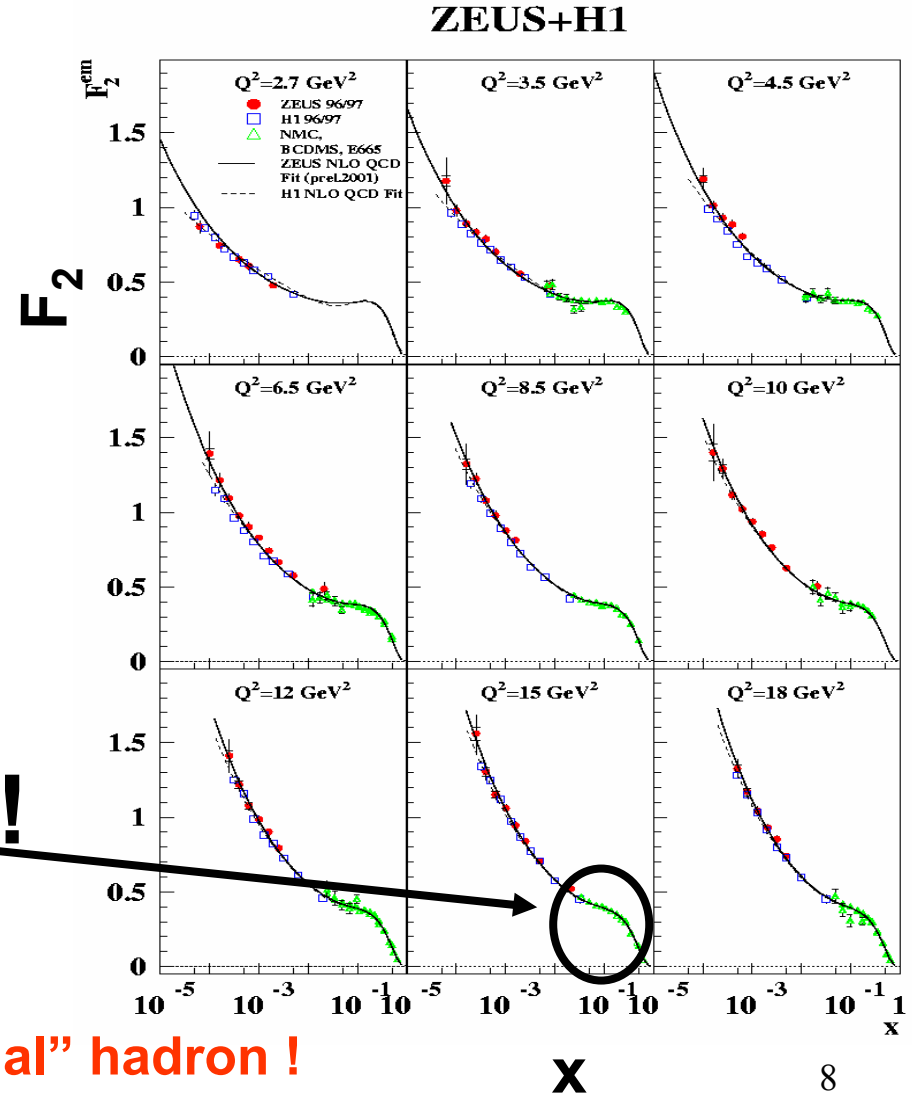
[Ingelman, Schlein]

# Diffractive Structure Function vs $\beta$

Pomeron:



Proton:

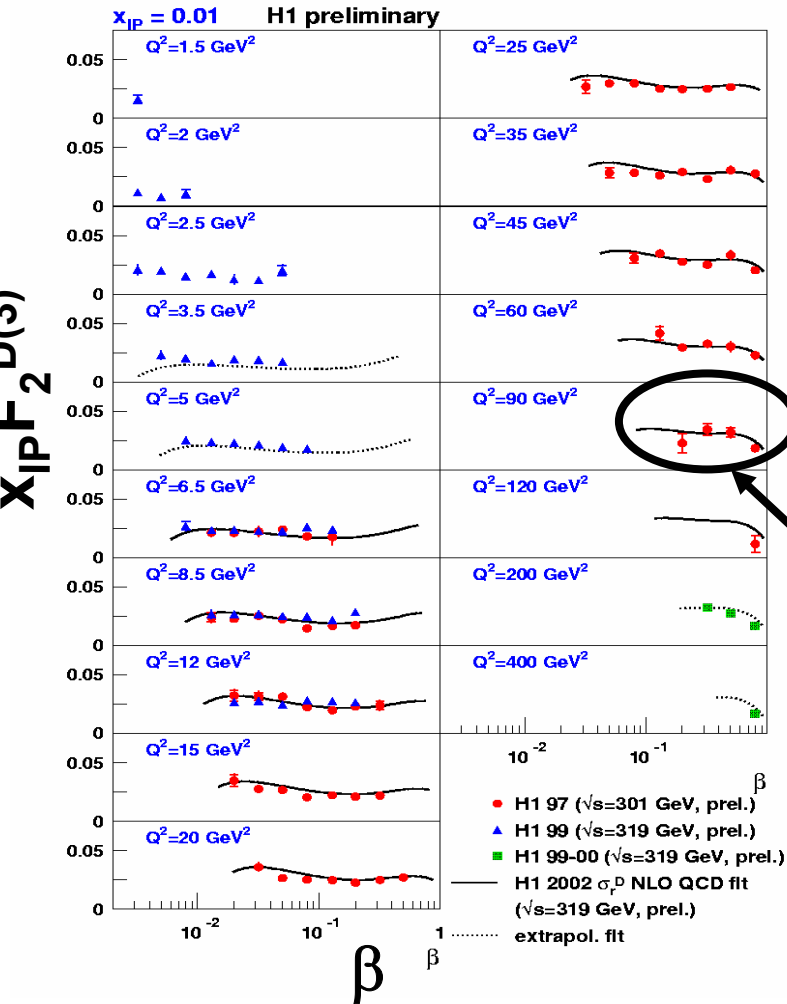


Weak  $\beta$  dependence – not a “normal” hadron !

X

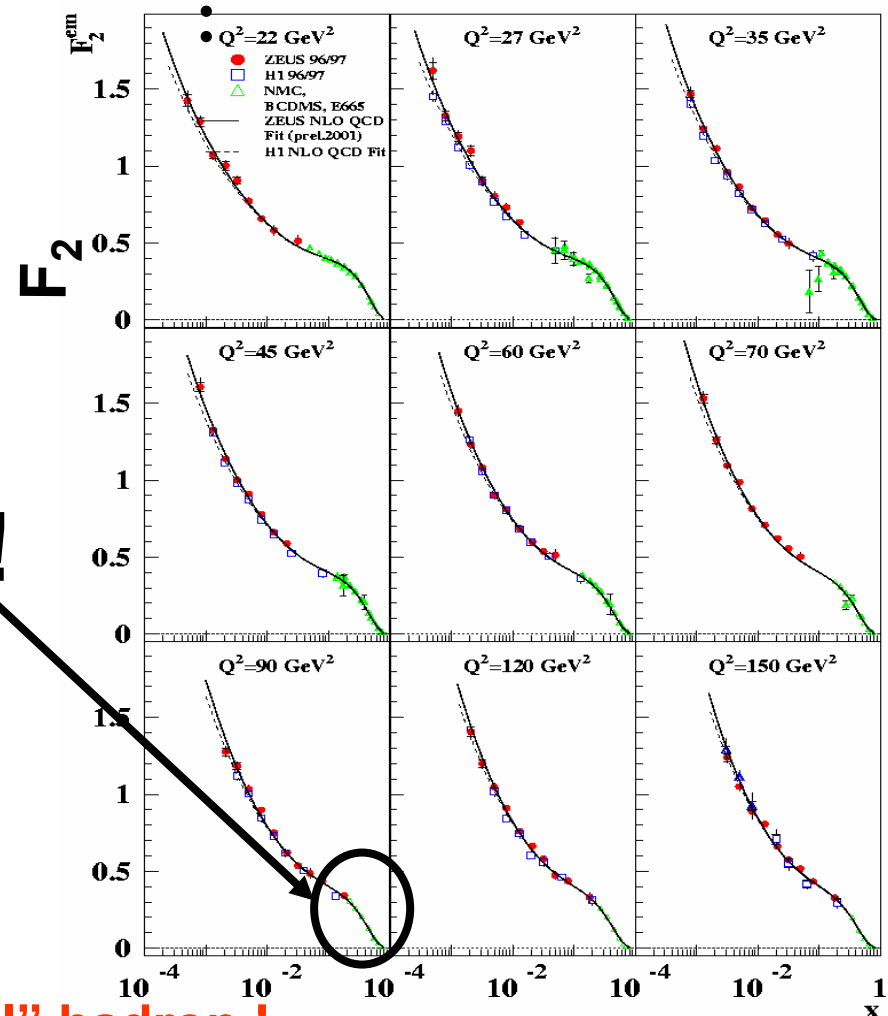
# Diffractive Structure Function vs $\beta$

Pomeron:



Proton

ZEUS+H1

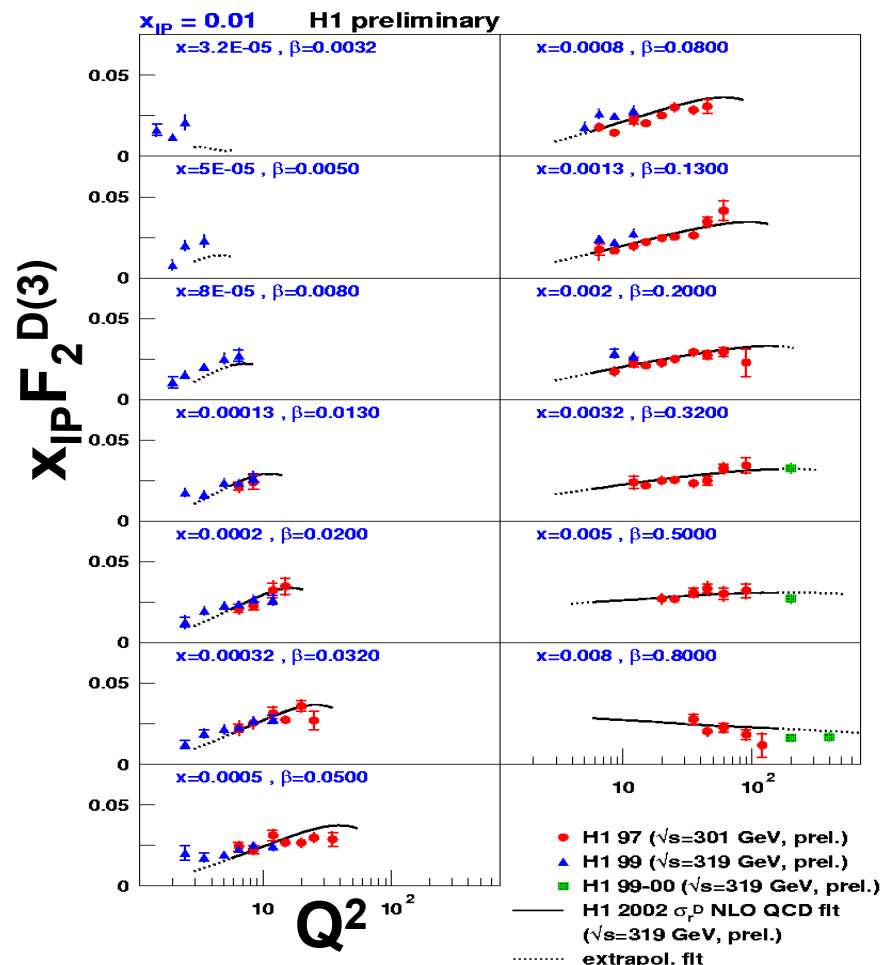


Weak  $\beta$  dependence – not a “normal” hadron !

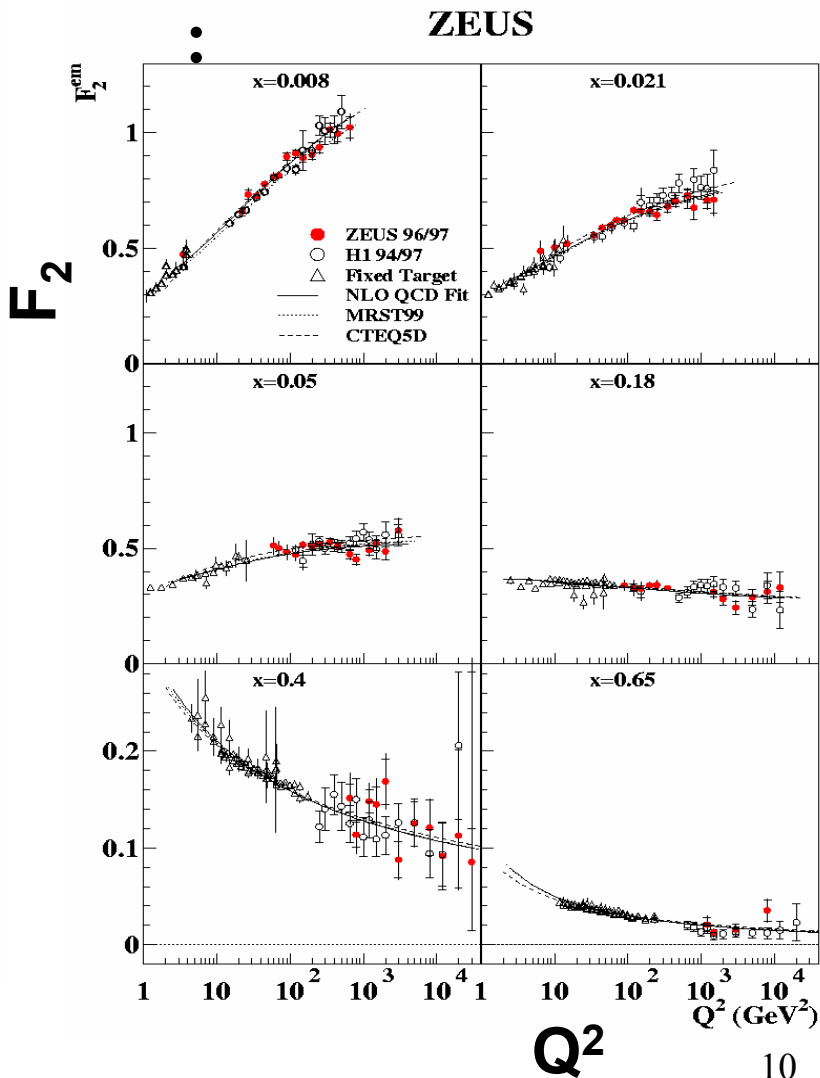
# Diffractive Structure Function vs $Q^2$

Pomeron:

Proton



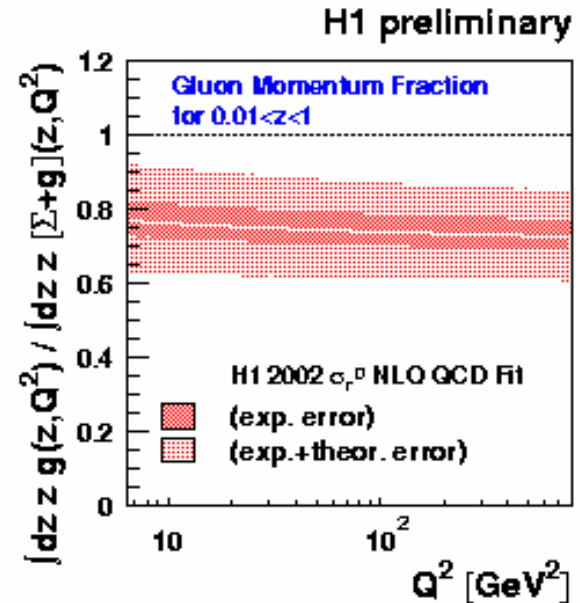
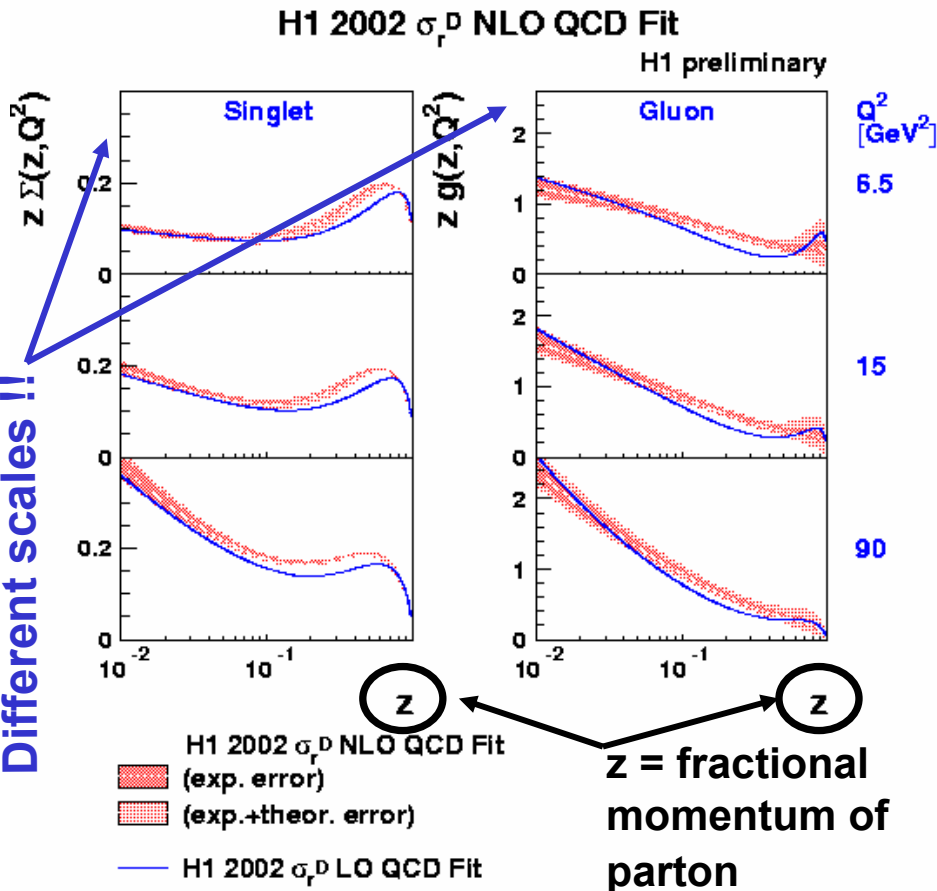
Positive scaling violations:  
lots of gluons !



# Diffractive PDFs

NLO DGLAP fit:

- Parametrise Flavour Singlet (quarks+antiquarks) and Gluons at  $Q^2 = 3 \text{ GeV}^2$
- Evolve with NLO DGLAP and fit



**Gluon dominated: integrated fraction of exchanged momentum carried by gluons  $(75 \pm 15)\%$**

# (Diffractive) hard scattering factorisation

Diffractive PDFs are universal (in DIS): diffractive DIS, like inclusive DIS, is factorisable into a hard part and a soft part [Collins (1998); Trentadue, Veneziano (1994); Berera, Soper (1996)...]:

$$F_2^D \sim f_{i/p}^D \otimes \hat{\sigma}_i$$

universal partonic cross section

diffractive parton distribution functions:  
evolve according to DGLAP

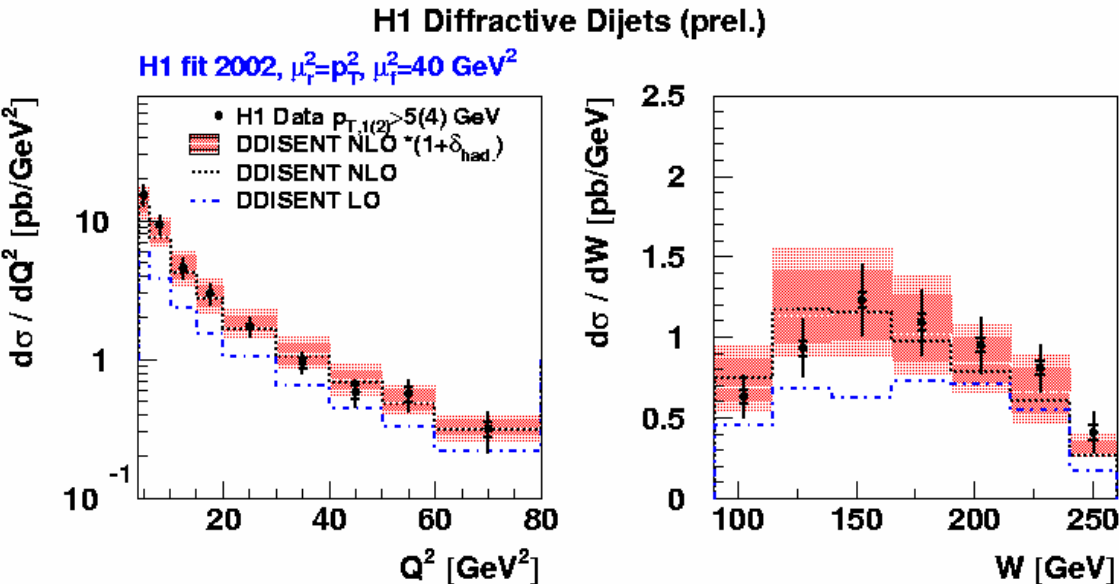
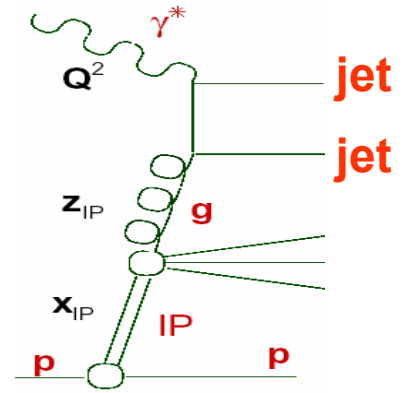
$f_{i/p}^D(z, Q^2, x_{iP}, t)$ : probability to find, with probe of resolution  $Q^2$ , in a proton, parton  $i$  with momentum fraction  $z$ , under the condition that proton remains intact, emerging with small energy loss and momentum transfer given by  $x_{iP}, t$

A new type of PDFs, with same dignity as standard PDFs. Applies when vacuum quantum numbers are exchanged

Rather than IP exchange: probe diffractive PDFs of proton

# Test factorisation in ep events

Use diffractive PDFs extracted from DGLAP fits of  $F_2^D$  to predict the rate of diffractive dijet production



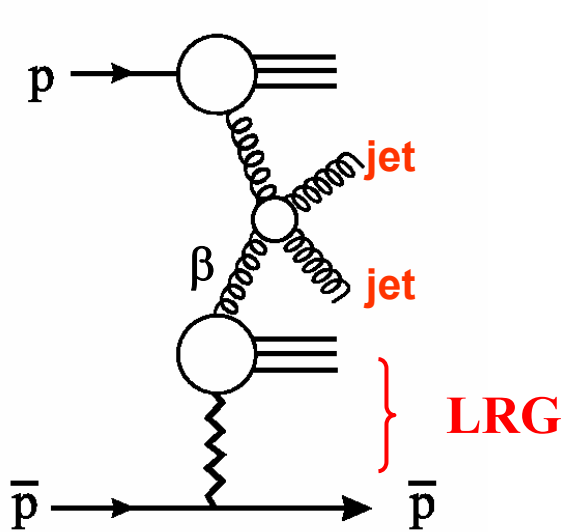
$4 < Q^2 < 80 \text{ GeV}^2$   
 CDF cone algorithm  
 $p_{t, \text{jet } 1(2)} > 5(4) \text{ GeV}$   
 $x_{\text{IP}} < 0.05$

- Normalisation and shape of data described ok
- Same conclusion for charm production

➔ **Hard scattering factorisation works in diffractive DIS**

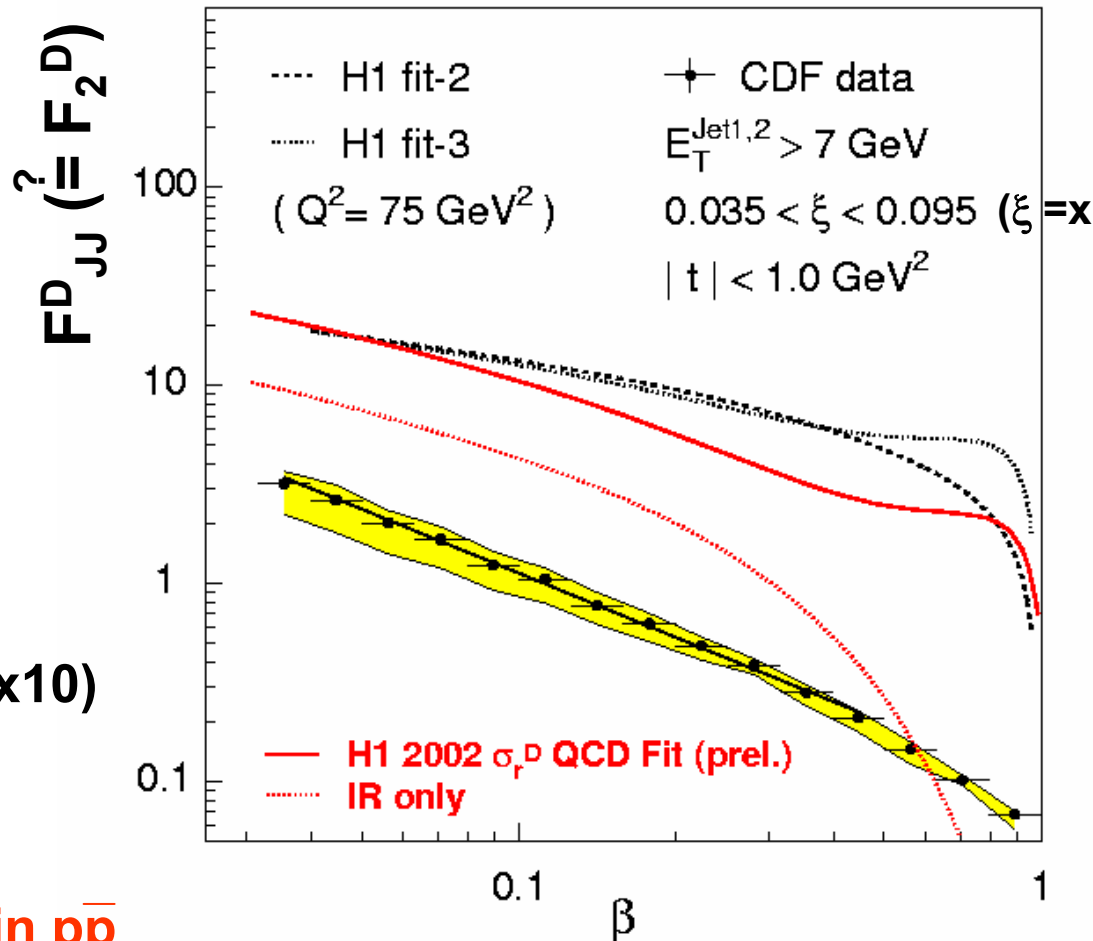
# Test factorisation in $p\bar{p}$ events

Factorisation of diffractive PDFs not expected to hold for  $pp$ ,  $p\bar{p}$  scattering – indeed it does not:



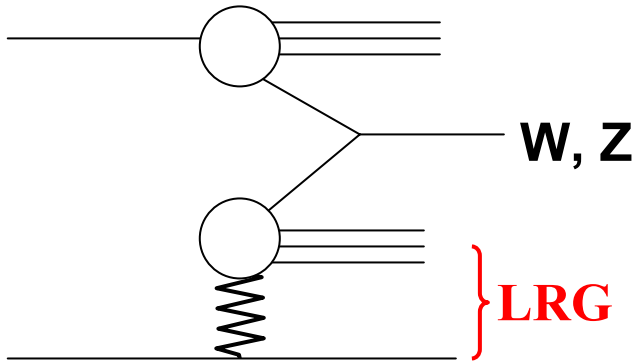
Normalisation discrepancy (x10)  
(depends on  $\sqrt{s}$  [CDF, D0])

→ **Hard scattering factorisation violated in  $p\bar{p}$**

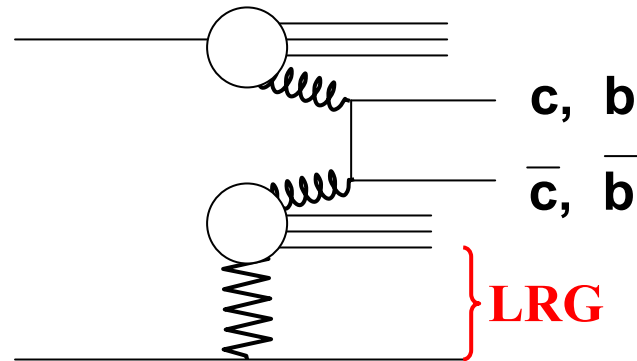


# Test factorisation in $p\bar{p}$ events (II)

In addition to diffractive production of di-jets, measured diffractive production of **W, Z-bosons** (CDF, D0); **J/ $\psi$ , b-mesons** (CDF)



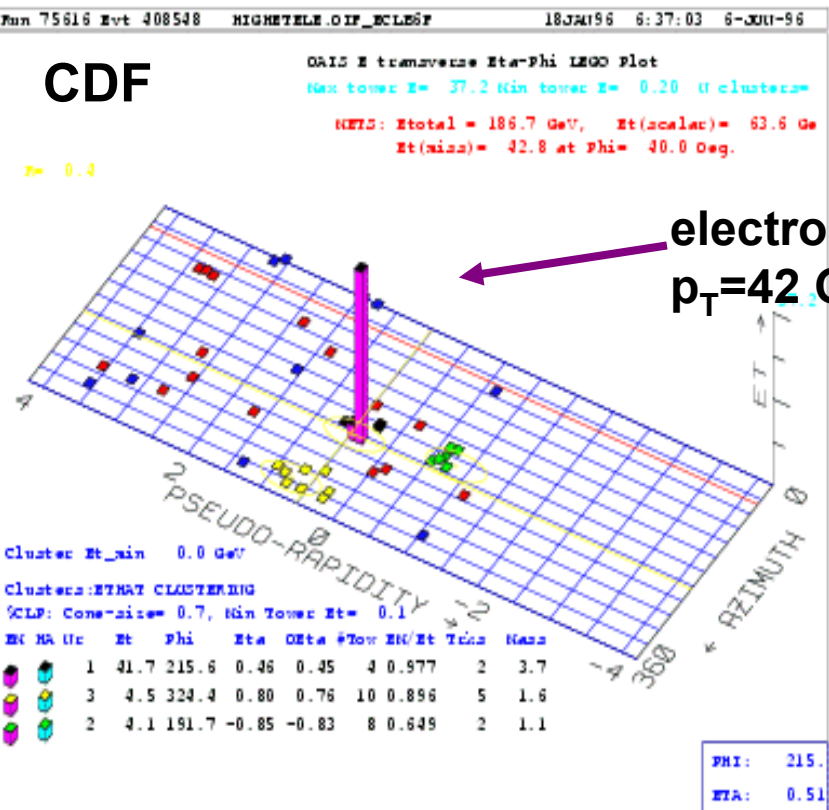
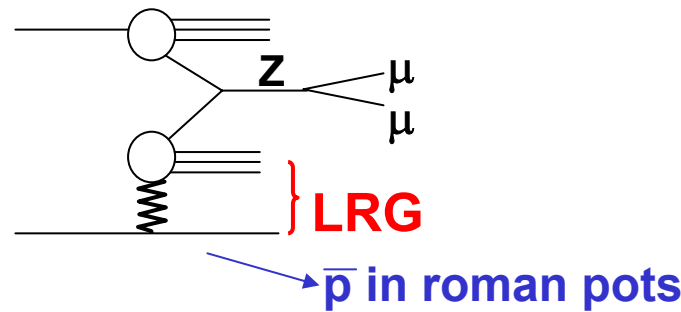
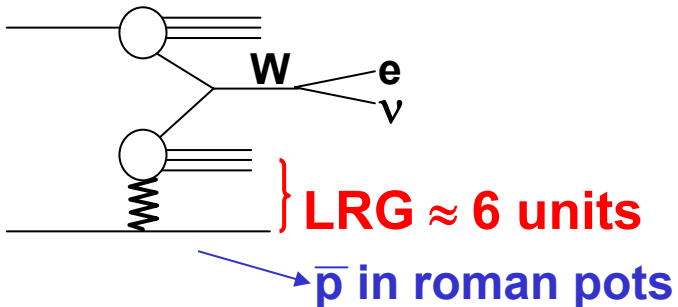
(mostly) sensitive to quarks



sensitive to gluons

Rates are **~1%** of the non-diffractive vs **~10%** expectation based on HERA PDFs

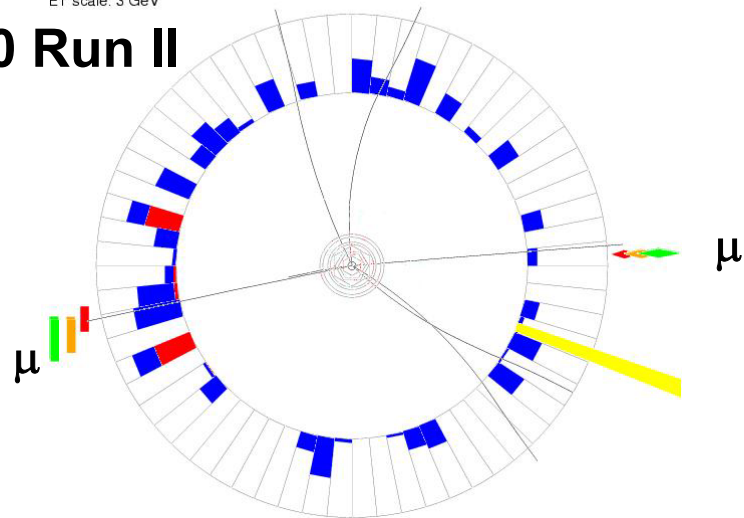
➔ **Hard scattering factorisation violated**



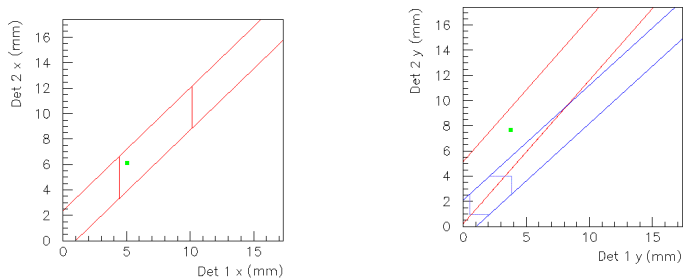
Run 174240 Event 32546648

ET scale: 3 GeV

## D0 Run II

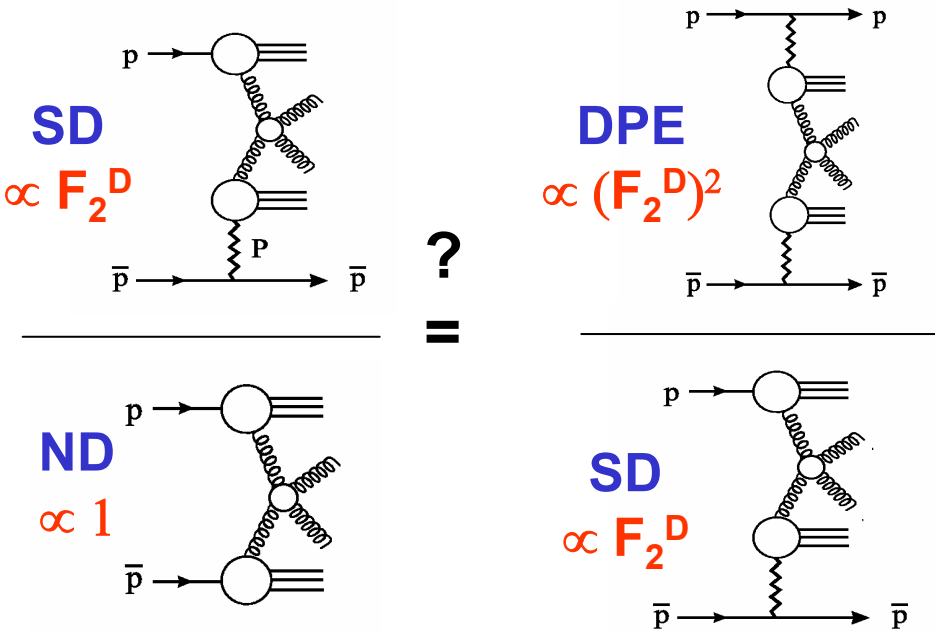


## Roman Pot track:



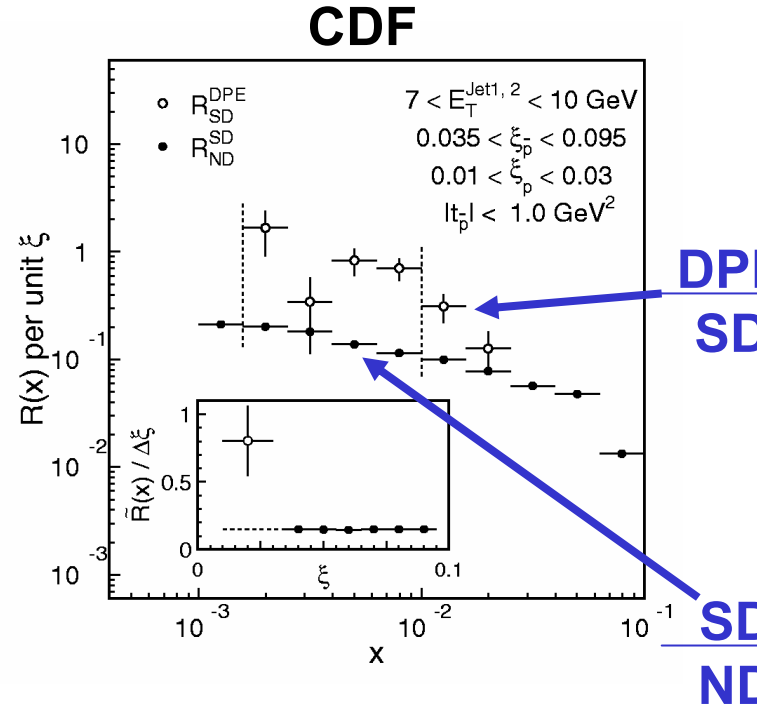
# Test factorisation in $p\bar{p}$ events (III)

Even within the  $p\bar{p}$  data alone hard diffractive factorisation does not hold:

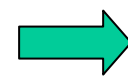


$$\frac{SD}{ND} / \frac{DPE}{SD} = 0.19 \pm 0.07 (\neq 1 !)$$

Probability for 2 LRGs > (probability for 1 LRG)<sup>2</sup>,  
ie get different  $F_2^D$  from 1 LRG and 2 LRG events

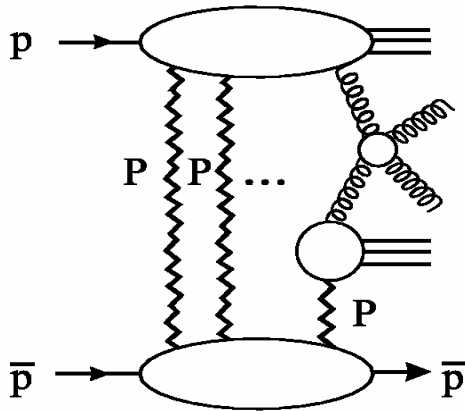


Hard scattering factorisation violated

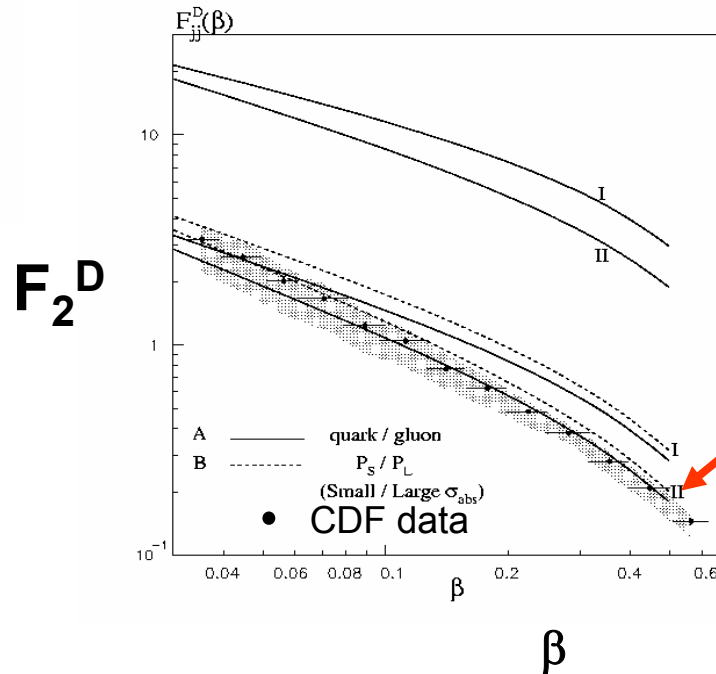


# Why is factorisation violated ?

Violation of factorisation understood in terms of (soft) rescattering corrections of the spectator partons (Kaidalov, Khoze, Martin, Ryskin):



- Two-component eikonal model à la Good & Walker, Pumplin, Gribov – pre-QCD !
- Main uncertainty is that on  $F_2^D$



Predictions based on rescattering assuming HERA diffractive PDFs

# Why is factorisation violated ? (cont'd)

- Understanding of breaking encouraging – more work needed
- If this works, can use at Tevatron the diffractive PDFs from HERA, and vice versa

NB several other important approaches:

- o) Bjorken (1993)
- o) Gotsman, Levin, Maor (1993)
- o) Goulianos (1995) → IP flux renormalisation  
(*pre-diction* !)
- o) Buchmueller, Gehrmann, Hebecker (1997)
- o) Cox, Forshaw, Loennblad (1999)
- o) Enberg, Ingelman, Timneanu (2000) → Soft Colour Interactions
- o) Erhan, Schlein (2000) →  $\sqrt{s}$ -dependent IP trajectory
- o) Bialas, Peschanski (2002)
- o) [list is incomplete]

# Summary I

- **We have measured the partonic content of the exchange responsible for elastic and diffractive interactions – mainly gluons [ie we think we know what a Pomeron is]**
- **This has led to a new kind of PDFs which apply to the class of QCD events where vacuum quantum numbers are exchanged: diffractive PDFs**
- **Rather than consider diffraction as due to the exchange of IP → exchange of partons belonging to the proton**
- **Hard scattering factorisation of diffractive PDFs works in DIS. We are on the way to understanding the *large* breaking of factorisation observed in ep vs  $p\bar{p}$**

# Open questions

- How safe is a QCD analysis of  $F_2^D$  ? Whole  $\beta$  range ok?
- Relevance of assuming  $F_2^{D(4)} \approx f_{IP}(x_{IP}, t) F_2^{POM}(\beta, Q^2)$  ?
- Map the size of factorisation breaking as a function of as many variables as possible
  - input from Fermilab essential, Run II results eagerly awaited ! (some already on the way, cf  $Q^2$  dependence of  $F_2^D$  by CDF at this workshop)
- Can we see factorisation breaking at HERA ?  
ie can we make the photon behave as a normal hadron (eg at  $Q^2=0$ ) ? cf H1's measurement of dijet photoproduction
- Understand rescattering corrections in terms of QCD

## Part II

### Diffraction as a tool to probe the proton:

- **Consider ep diffractive scattering:  
move to proton rest frame at HERA, find out that  
 $\sigma^{\text{diffr}} \propto [\text{gluon density in proton}]^2$**

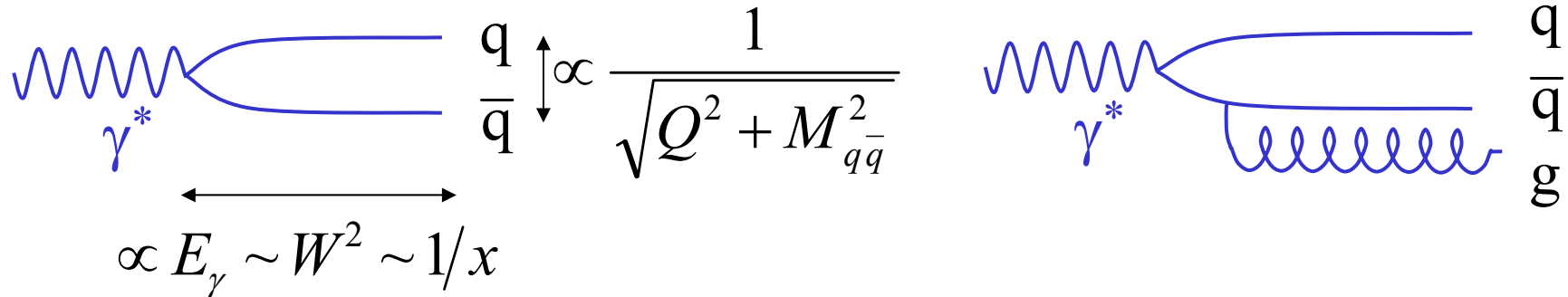
**Example: exclusive vector meson production**

**Calculable in QCD !**

- **Correlations in the proton: GPDs**

# Diffractive DIS in the proton rest frame

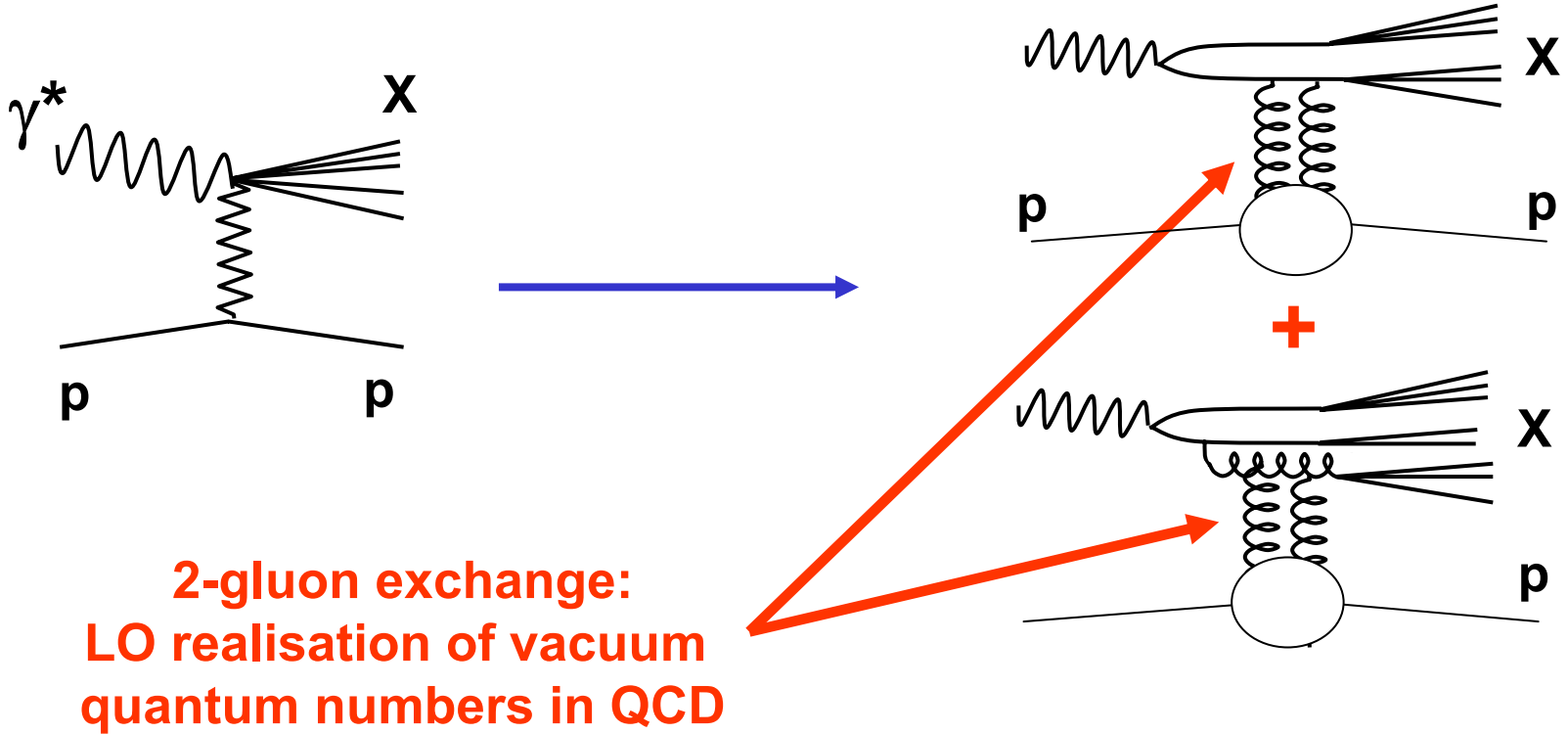
Virtual photon fluctuates to  $q\bar{q}$ ,  $q\bar{q}g$  states (colour dipoles)



- cf Vector Meson Dominance ( $q\bar{q}$ ,  $q\bar{q}g$  have  $J^{PC}=1^{--}$ )
- This is why can do diffraction in ep collisions !
- Lifetime of dipoles very long because of large  $\gamma$  boost ( $E_\gamma \approx 50\text{TeV!}$ )
- Transverse size proportional to  $1/\sqrt{Q^2 + M_{q\bar{q}}^2}$   
(for *longitudinally* polarised photons)

**Transverse size of incoming hadron beam can be reduced at will. Can be so small that strong interaction with proton becomes perturbative (colour transparency) !**

# Diffractive DIS in the proton rest frame



**Cross section proportional to  
probability of finding 2 gluons  
in the proton**



$$\sigma \propto [X g]^2$$

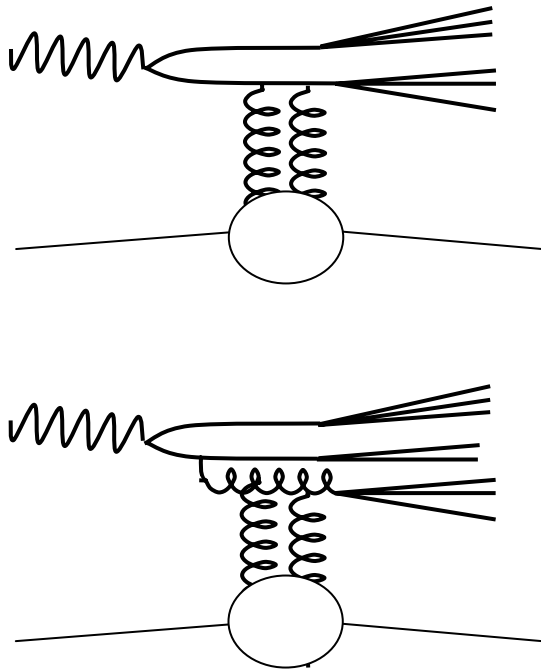


**Gluon density in the proton**

# Digression: proton rest frame vs Breit

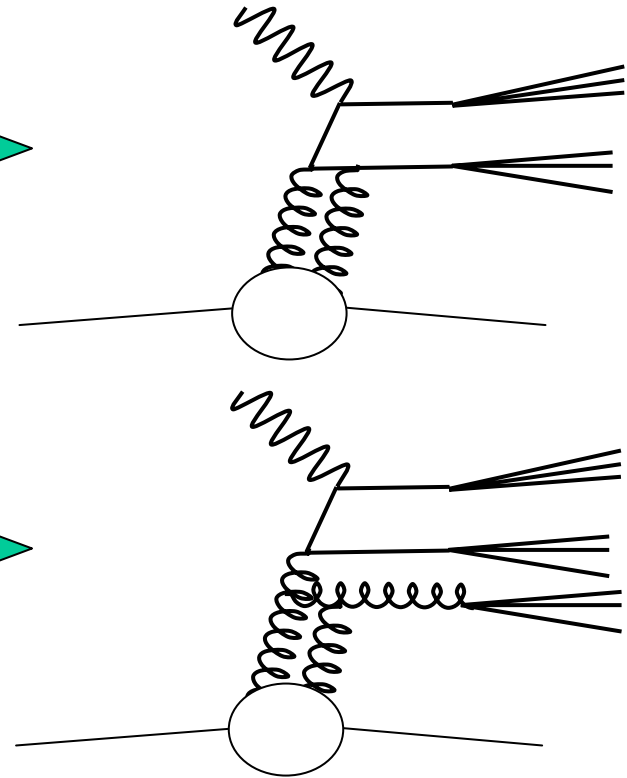
**Proton rest frame:**

photon fluctuates into colour dipole  
which scatters on p via 2-gluon exchange



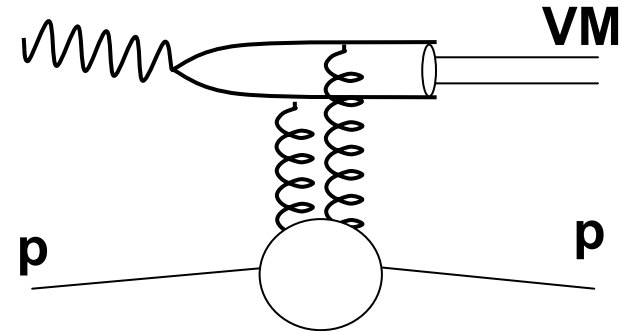
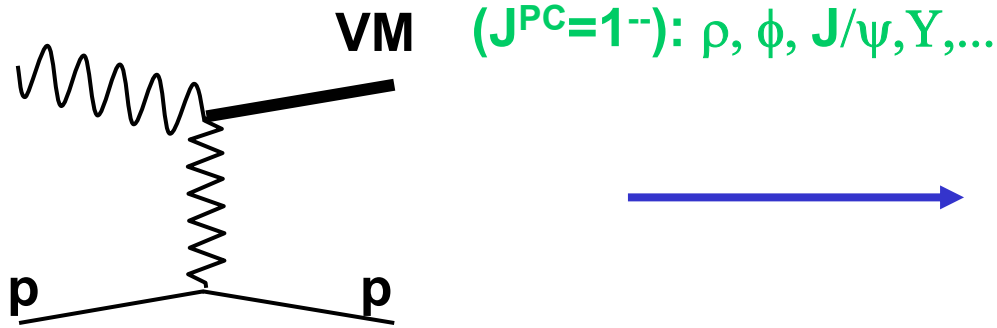
**Breit frame (proton very fast):**

pointlike photon probes parton  
content of exchange



**The two pictures are equivalent (at LO)**

# Example: Vector Meson production



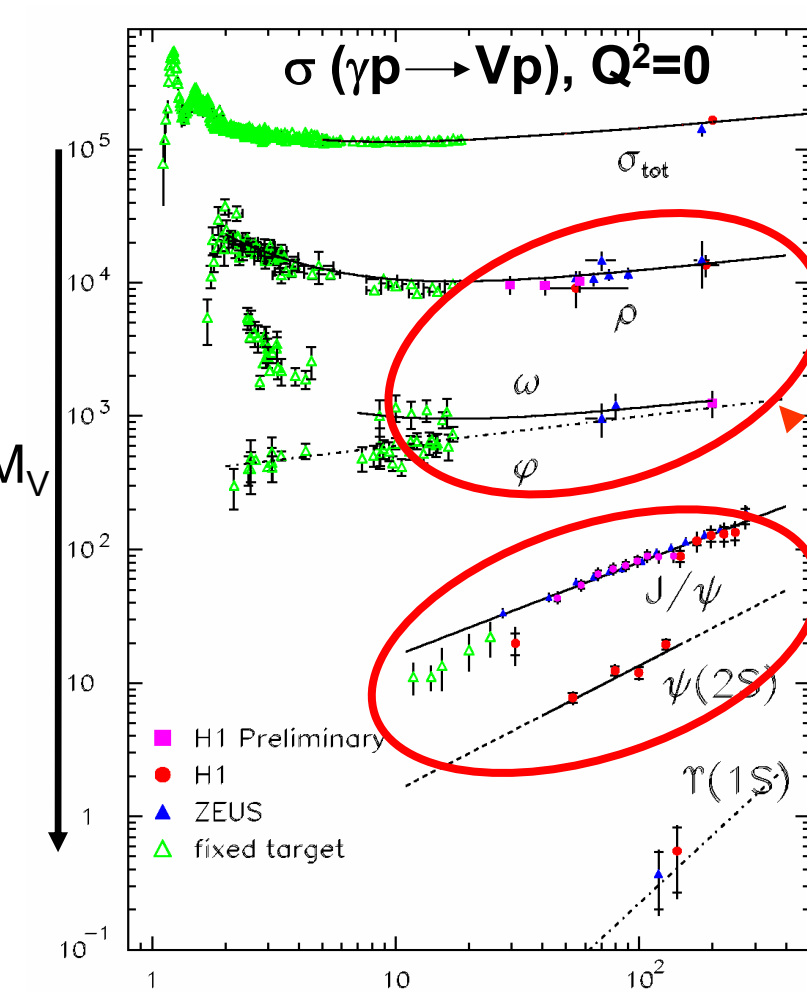
$$\sigma \propto [x g(x, Q^2 + M_V^2)]^2$$

$$x = (Q^2 + M_V^2) / W^2$$

**Growth of cross section with decreasing  $x$ , hence with increasing  $W$ , at large  $Q^2 + M_V^2$ , reflecting large gluon density at low  $x$**

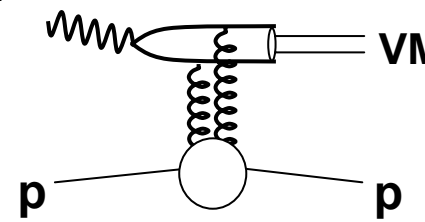
Ryskin (1993), Nikolaev et al (1994), Brodsky et al (1994),...

# VM: sensitivity to gluons in proton



$$\sigma \propto [x g(x, M_V^2)]^2$$

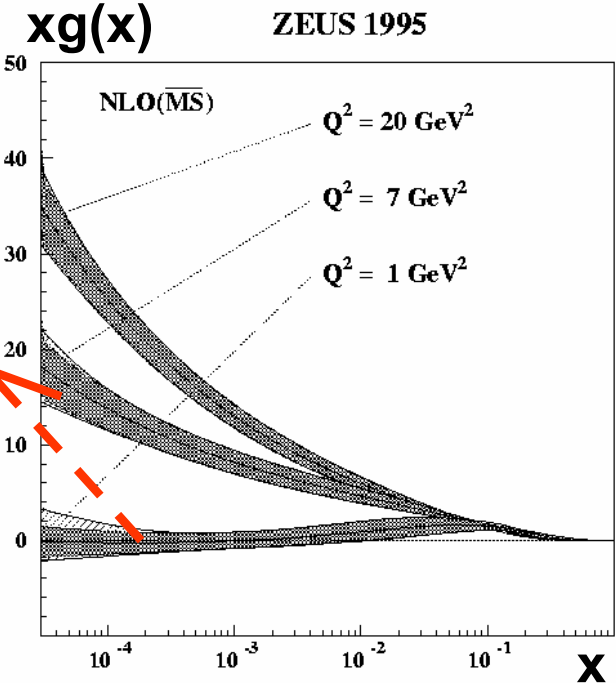
$$x = M_V^2 / W^2$$



$\propto W^{0.2}$

$\propto W^{0.8}$

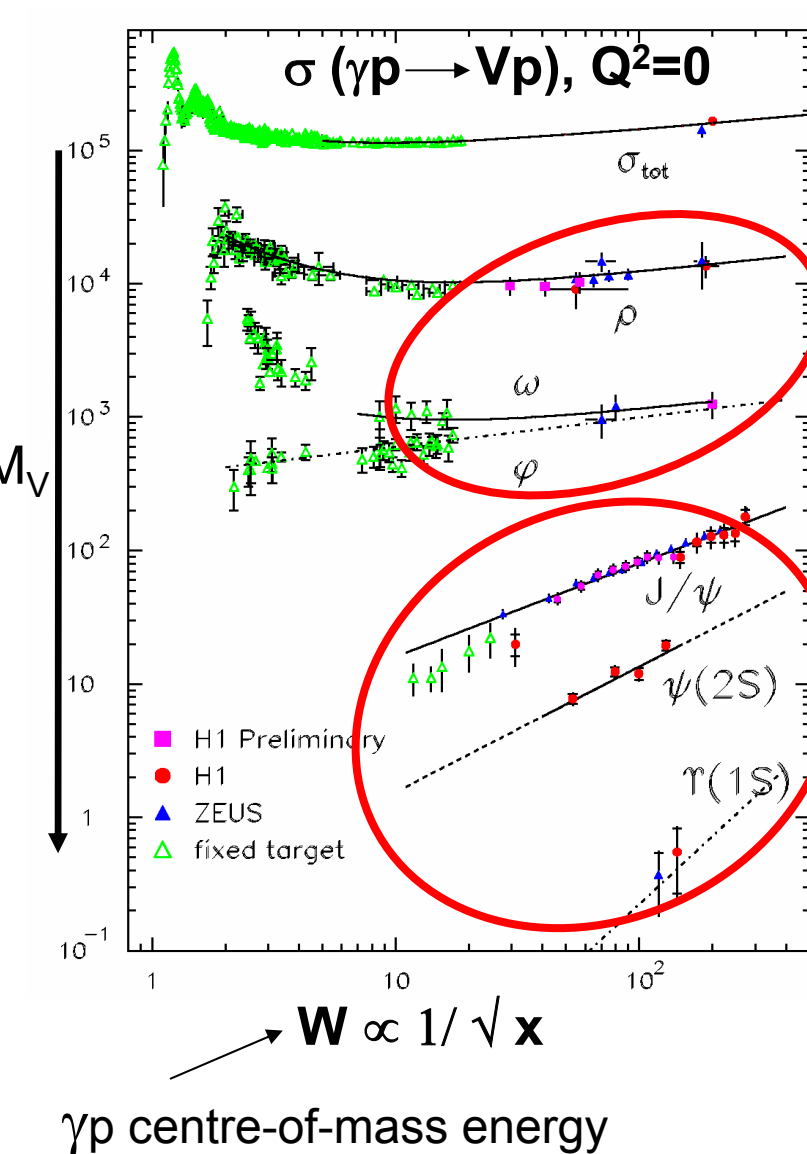
$\propto W^{1.7}$



$W \propto 1/\sqrt{x}$

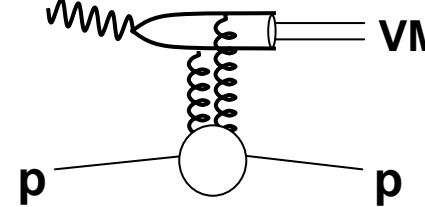
$\gamma p$  centre-of-mass energy

# VM: sensitivity to gluons in proton



$$\sigma \propto [x g(x, M_V^2)]^2$$

$$x = M_V^2 / W^2$$

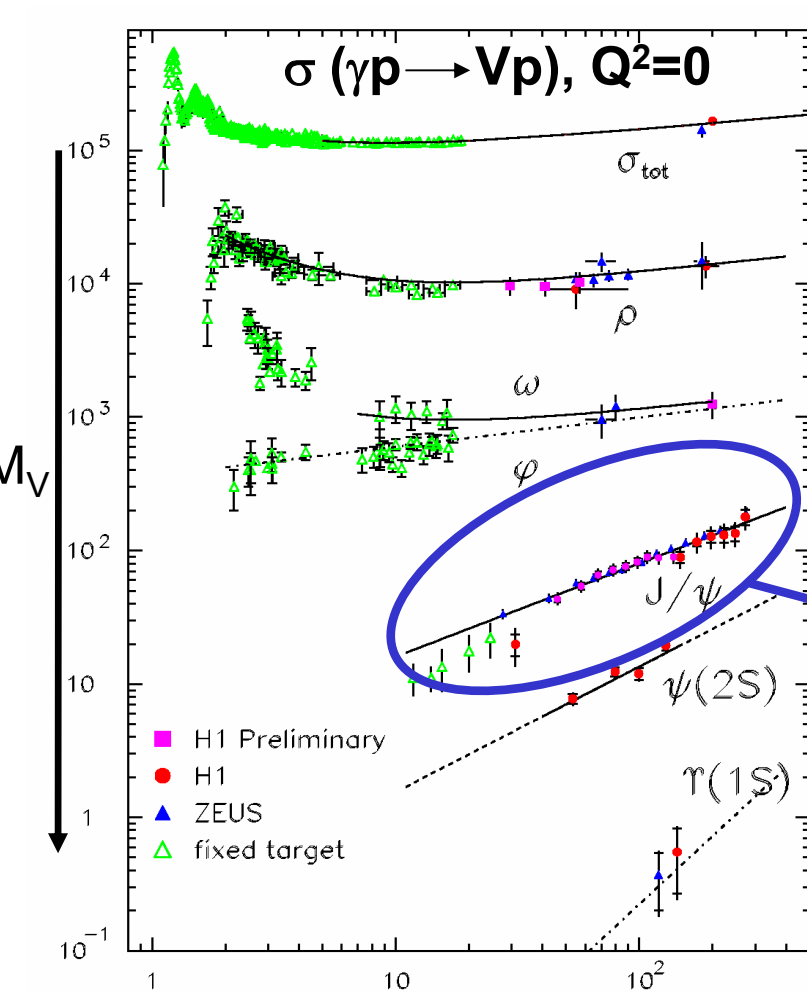


• **At small  $M_V$  ( $M_V^2 \approx 1 \text{ GeV}^2$ ):**  
 Incoming dipole behaves like a normal-size hadron: the two exchanged gluons are soft  
 – cf  $\sigma_{tot}(\gamma p)$

Flat  $\sigma$  vs  $W$  reflects flat gluon distribution for  $Q^2 \rightarrow 0$

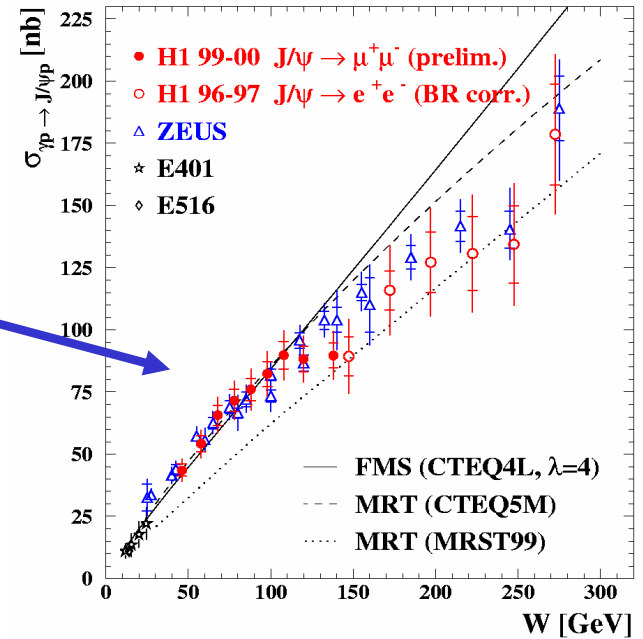
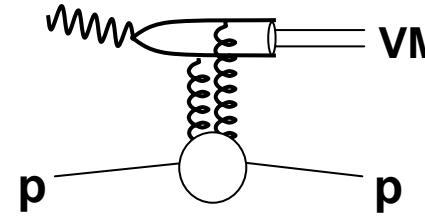
• **At large  $M_V$  :**  
 Fast growth of  $\sigma$  with  $W$  reflects growth of gluon distribution with decreasing  $x$

# VM: sensitivity to gluons in proton



$$\sigma \propto [x g(x, M_V^2)]^2$$

$$x = M_V^2 / W^2$$



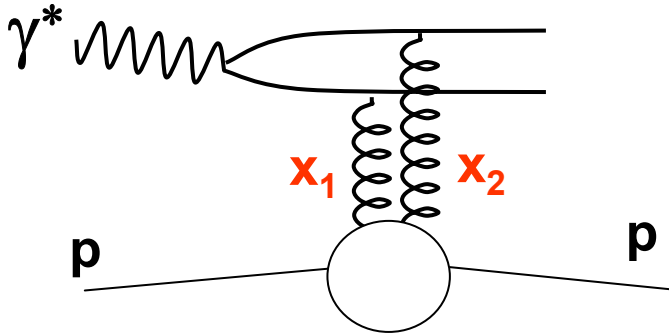
$W \propto 1/\sqrt{x}$

$\gamma p$  centre-of-mass energy

At large  $M_V$ , data well reproduced by pQCD

# Summary II

- **Hard diffraction sensitive to proton structure and calculable in QCD**
- **(not discussed) Hard diffraction sensitive to correlations in the proton:**



In general,  $x_1 \neq x_2$ :

$$\sigma \propto [x g(x)]^2$$

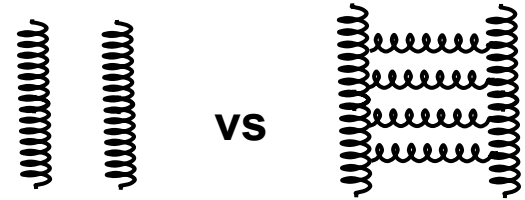


$$\sigma \propto [H(x_1, x_2)]^2$$

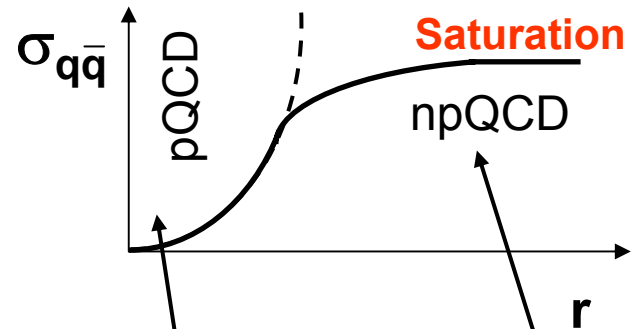
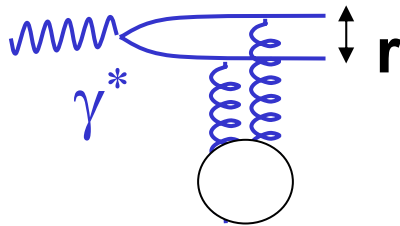
Generalised parton distribution functions (GPD)

# Open questions II

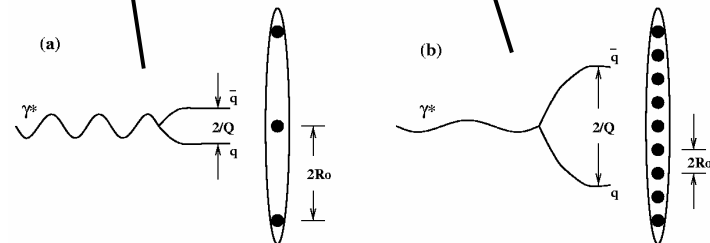
• Detailed understanding of higher orders:



• Transition to  $(Q^2 + M_{q\bar{q}}^2) = 0$  and non-perturbative QCD:



connection to high-density QCD,  
saturation of parton densities,  
colour glass condensate, RHIC



# Part III

## The future:

- **Diffraction physics programs at Fermilab, DESY, CERN**
- **Diffraction Higgs production – the way to discover a light Higgs ?!**

# A look at the future

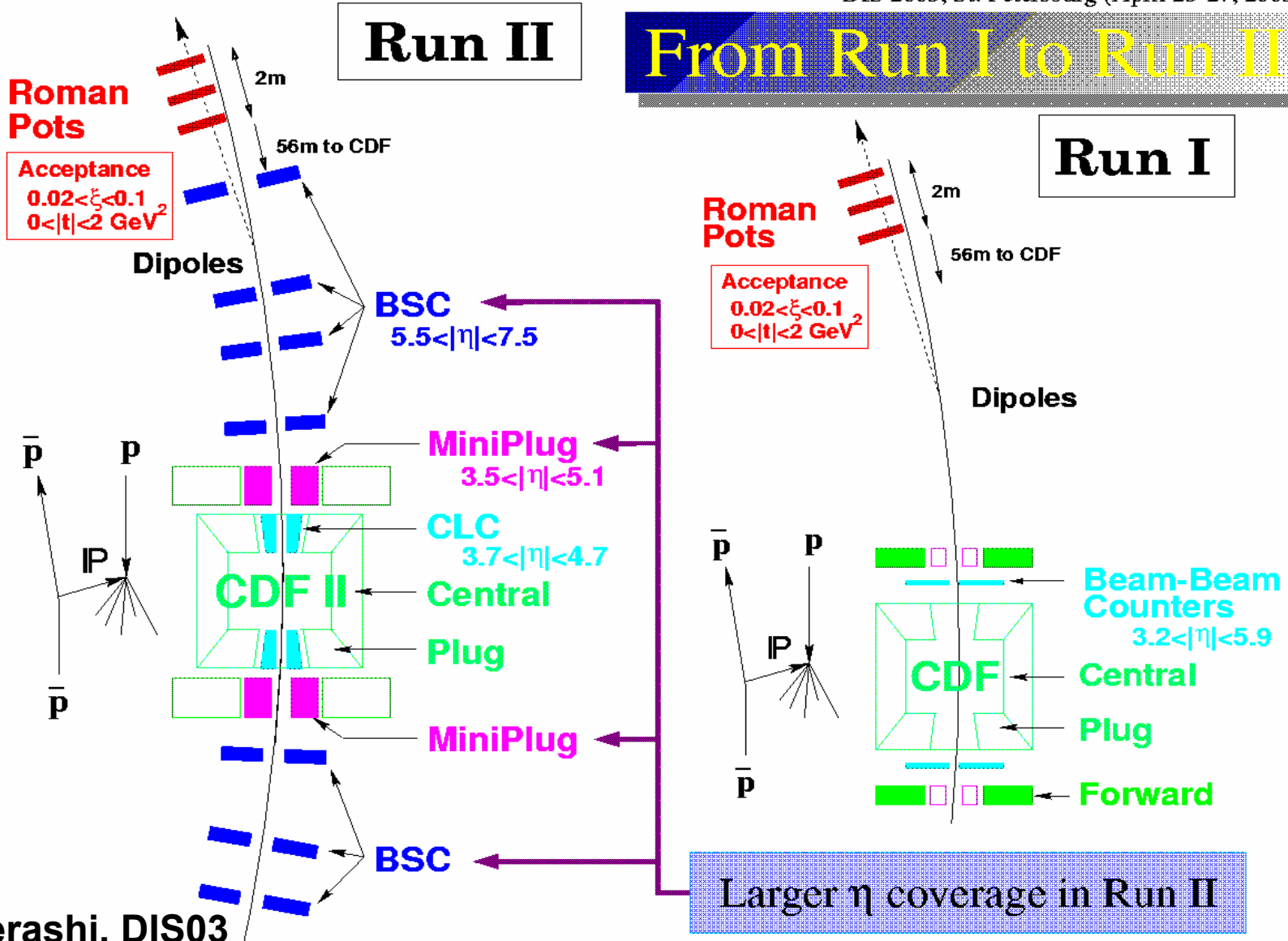
## Aggressive diffractive programs at Fermilab, DESY and CERN:

- **CDF: new Beam Shower Counters, miniplug calorimeters**
- **D0: new Roman Pot spectrometer**
- **H1: new Very Forward Proton Spectrometer**
- **DESY: HERA III after 2006 ?**
- **CMS/TOTEM Forward Physics Project: study diffraction and forward physics at full LHC luminosity.  
Roman Pots and microstations**
- **ATLAS: studying the feasibility of forward detectors**

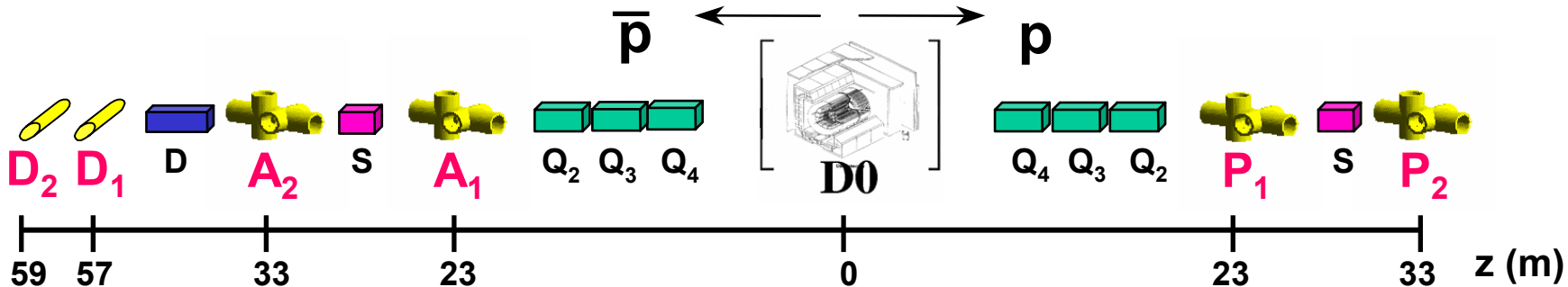
# New 'diffractive' detectors at CDF

DIS 2003, St. Petersburg (April 23-27, 2003)

## From Run I to Run II

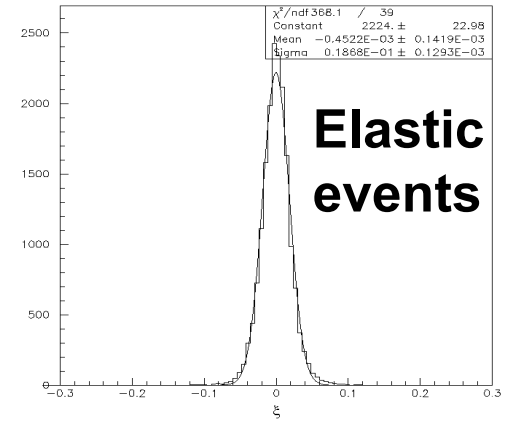
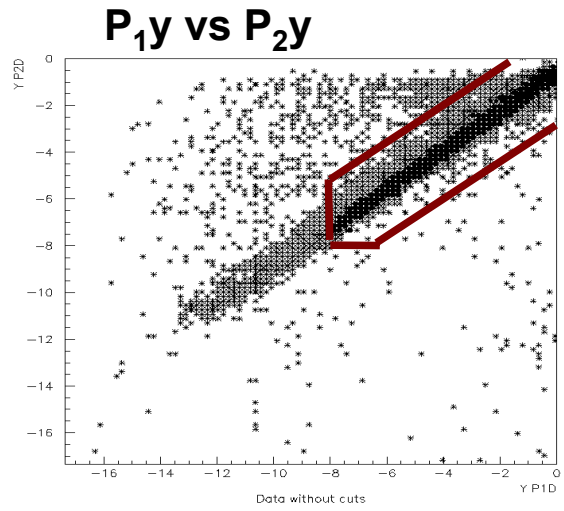
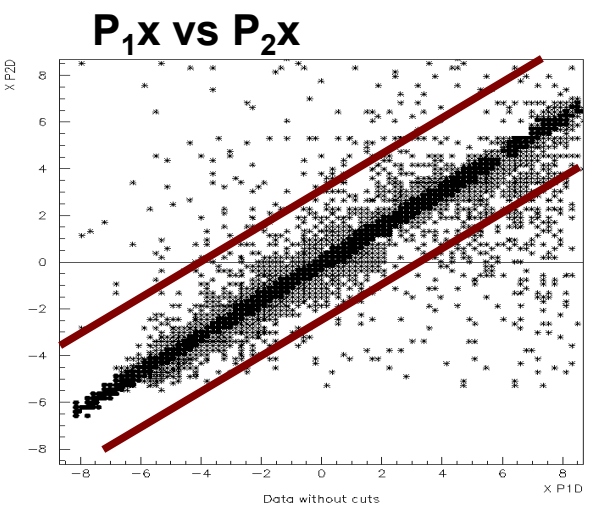


# D0 Forward Proton Detectors



Currently partially integrated into D0 readout, full 18 pot system will be integrated when data taking restarts in November

## Run 2 data:



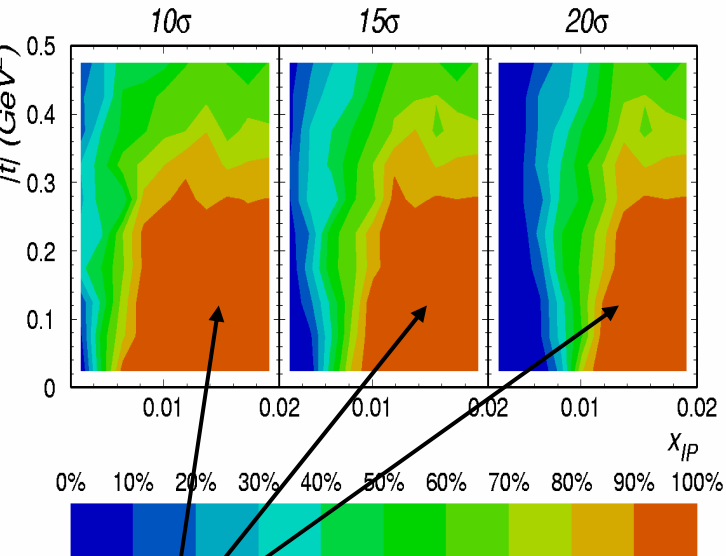
- MC
- \* Data

$$\xi = X_{IP}$$

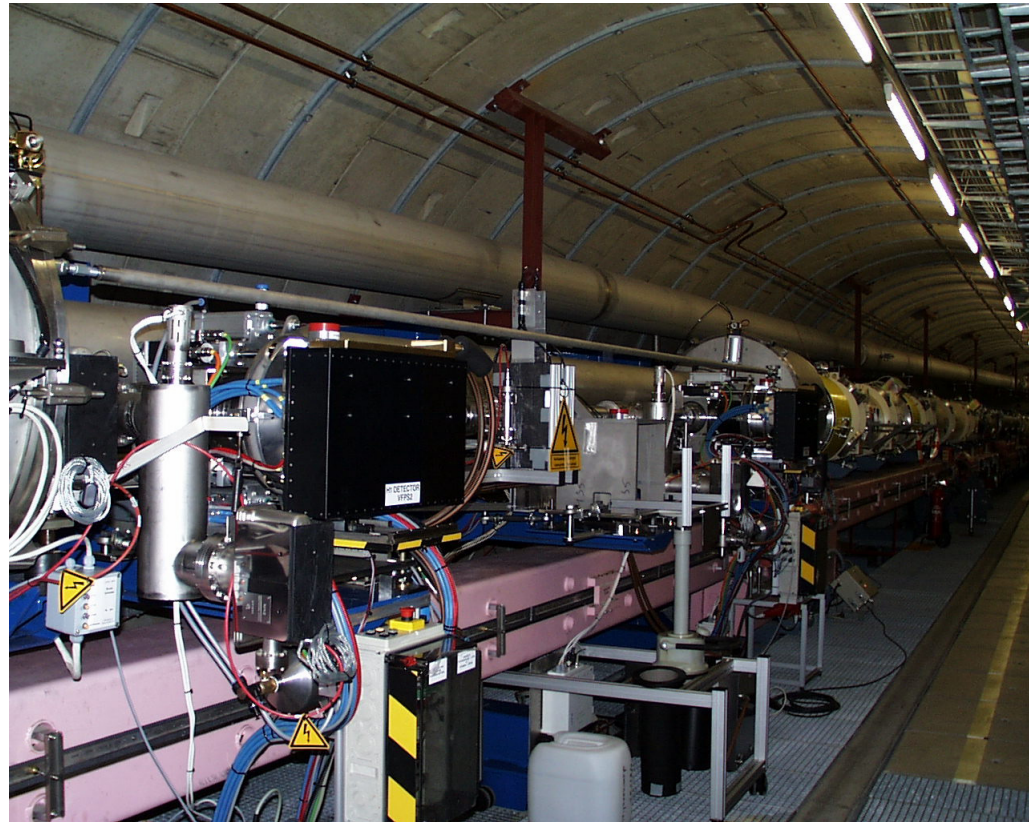
# H1 Very Forward Proton Detector

- 2 stations at 220 m from interaction point (cold section !)
- Acceptance down to  $t=0$  for  $x_{IP}=10^{-2}$ ,
- 100% for  $t<0.2$  and  $0.01 < x_{IP} < 0.02$

## Acceptance $t$ vs $x_{IP}$



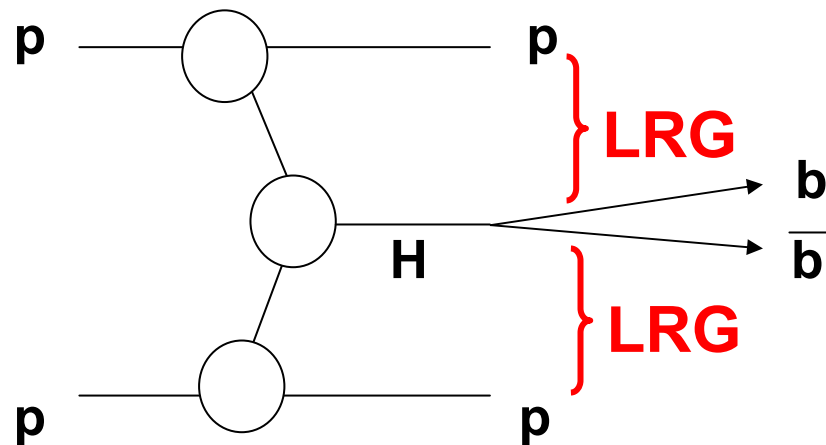
**>90% !**





# Diffraction Higgs at Tevatron/LHC

- For light Higgs ( $\approx 120$  GeV),  $gg \rightarrow H$ ,  $H \rightarrow b\bar{b}$  mode has highest branching ratio, but signal swamped by  $gg \rightarrow b\bar{b}$
- Signal-to-background ratio improves dramatically for **2 rapidity gaps** and/or **outgoing protons tagged**: S/B $\sim$ 3; for  $30 \text{ fb}^{-1}$ , observe 11 events  
[Khoze, Martin, Ryskin]



Reconstruct  $M_H$  from  $b\bar{b}$  and/or from scattered protons with missing mass method

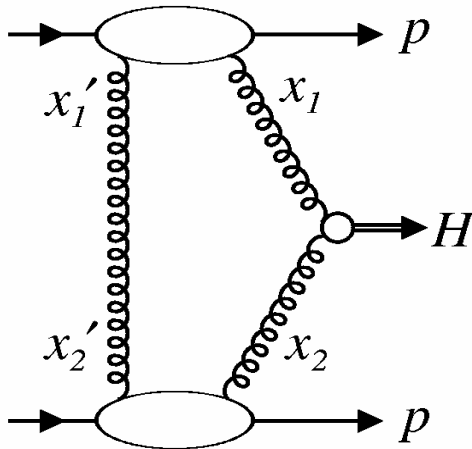
250 MeV resolution at Tevatron

1-2 GeV resolution at LHC

$H \rightarrow \tau\tau$ , WW also OK

- Major, but not insurmountable, experimental difficulties – eg (at LHC) event pile-up at high lumi ( $\approx 23$  interactions/bunch crossing) ‘spoils’ rapidity gaps; Roman Pot signals too late for L1 trigger

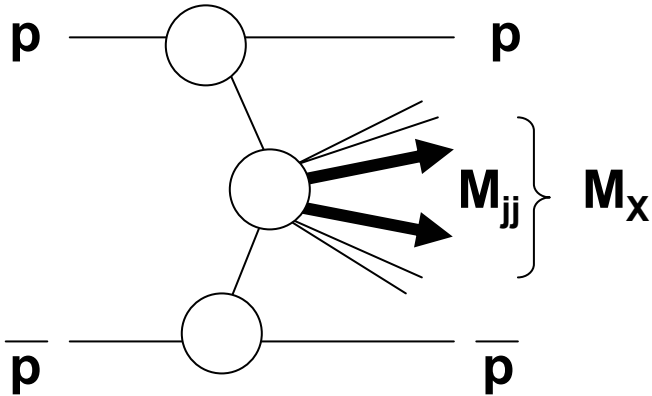
# Diffractive Higgs at Tevatron/LHC



- **Proton diffractive PDFs essential for prediction**
- **Understanding of factorisation breaking ep vs pp,  $p\bar{p}$  essential, including  $\sqrt{s}$  dependence**
- **Wide range of theoretical predictions – consensus ?**  
Bialas and Landshoff, Cudell and Hernandez; Levin; Kharzeev, Levin; Khoze, Martin and Ryskin; Cox, Forshaw and Heinemann, Boonekamp et al, Enberg et al, Godizov et al, ...  
[some ruled out by Tevatron data]
- **A very promising field – lots more theoretical and experimental work necessary**

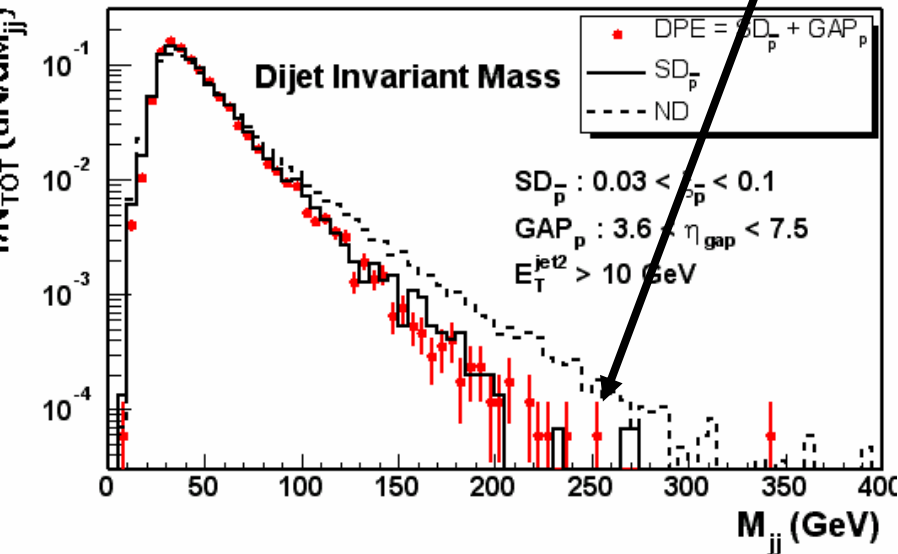
# Diffractive dijets at Tevatron

On the way to diffractive Higgs:

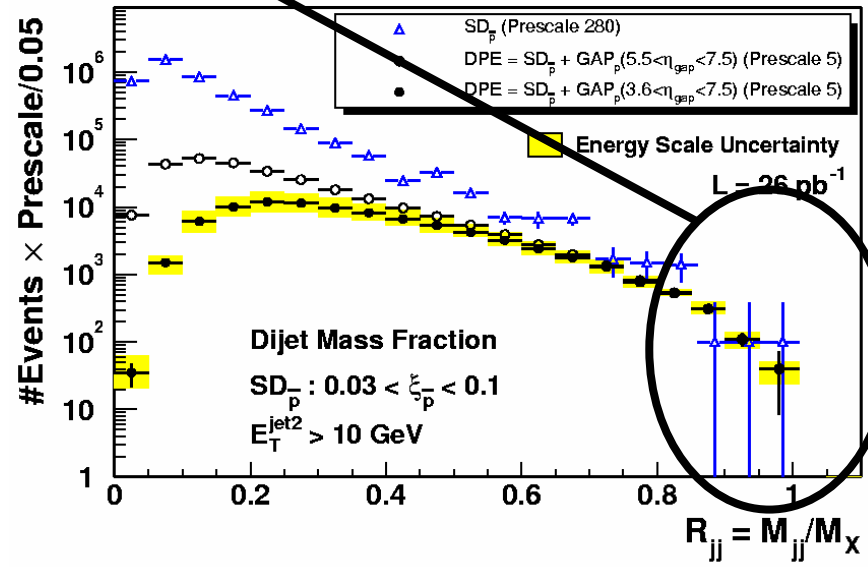


- Helps constraining theory
- Exclusive dijet production would appear as a peak at  $R_{jj} = M_{jj}/M_x = 1$
- Very large values  $M_{jj}$  (up to 250 GeV !)
- No peak observed in the data (yet...)

CDF Run II Preliminary

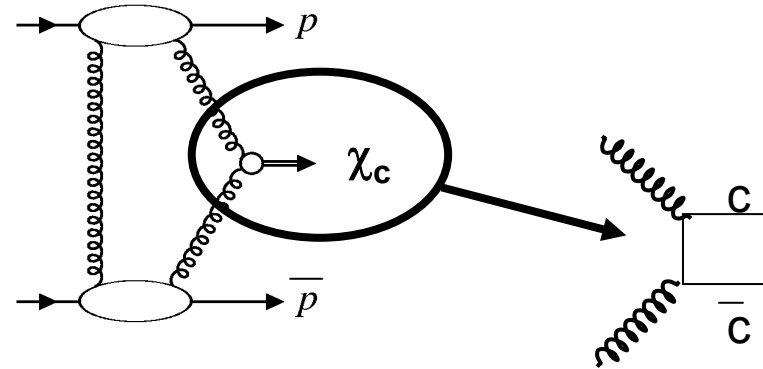
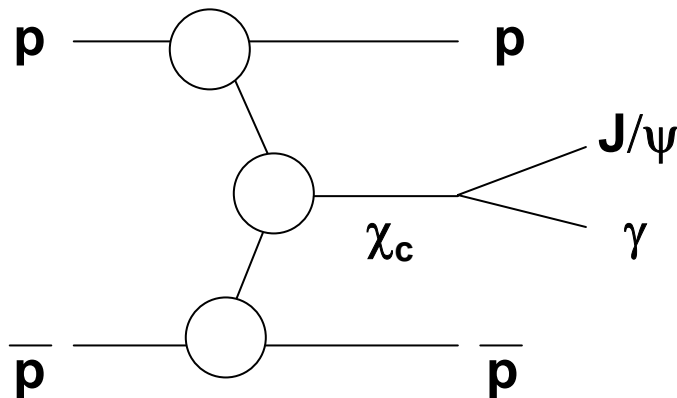


CDF Run II Preliminary



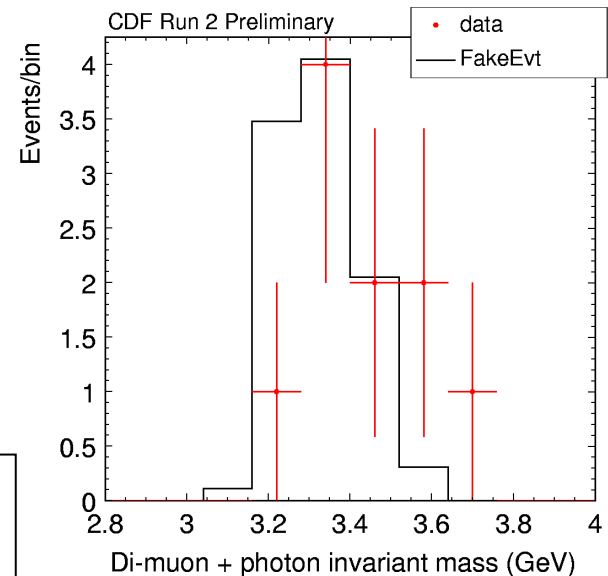
# Diffractive $\chi_c$ at Tevatron

On the way to diffractive Higgs production:



- $H$  proceeds via the same diagram but  $t$ -loop instead of  $c$ -loop

- Important for calibrating models on diffractive Higgs



**10 candidate events (but unknown background)**  
 $\sigma < 49 \pm 18$  (stat)  $\pm 39$  (syst) pb  
 for exclusive  $\chi_c$  production for  $|y| < 0.6$

# Grand summary

- Diffraction is due to the exchange of partons from the proton carrying the vacuum quantum numbers (gluon pairs) → probe diffractive PDFs of the proton
- Hard scattering factorisation works in diffractive events (but rescattering corrections to go from ep to pp, p $\bar{p}$ )
- Diffraction with a hard scale calculable in QCD
- Sensitivity to gluon density, correlations in proton (GPDs)
- Saturation: a window on the transition to npQCD
- Diffraction as a means to search for new physics
- **Plenty more experimental and theoretical work necessary**  
**Input from p $\bar{p}$ , pp, AA, ep, eA essential**