

Inclusive diffraction and DVCS

L. Favart

I.I.H.E., Université Libre de Bruxelles.

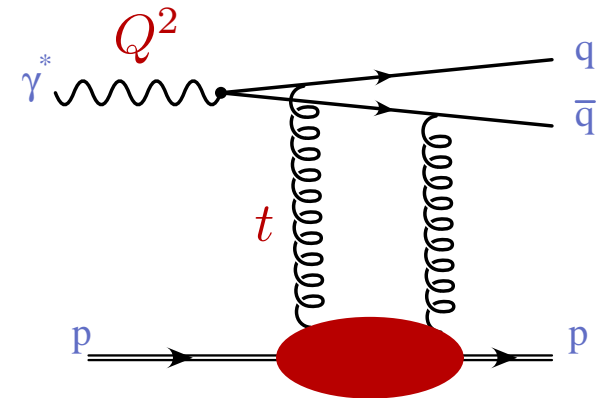
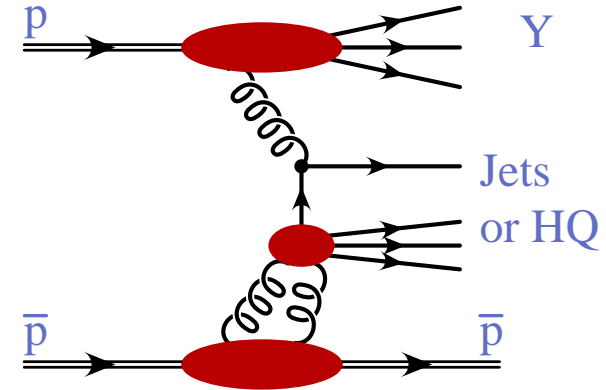
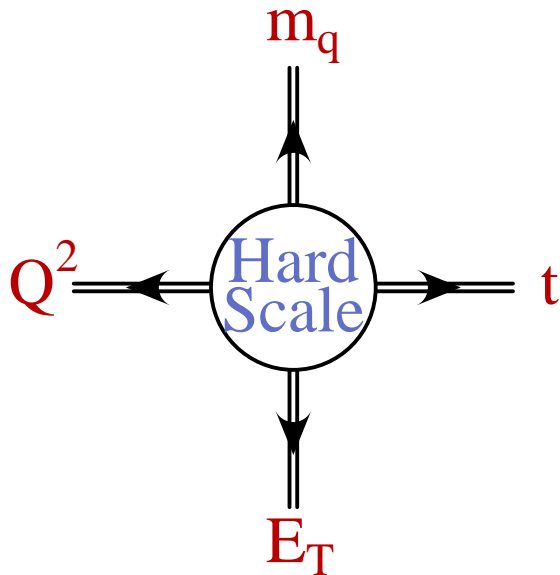
ULB



Ringberg Workshop 2005
2-7th of October 2005

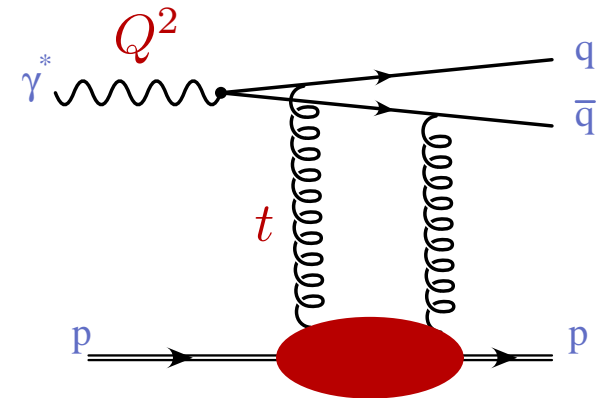
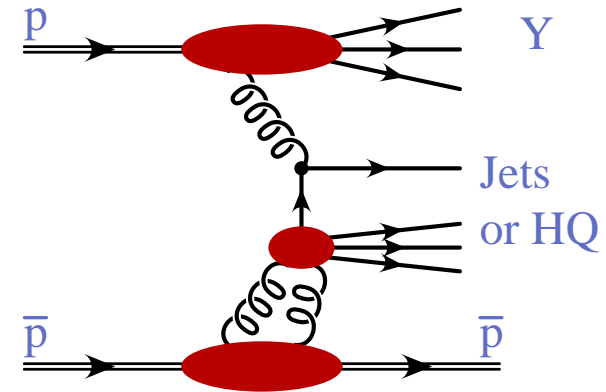
Interest of Hard Diffraction

- Understanding of Diffractive phenomena in terms of QCD
 - two gluon exchange
 - Several possible hard scales



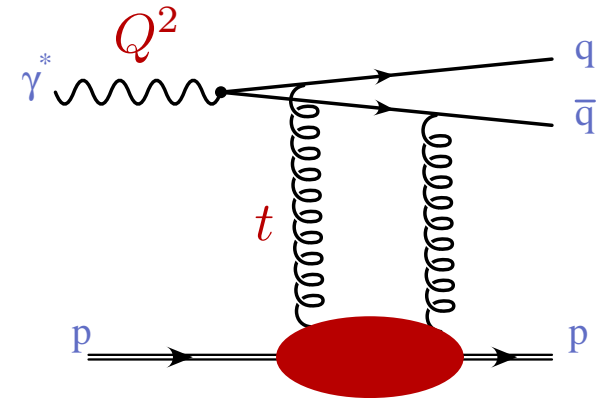
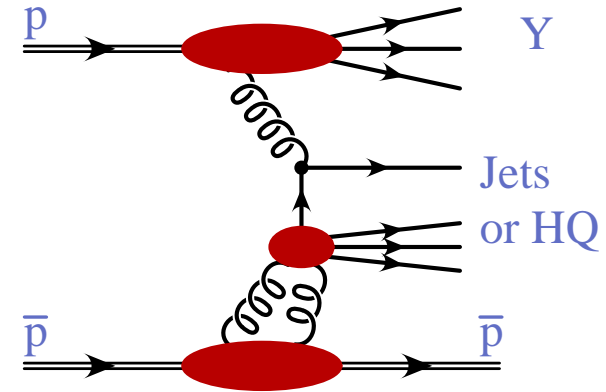
Interest of Hard Diffraction

- Understanding of Diffractive phenomena in terms of QCD
 - two gluon exchange
 - Several possible hard scales
 - probing the exchange partonic structure - like in inclusive structure functions
 - typical signature of hard scale presence: steep rise with W (cms energy)



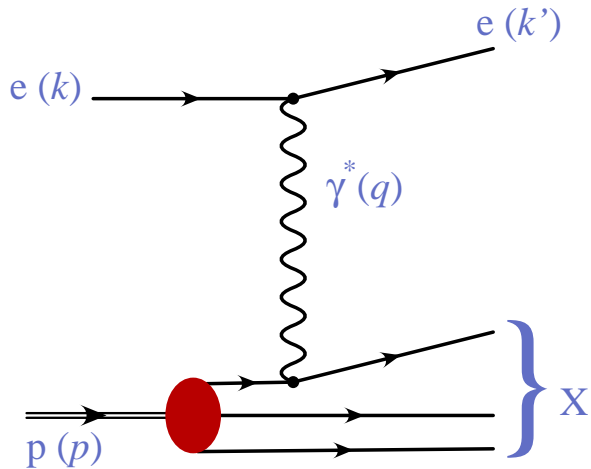
Interest of Hard Diffraction

- Understanding of Diffractive phenomena in terms of QCD
 - two gluon exchange
 - Several possible hard scales
 - probing the exchange partonic structure - like in inclusive structure functions
 - typical signature of hard scale presence: steep rise with W (cms energy)
- Access to very low x of nucleon structure function and parton correlations → the Generalized Parton Distributions (GPDs).
- Test of DGLAP and BFKL asymptotic behaviour dynamics
- Colour Dipole model approach: transition to non pQCD, saturation



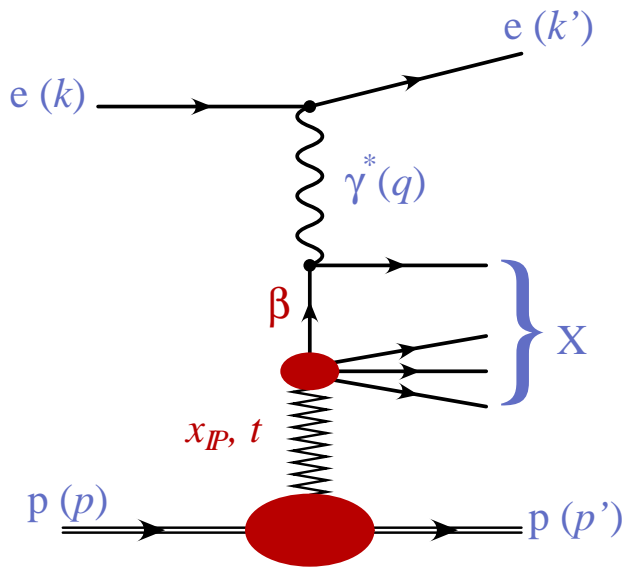
Kinematic

Deep Inelastic Scattering



- $Q^2 = -q^2$ - virtuality of the exchanged photon
- W γ^* - p system energy
- x Bjorken- x : fraction of proton's momentum carried by the struck quark
- y γ^* inelasticity : $y = Q^2 / s x$

Diffractive Scattering



- $x_{\mathbb{P}}$ fraction of proton's momentum of the colour singlet exchange (also named ξ)
- $x_{\mathbb{P}} \simeq \frac{Q^2 + M_X^2}{Q^2 + W^2}$
- β fraction of \mathbb{P} carried by the quark "seen" by the γ^* $\beta = x / x_{\mathbb{P}}$
- $t = (p - p')^2$, 4-momentum squared at the p vertex

Factorisation Properties

QCD Hard Scattering Fact.

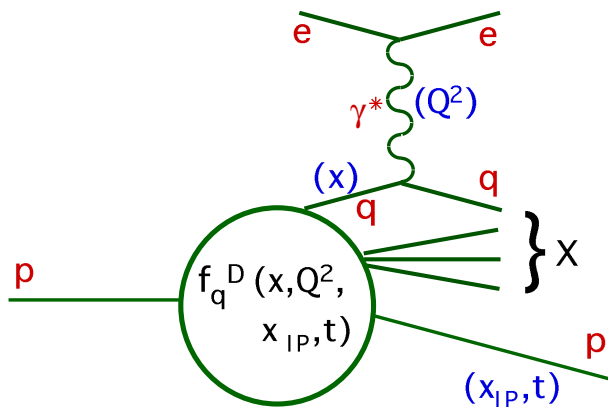
$$\sigma_{\text{DIS}}^{\text{Dif}} \sim f_q^D(x_{\mathbb{P}}, t, x, Q^2) \otimes \hat{\sigma}_{\text{pQCD}}$$

Diffractive parton densities

$$f_q^D(x_{\mathbb{P}}, t, x, Q^2)$$

→ conditional proton parton probability distributions for particular $x_{\mathbb{P}}, t$.

DGLAP applicable for Q^2 evolution.

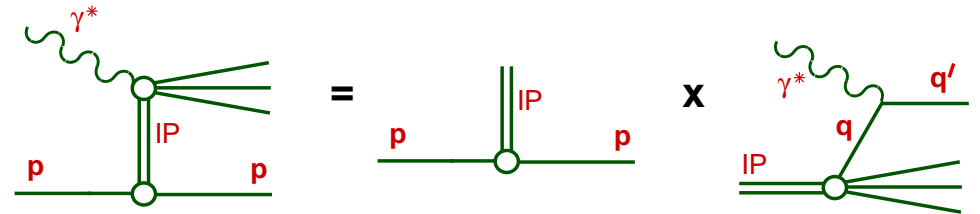


Rigorous for leading Q^2 dependence but not in hadron-hadron collisions

Regge Factorisation

$$f_q^D(x_{\mathbb{P}}, t, x, Q^2) = f_{\mathbb{P}/p}(x_{\mathbb{P}}, t) \cdot q_{\mathbb{P}}(\beta, Q^2)$$

Diffractive parton densities factorise into “pomeron flux factor” and “pomeron parton densities”



\mathbb{P} flux factor from Regge theory ...

$$f_{\mathbb{P}/p}(x_{\mathbb{P}}, t) = \frac{e^{Bt}}{x_{\mathbb{P}}^{2\alpha(t)-1}} \quad \text{where ...}$$

$$\alpha(t) = \alpha(0) + \alpha't$$

No firm basis in QCD

Recent Diffractive DIS Data

ZEUS Data:

- “Study of Deep Inelastic Inclusive and Diffractive Scattering with the ZEUS Forward Plug Calorimeter” (Mx method)
DESY-05-011, accepted by Nucl. Phys. B $2.4 < Q^2 < 39 \text{ GeV}^2$ (98-99)
- “Dissociation of virtual photons in events with a leading proton at HERA” (Leading Proton)
Eur. Phys. J C38 (2004) 43 $2.7 < Q^2 < 55 \text{ GeV}^2$ (97)

H1 Data:

- “Measurement of semi-inclusive diffractive deep-inelastic scattering with a leading proton at HERA” (Leading Proton)
Paper 6-984 subm. to ICHEP 2002, H1prelim-01-112 $2.6 < Q^2 < 20 \text{ GeV}^2$ (99-00)
- “Measurement of the Diffractive DIS Cross Section at low Q^2 ” (LRG method)
Paper 981 subm. to ICHEP 2002, H1prelim-02-112 $1.5 < Q^2 < 12 \text{ GeV}^2$ (99)
- “Measurement and NLO DGLAP QCD Interpretation of Diffractive Deep-Inelastic Scattering at HERA” (LRG method)
Paper 980 subm. to ICHEP 2002, H1prelim-02-012 $6.5 < Q^2 < 120 \text{ GeV}^2$ (97)
- “Measurement of the Inclusive Diffractive Cross Section $\sigma_r^D(3)$ at high Q^2 ” (LRG method)
Paper 5-090 subm. to EPS 2003, H1prelim-03-011 $200 < Q^2 < 1600 \text{ GeV}^2$ (99-00)

Regge factorisation: β Dependence of F_2^D

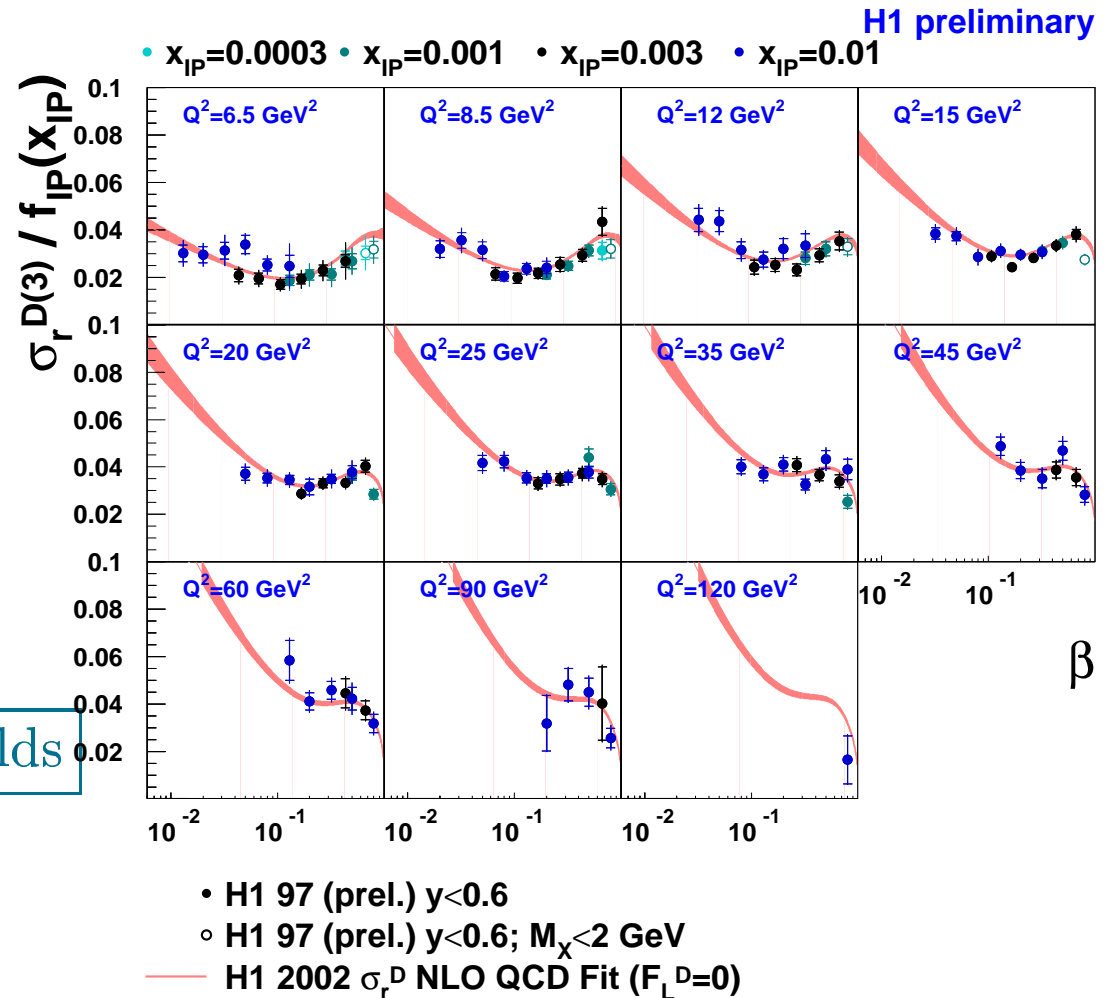
Does Regge factorisation work ?

i.e. is $F(\beta, Q^2)$ dependent of x_{IP} after factoring out the flux dependence ?

$$f_{IP/p}(x_{IP}, t) = \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}}$$

Take experimentally measured $B, \alpha(0)$

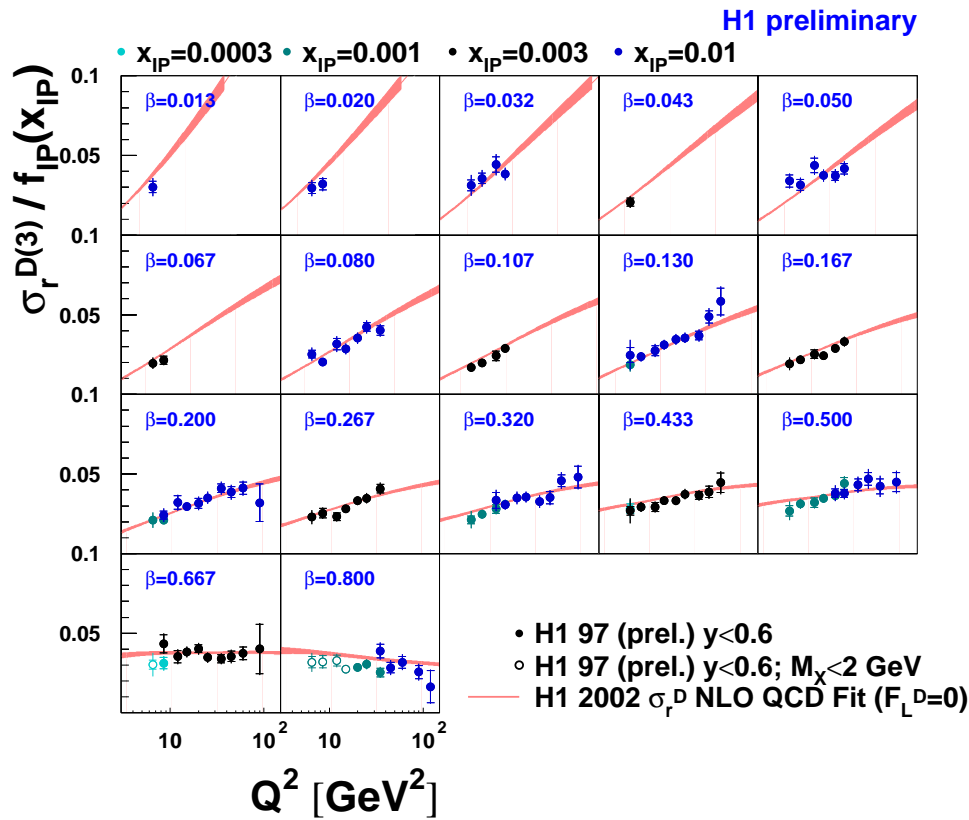
→ Regge factorisation basically holds



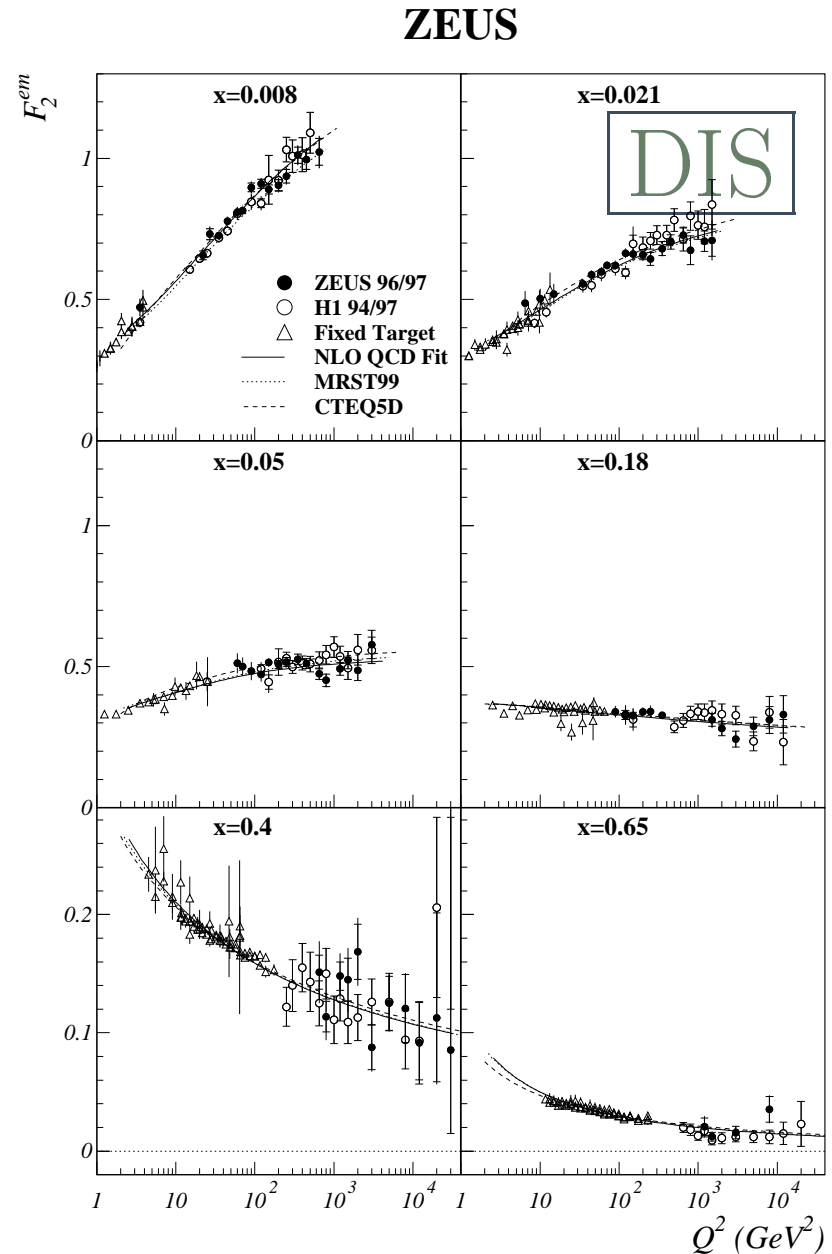
Measures parton density over wide β range.

Q^2 Dependence of F_2^D

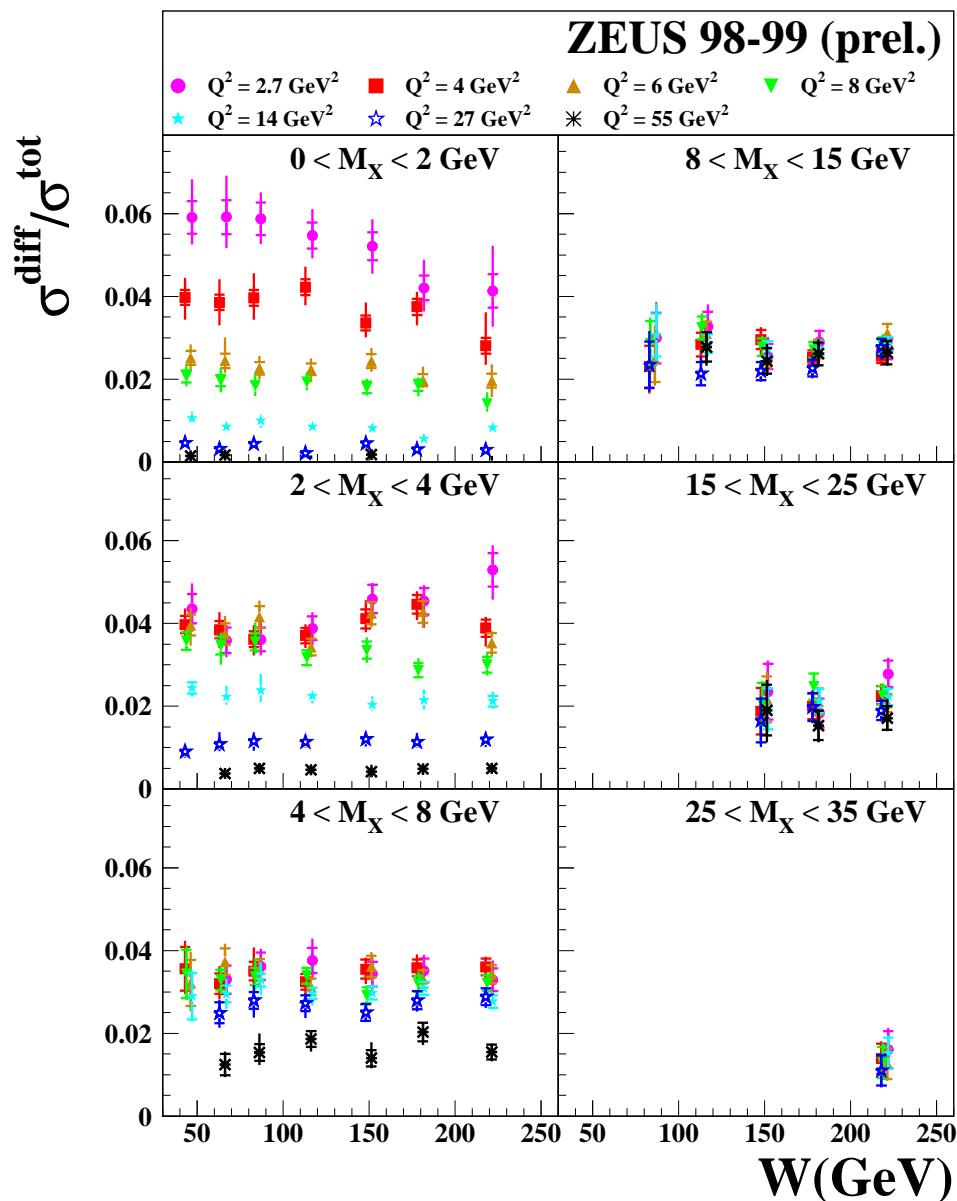
Q^2 dependence displays strong scaling violations with positive $\partial\sigma_r^D / \partial \ln Q^2$ up to high β



Not like a "normal" hadron



Ratio of Diffractive to inclusive cross-sections



$$W^2 \simeq Q^2/x$$

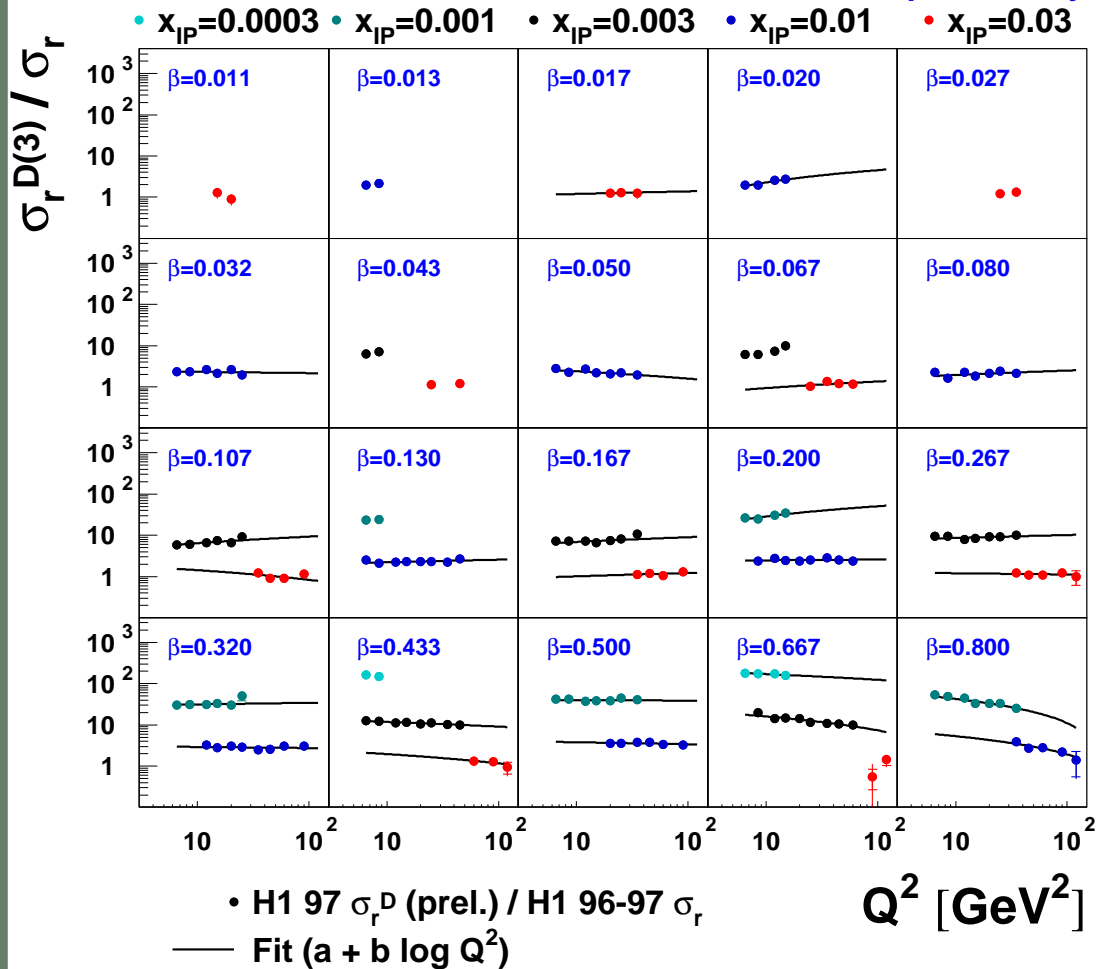
$$\beta \simeq Q^2/(Q^2 + M_X^2)$$

- For $M_X > 2 \text{ GeV}$: flat in W
 - same W dependence as σ_{tot}
 - Not consistent with naive 2 gluon exchange:

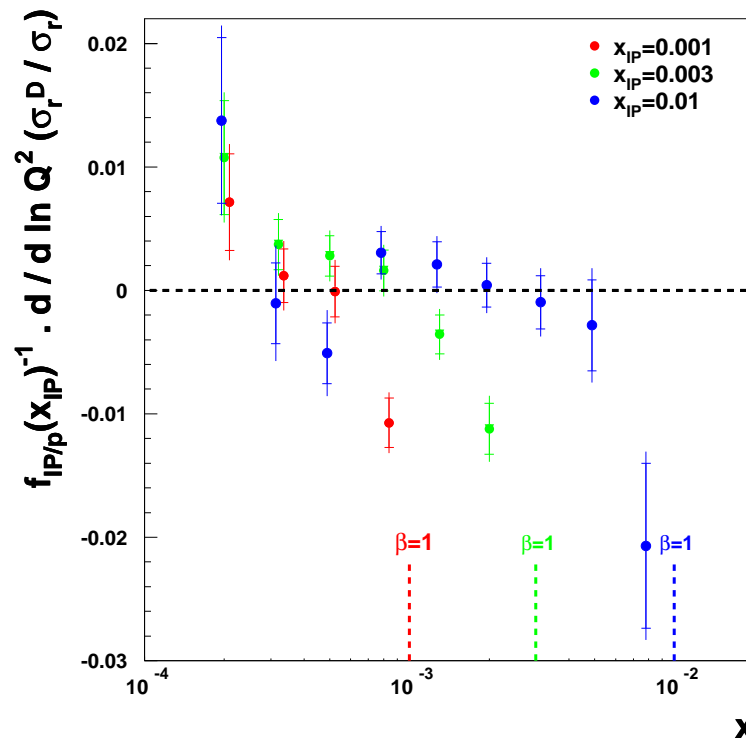
$$R = \frac{|x g(x, Q^2)|^2}{x g(x, Q^2)} = x g(x, Q^2)$$
- $M_X > 8 \text{ GeV}$: no Q^2 dependence
 - same DGLAP evolution
 - γ^* sees: 1 gluon that can radiate
- If $M_X \searrow, \beta \nearrow \rightarrow \gamma^*$: more and more of the exchanged object (2 g)
- $M_X < 2 \text{ GeV}$ (large β): falling with W
 - contribution of Vector Meson production (higher twist)
 - no g radiation allowed
 - "closed" gluon object

Ratio of Diffractive to inclusive cross-sections

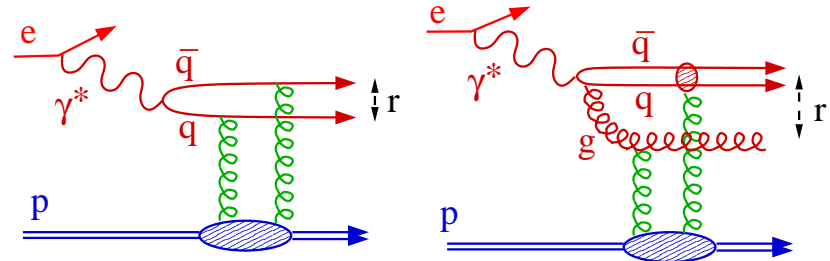
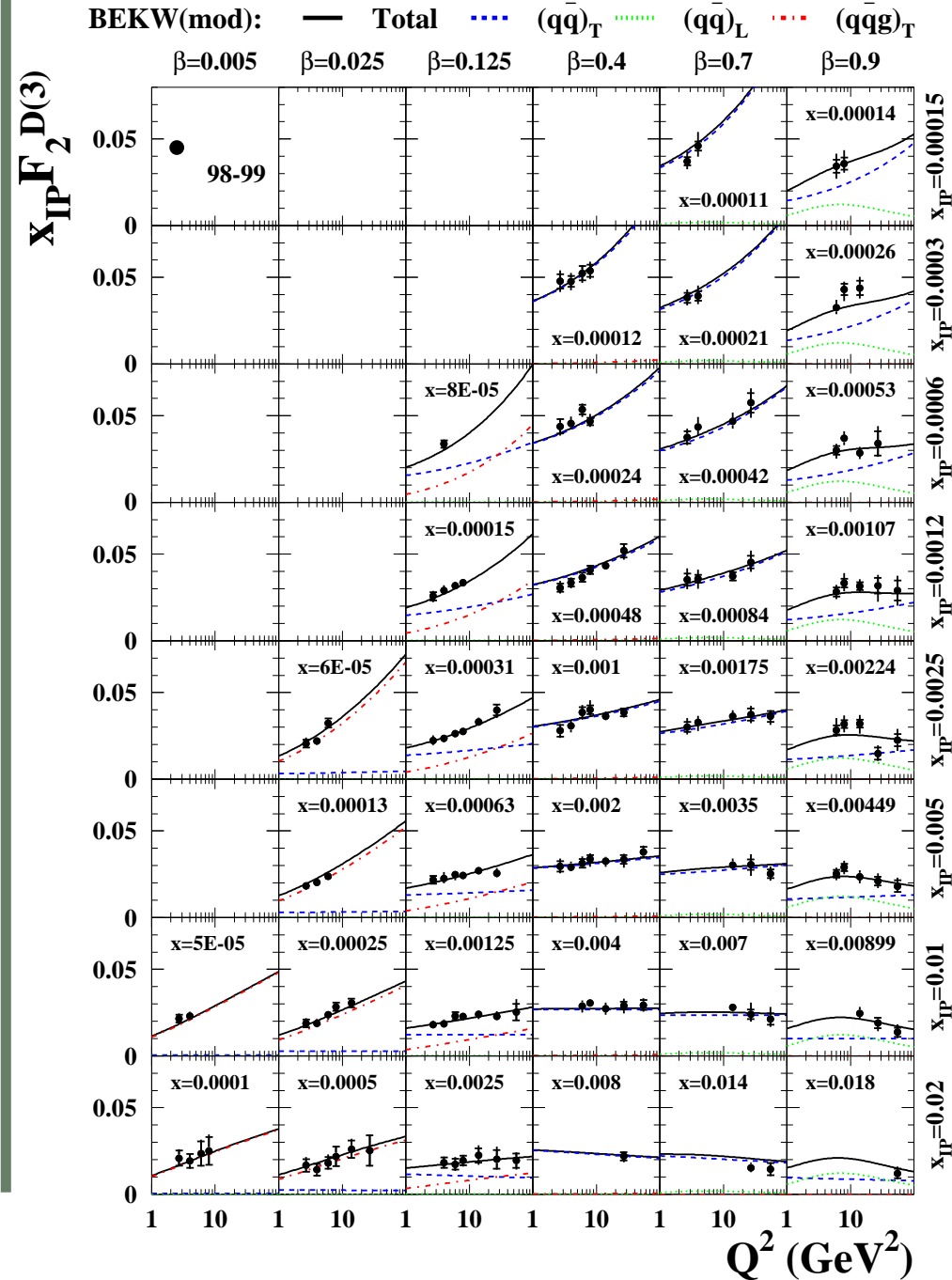
H1 preliminary



H1 Preliminary



Colour Dipole approach



- Dominated by $(q\bar{q}g)_L$ for $\beta < 0.1$
- Dominated by $(q\bar{q})_T$ for $\beta > 0.1$
- Importance of $(q\bar{q})_L$ for $\beta > 0.8$
- $\beta \rightarrow 1 \rightarrow$ exclusive final state

NLO QCD fit: H1 Measurement

QCD Fit Technique:

- factorize $f(x_{\mathcal{P}})f(z, Q^2)$
- Singlet Σ and gluon g
- NLO DGLAP evolution

$$\frac{1}{f_{\mathcal{P}/p}} \frac{\partial \sigma_r^D}{\partial \ln Q^2} \sim xg(x) \otimes \alpha_s \otimes P_{qg}$$

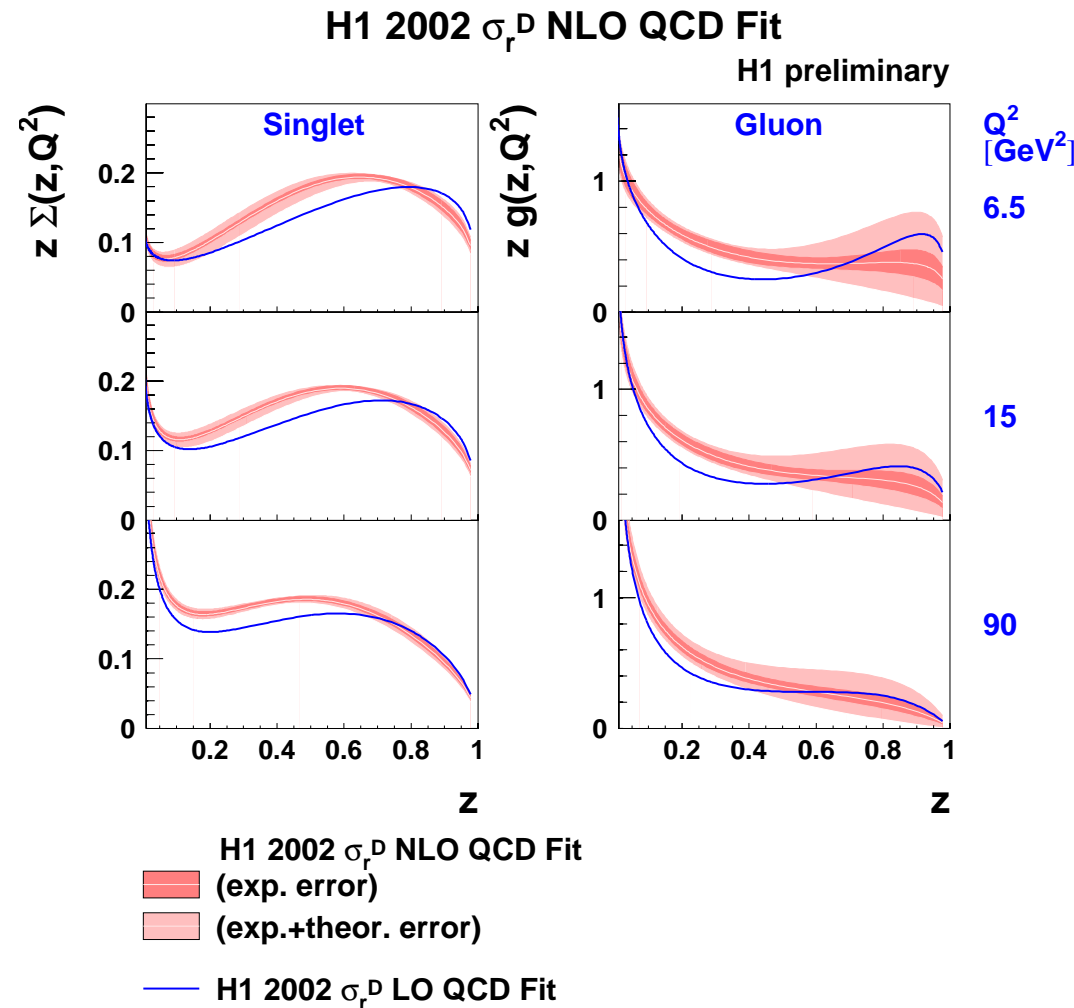
- parametrised at $Q_0^2 = 3 \text{ GeV}^2$
- Fit data for $Q^2 \geq 6.5 \text{ GeV}^2, M_X > 2 \text{ GeV}$

PDF's of Diffractive exchange

- z is the fract. mom. of the parton in \mathcal{P}
- Σ well constrained
- a lot of gluons ($75 \pm 15 \%$ of mom.)

$$\chi^2/ndf = 308/306$$

$$\alpha_{\mathcal{P}}(0) = 1.173 \text{ (Regge fit)}$$



NLO QCD fit: ZEUS Measurement

same Fit procedure applied

- results of the HERA-LHC Workshop

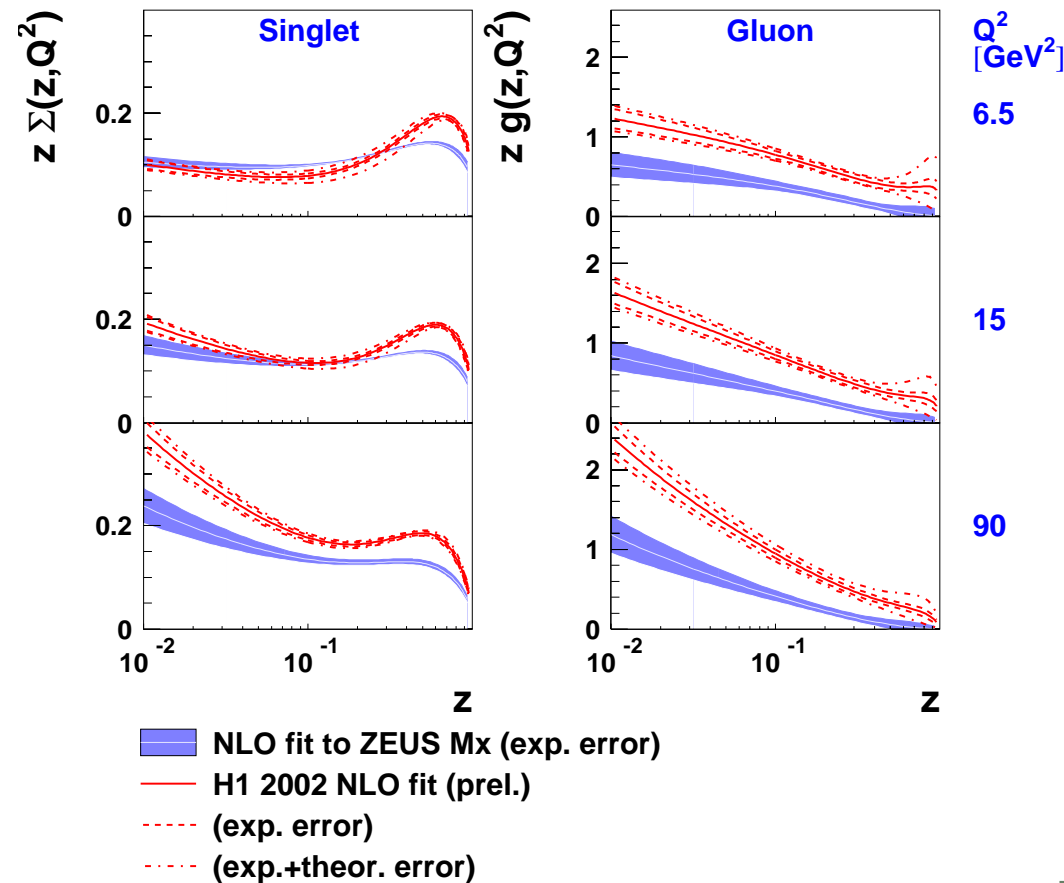
- Fit data for $Q^2 \geq 4 \text{ GeV}^2$
- no meson component (including one doesnot improve the fit)
- $\alpha_P(0)$ fit in the same time

$$\chi^2/ndf = 90/131$$

$$\alpha_P(0) = 1.132 \pm 0.006$$

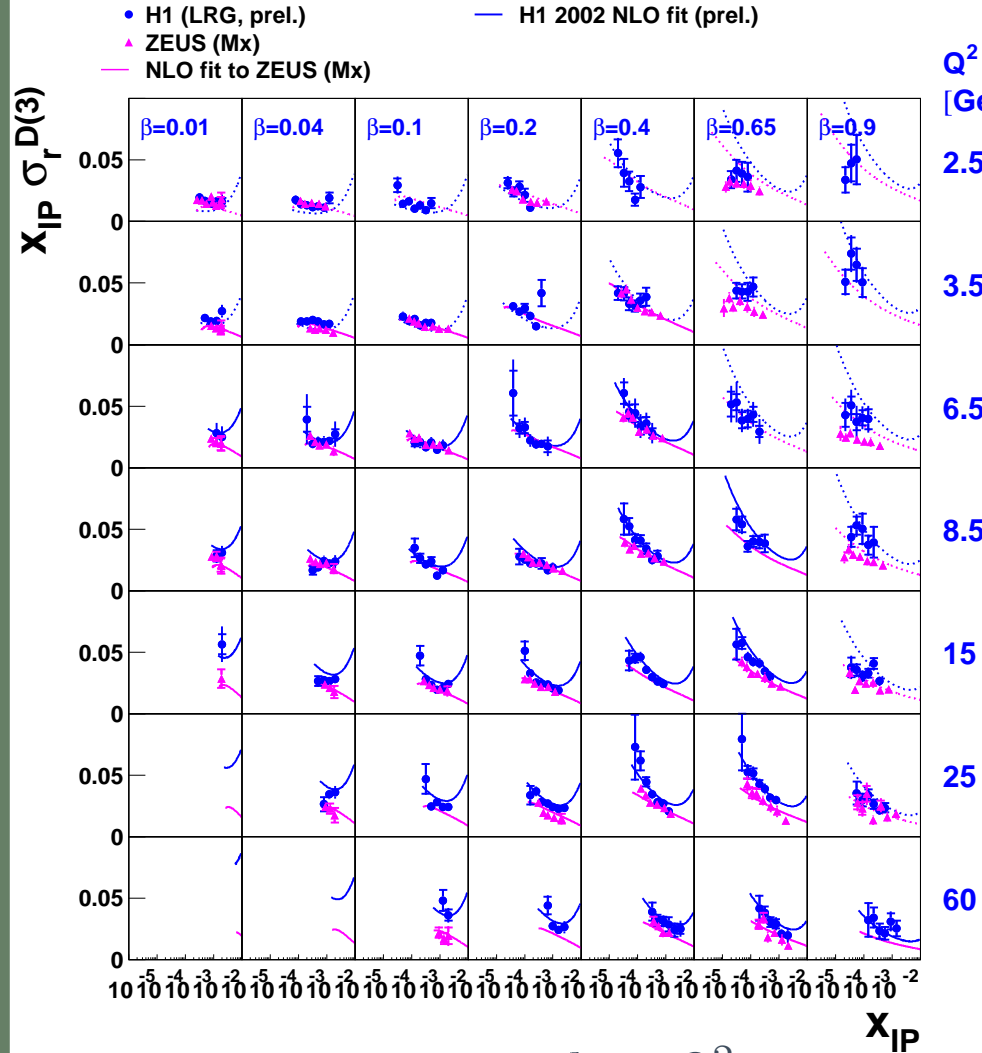
- Singlet similar at low Q^2
- Gluon factor ~ 2 smaller than H1's

NLO QCD fits to H1 and ZEUS data

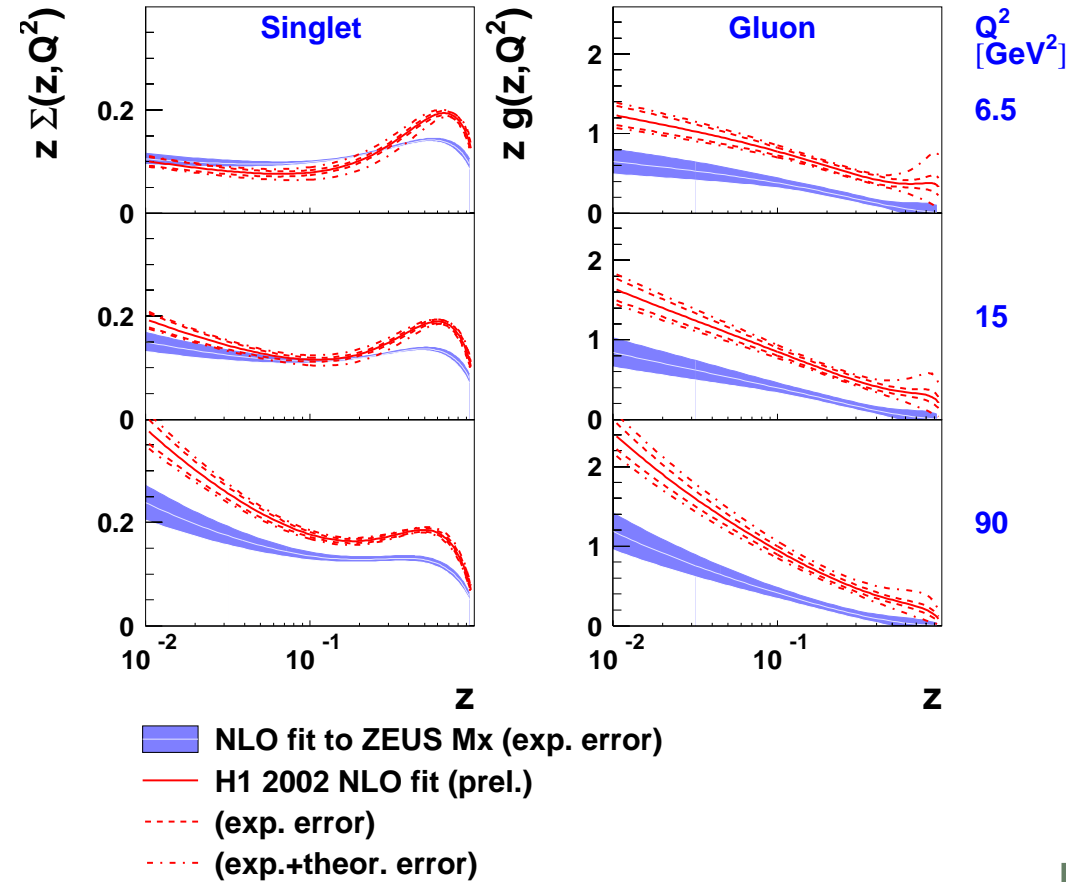


NLO QCD fit: ZEUS Measurement

HERA Diffractive Structure Function



NLO QCD fits to H1 and ZEUS data

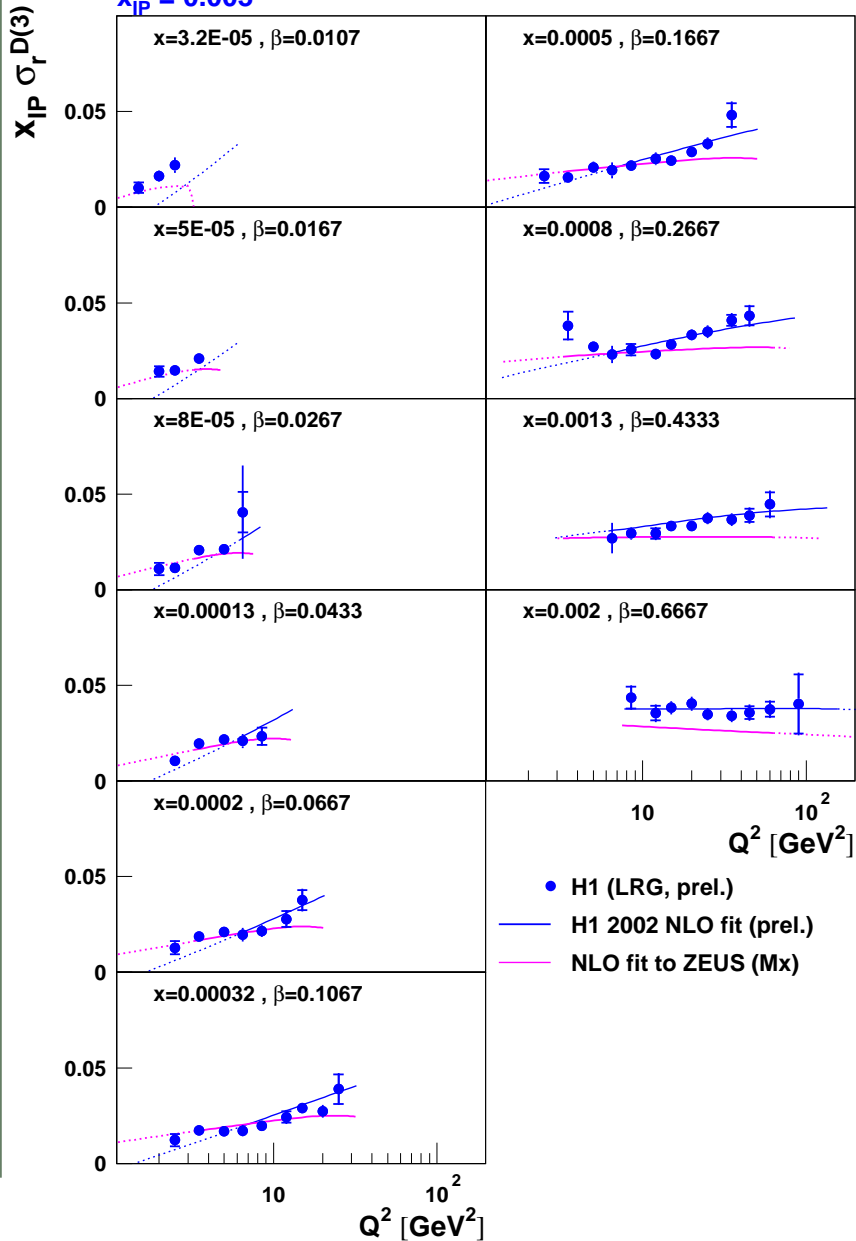


- in agreement at low Q^2
- difference H1-ZEUS at larger Q^2

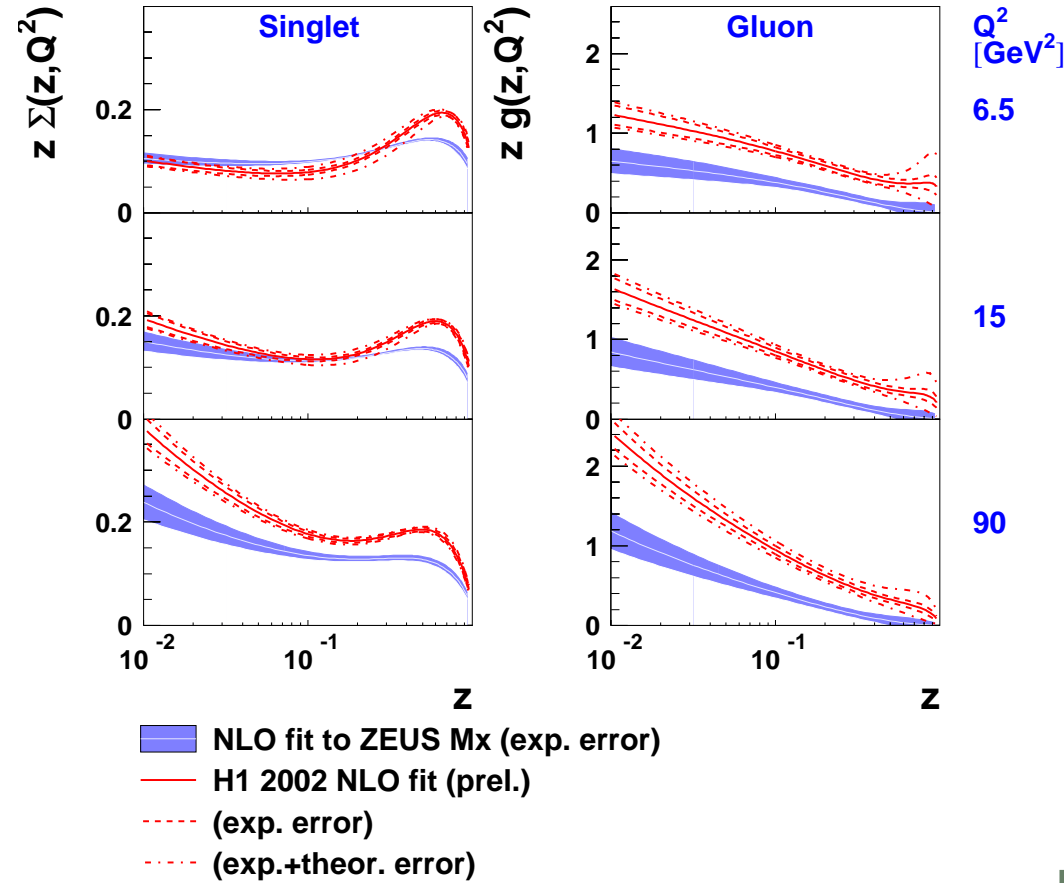
NLO QCD fit: ZEUS Measurement

HERA Diffractive Structure Function

$x_{IP} = 0.003$



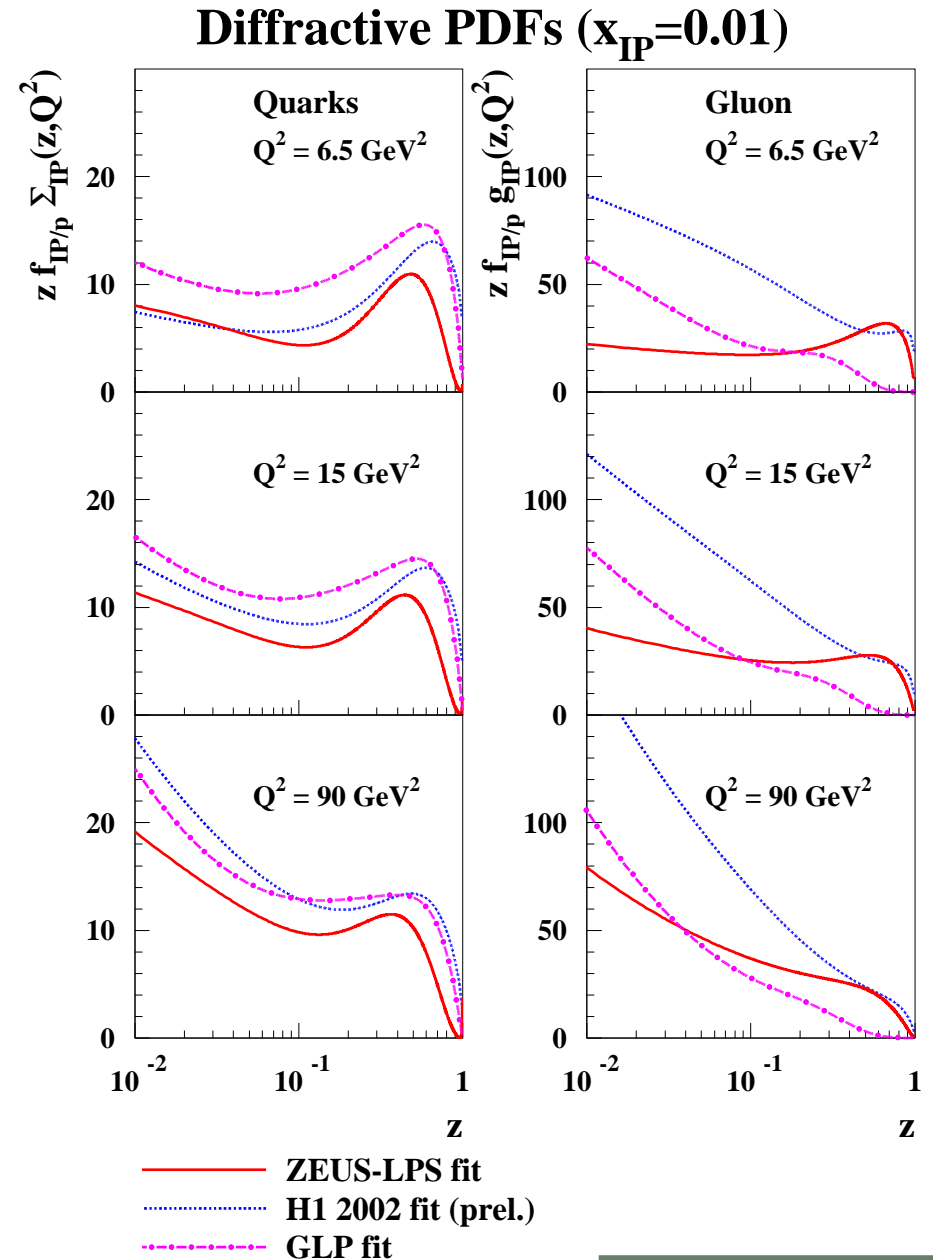
NLO QCD fits to H1 and ZEUS data



More NLO QCD fits

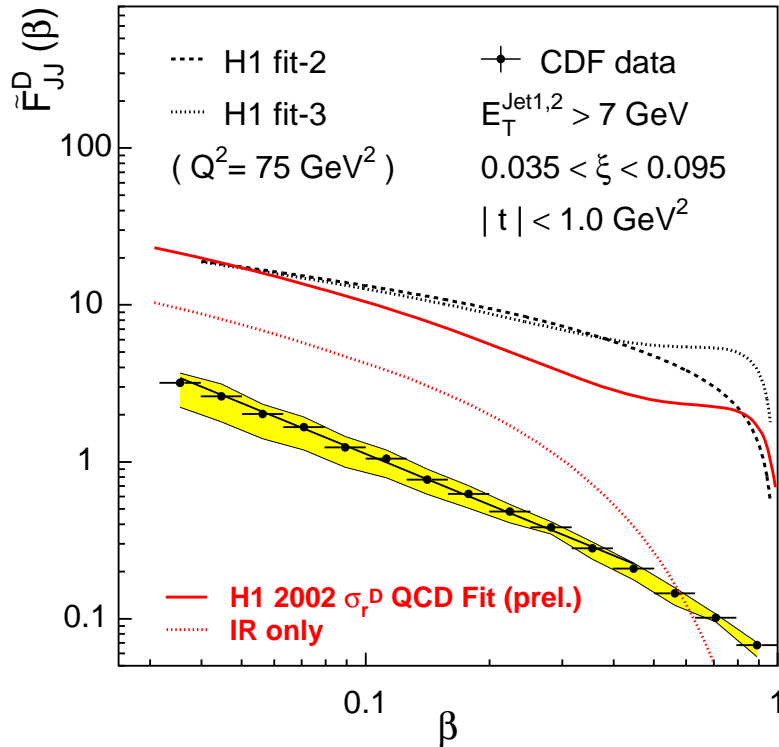
- ZEUS-LPS
fitting LPS data and Diffractive charm
- GLP : Groys, Levy and Proskuryakov
- MRW: Martin, Ryskin, Watt
(including inhomogeneous terms,
direct sea contrib,...)
→ see Graeme Watt talk.
- ...

→ quite unclear situation



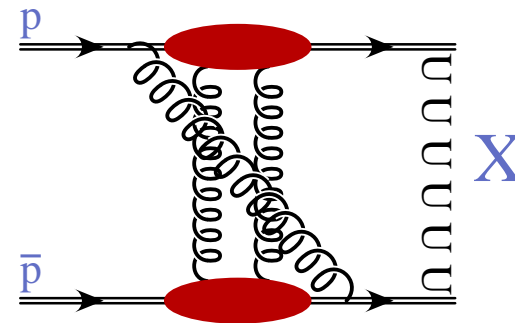
Factorisation breaking at the Tevatron

CDF measurement of the diffractive dijet production (using ratio SD/ND):

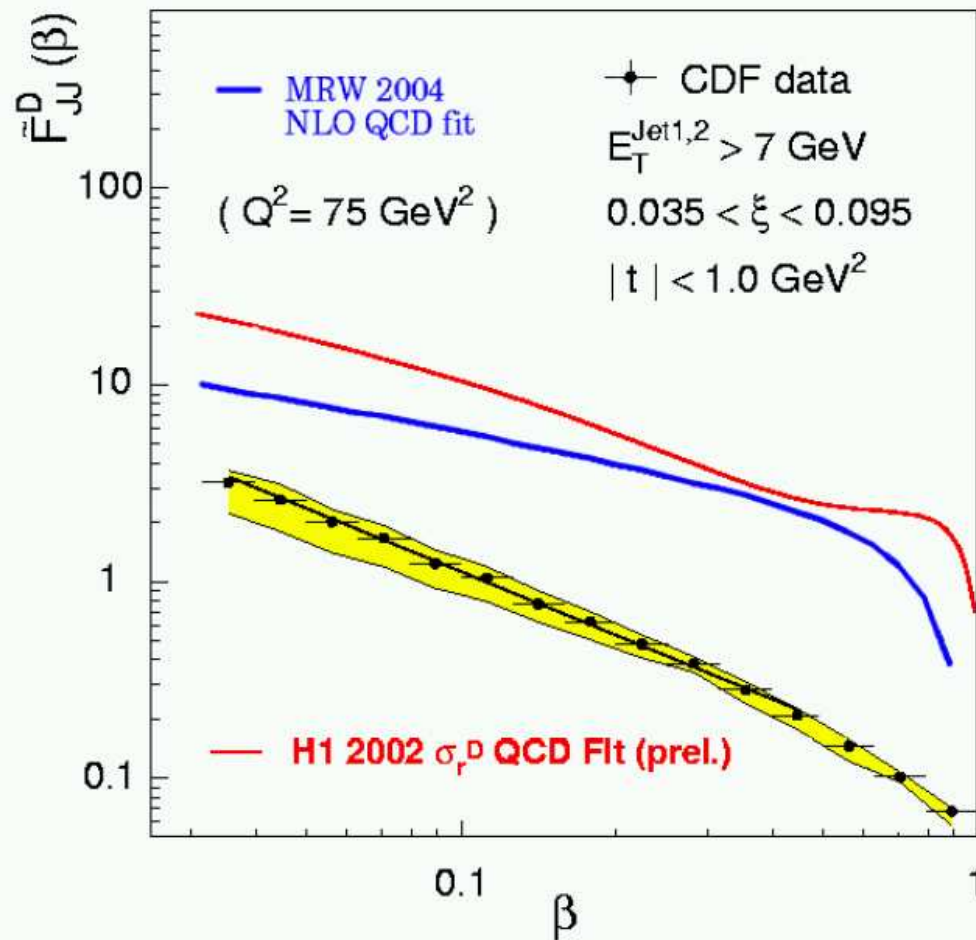


• The prediction based on diffractive PDF's extracted at HERA are one order of magnitude above the measured cross section!

- same to factorisation breaking in soft diffraction (Tevatron RUN I).
- also seen in W & Z production (sensitive to quark) and J/Ψ and b -mesons (sensitive to gluons)
- Factorization not expected to hold in pp . Violation of factorization understood usually in terms of (soft) rescattering corrections of the spectator partons
But other approaches exist...

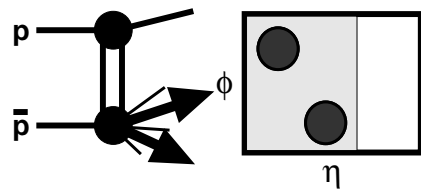


Factorisation breaking at the Tevatron

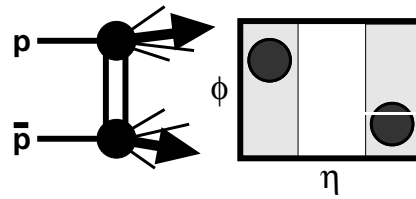


- does not change the conclusion with other DPDF

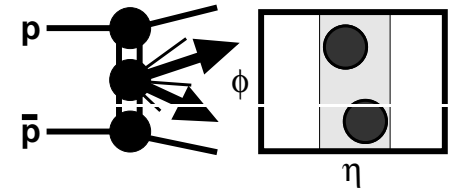
Factorisation breaking at the Tevatron



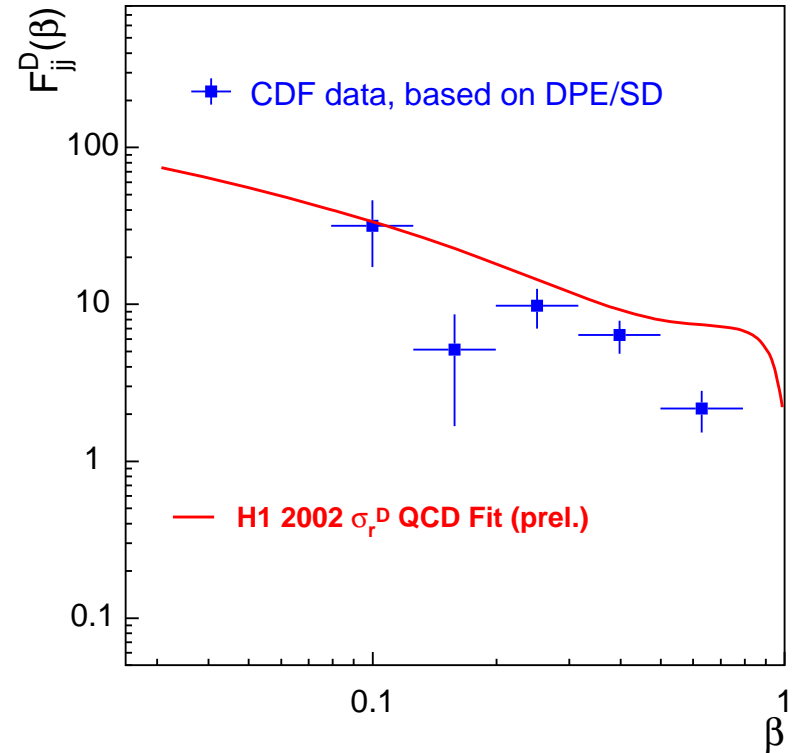
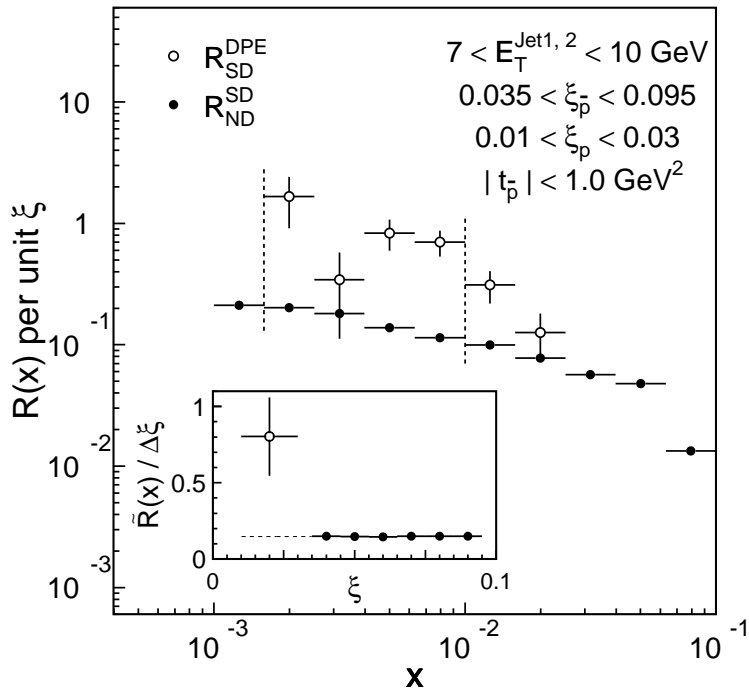
Single Diffraction



Double Diffraction



Double Pomeron Exchange



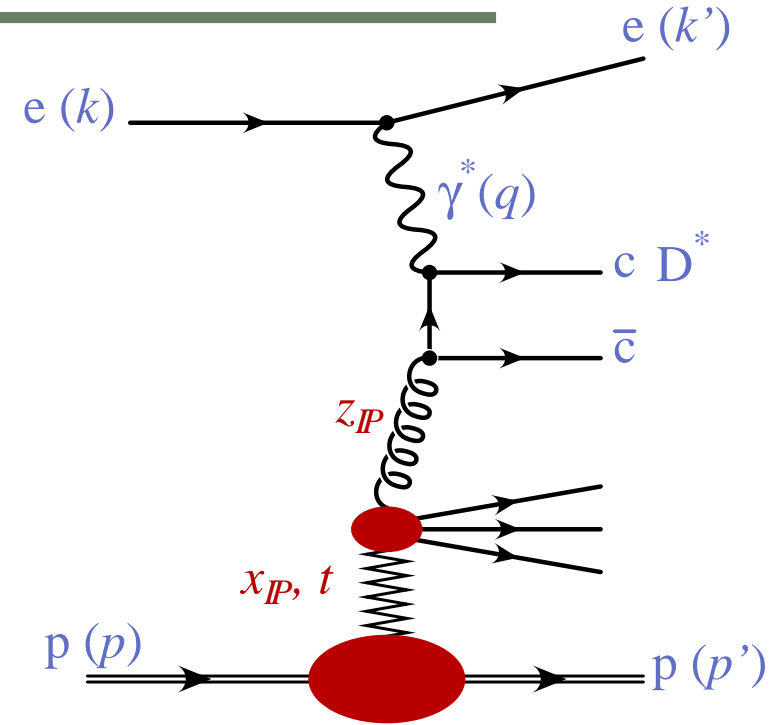
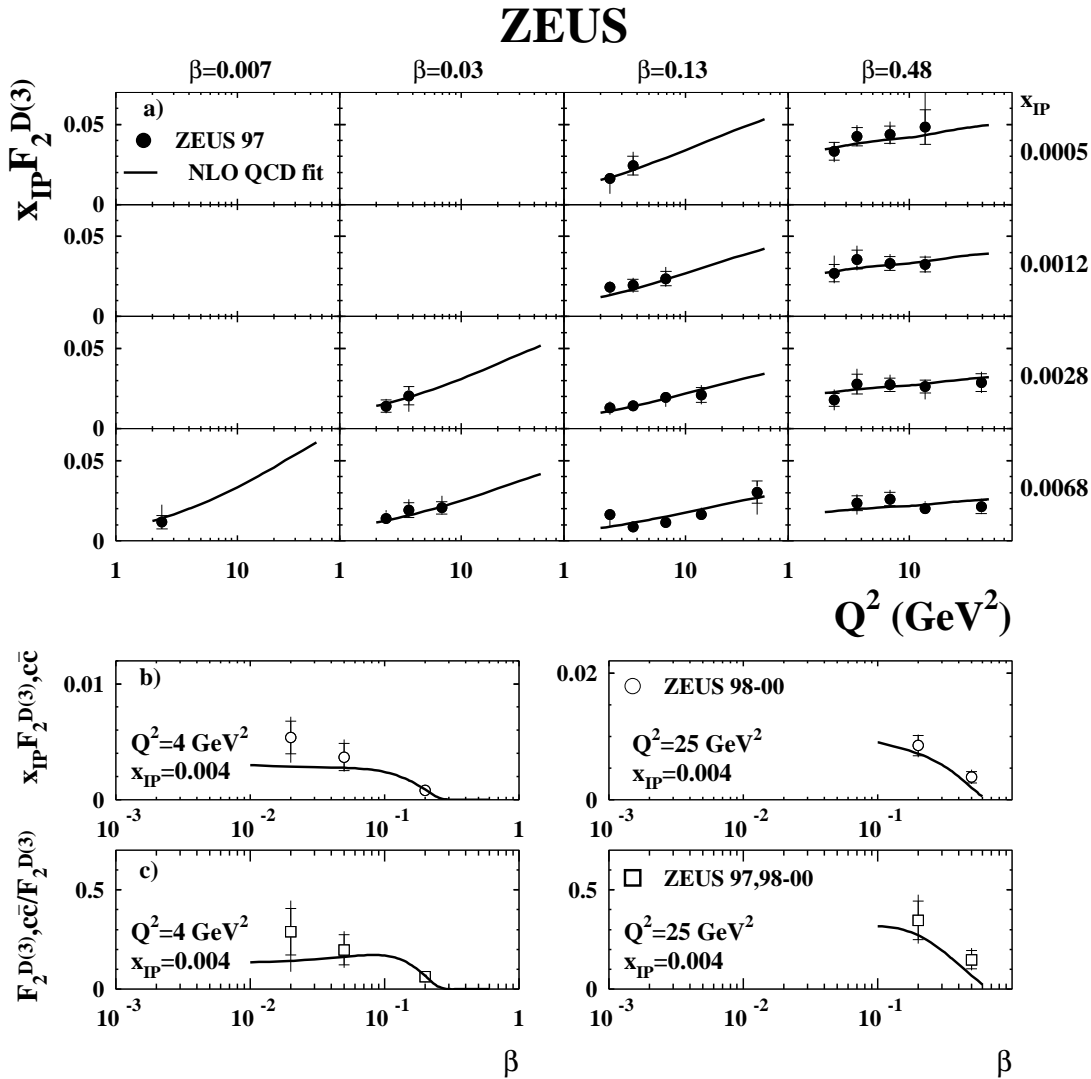
CDF measurement of R_{ND}^{SD} and R_{SD}^{DPE}

$$R_{ND}^{SD} / R_{SD}^{DPE} = 0.19 \pm 0.07$$

Second gap formation unsuppressed

**DPE compatible with expectation
from H1 PDFs**

Test of QCD factorisation: Charm

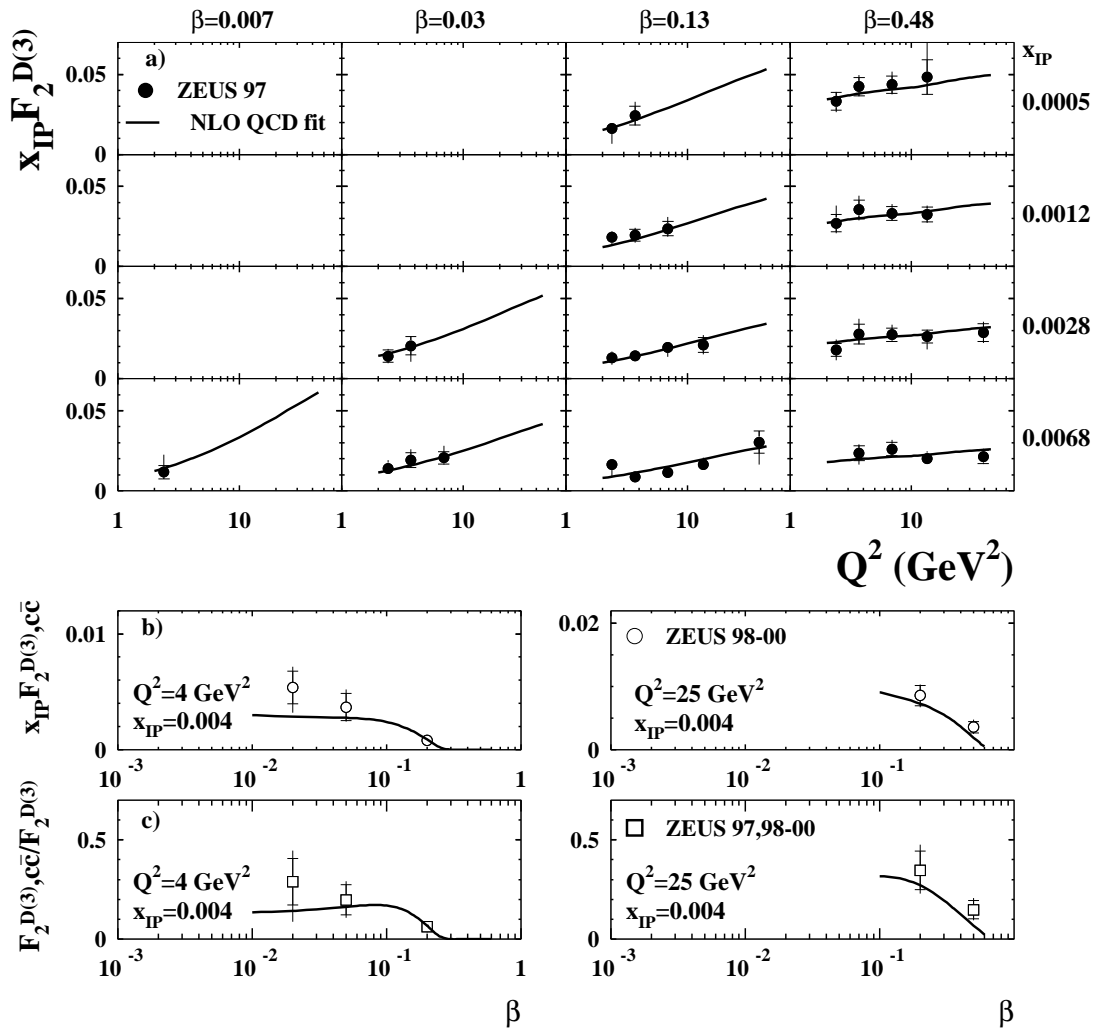


- Data using tagged leading proton
- NLO QCD fit
- ➔ charm prediction

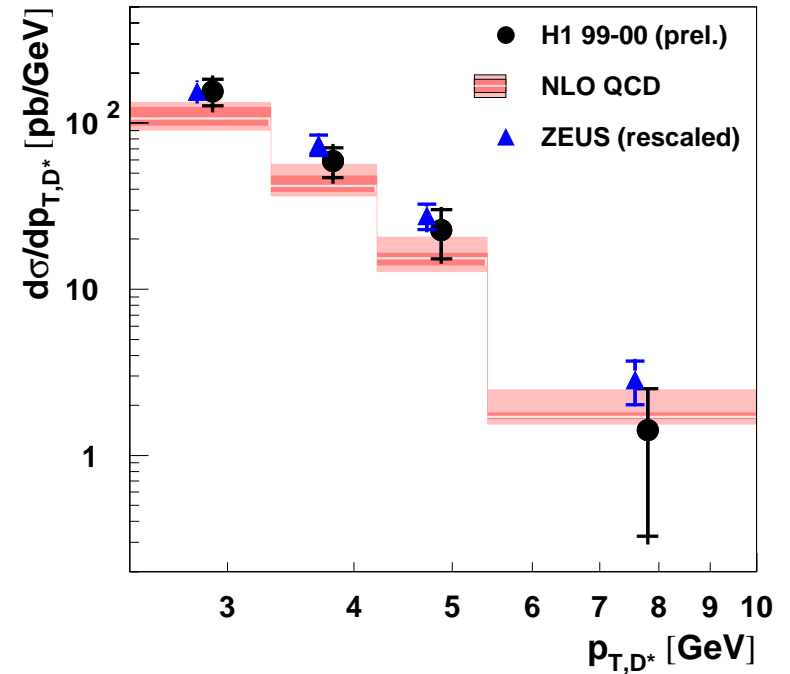
➔ QCD factorization works for Charm in Diffraction with $Q^2 > 4 \text{ GeV}^2$

Test of QCD factorisation: Charm

ZEUS



H1 Diffractive D*



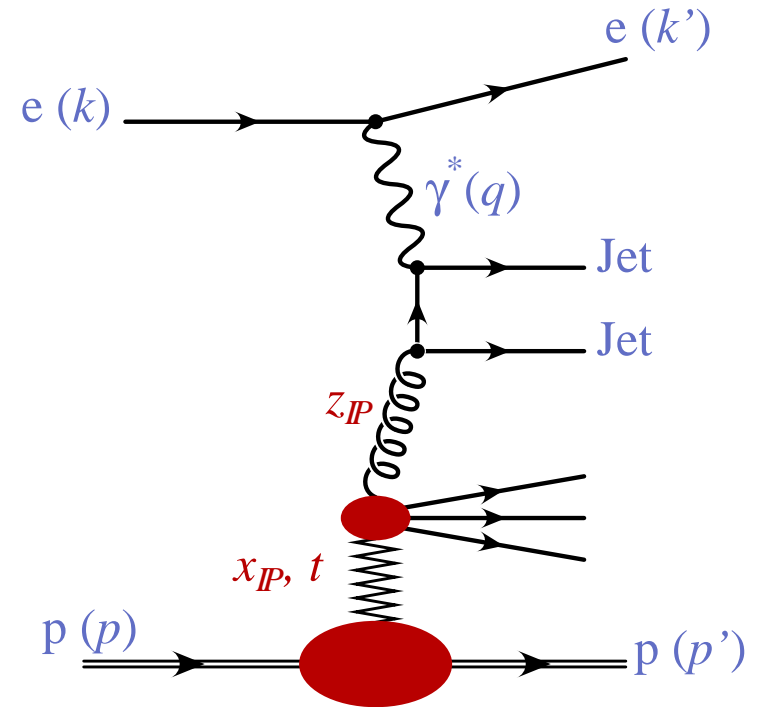
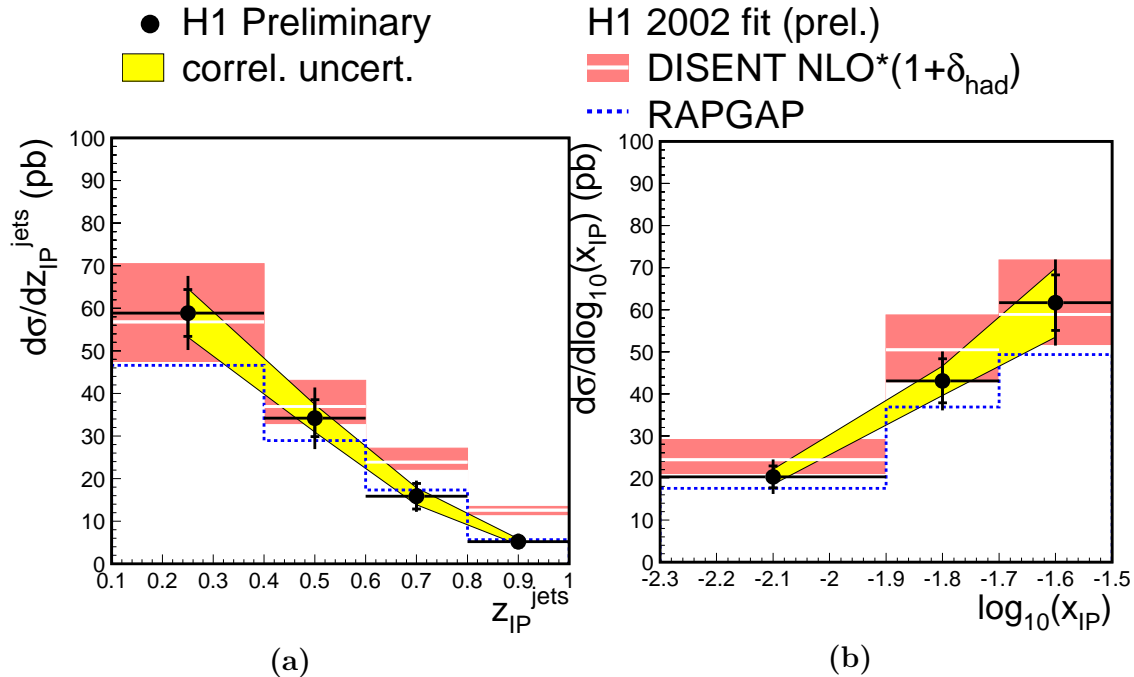
- Data using tagged leading proton
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- ➔ charm prediction

➔ QCD factorization works for Charm in Diffraction with $Q^2 > 4$ GeV²

Test of QCD factorisation: Dijet

Use diff PDFs to predict Dijet production

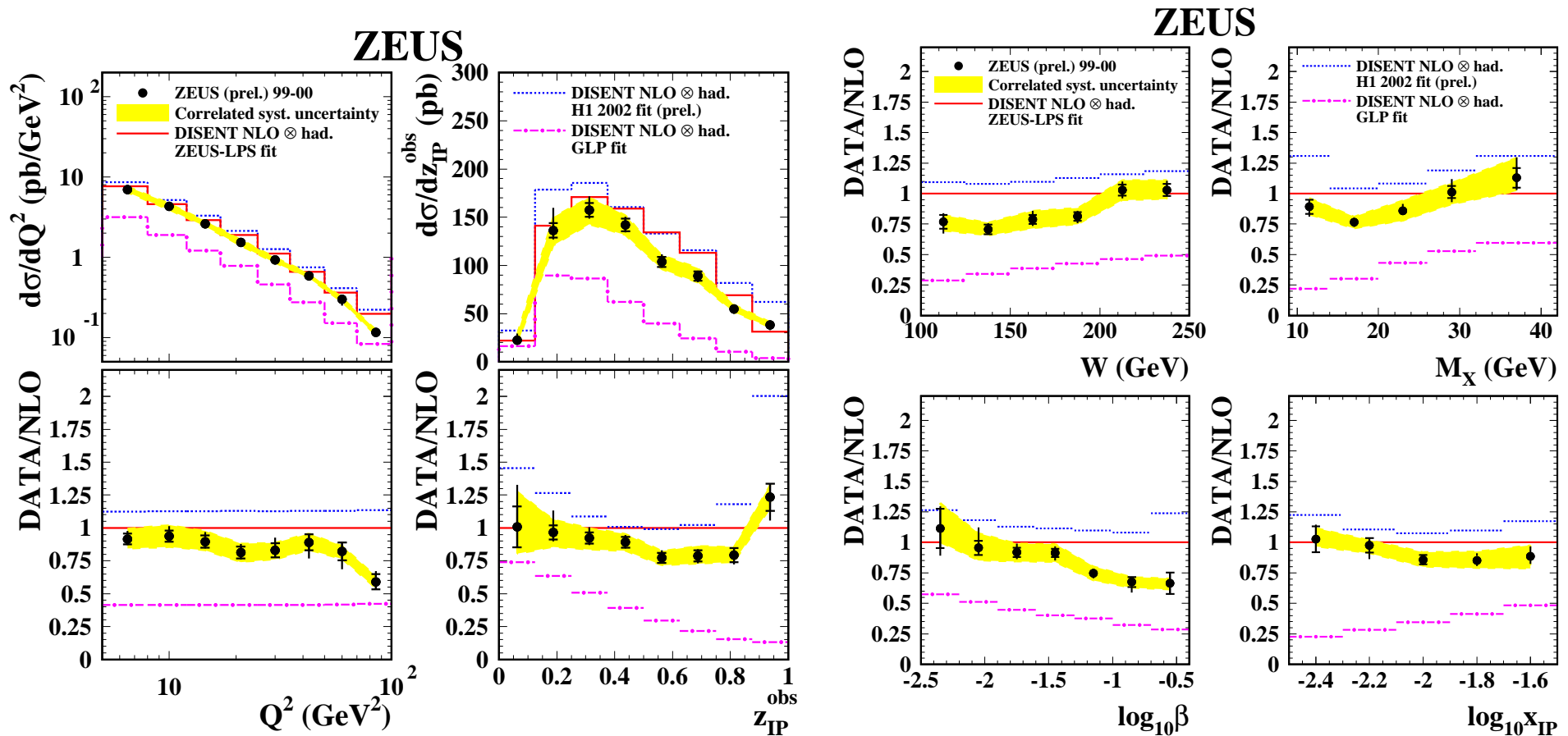
H1 Diffractive DIS Dijets



- $Q^2 > 4 \text{ GeV}^2$, $P_T^{jet1(2)} > 5(4) \text{ GeV}$
- Normalisation and shape OK.

→ QCD factorization works within hard Diffraction (in DIS regime)

Test of QCD factorisation: ZEUS Dijet

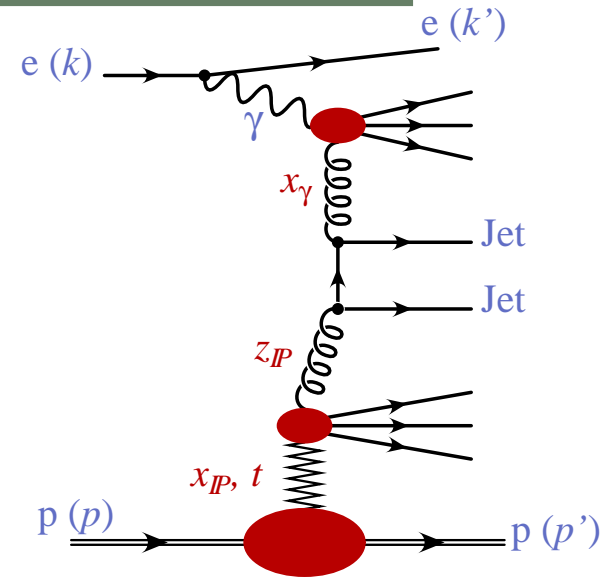
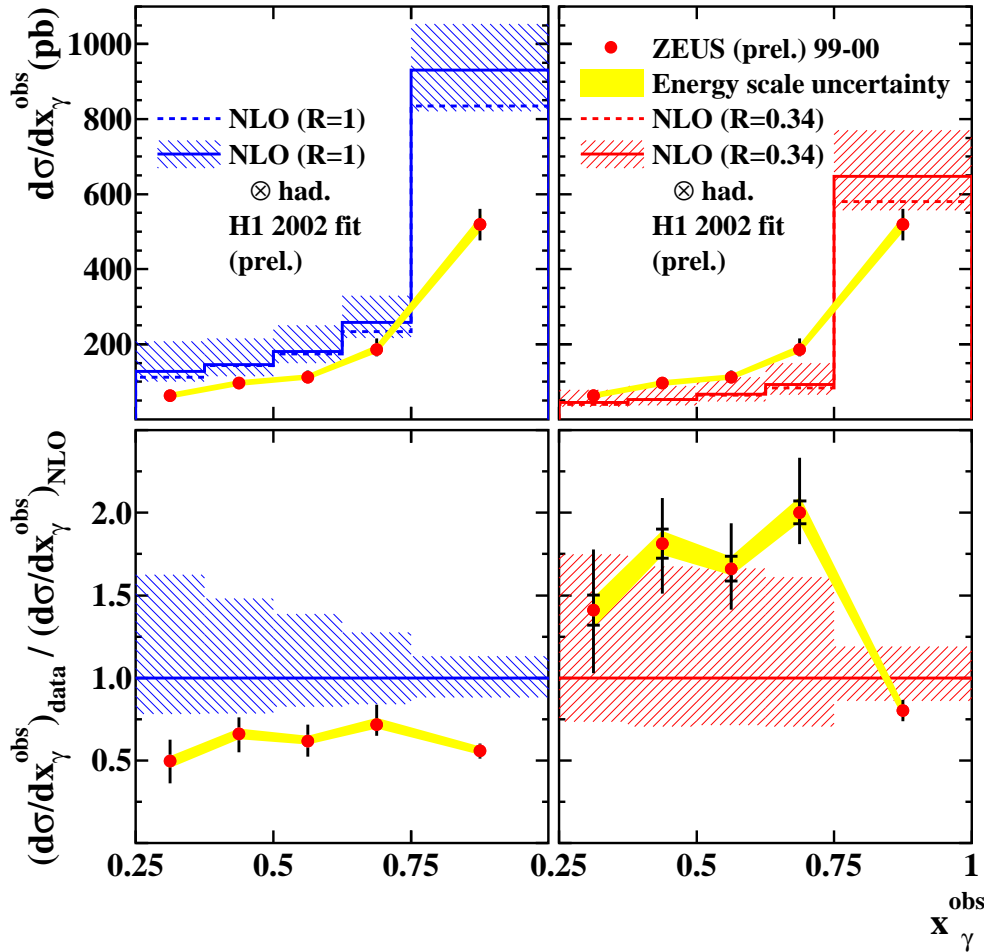


ZEUS concludes: "...differences between PDF predictions may be interpreted as an estimate of the uncertainty..."

"A better understanding of the PDFs and their uncertainties is required before a firm statement about the validity of the QCD factorisation"

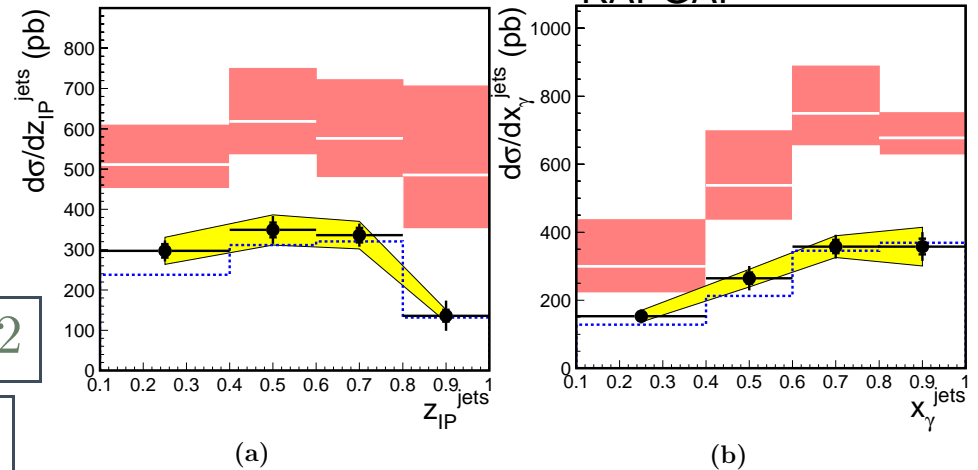
HERA: Factorisation test: Dijet in Photoproduction

Real photon ($Q^2 \simeq 0$) can develop a hadronic structure



H1 Diffractive γp Dijets

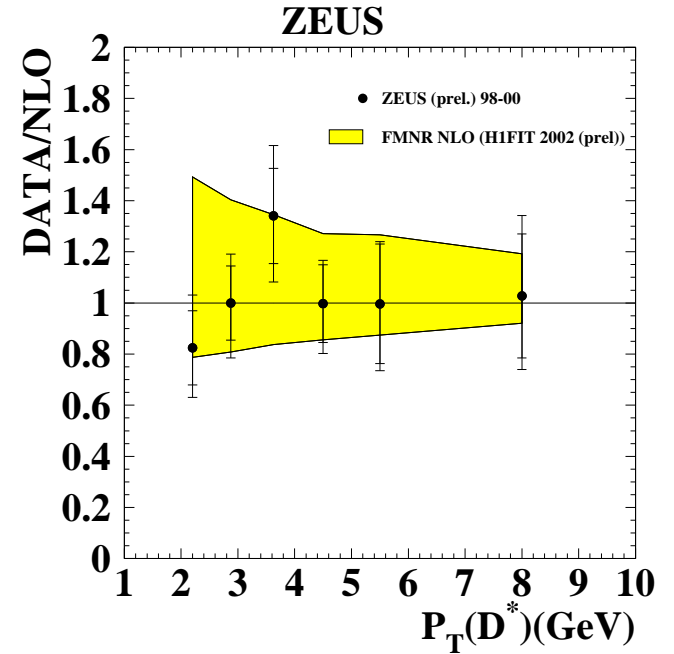
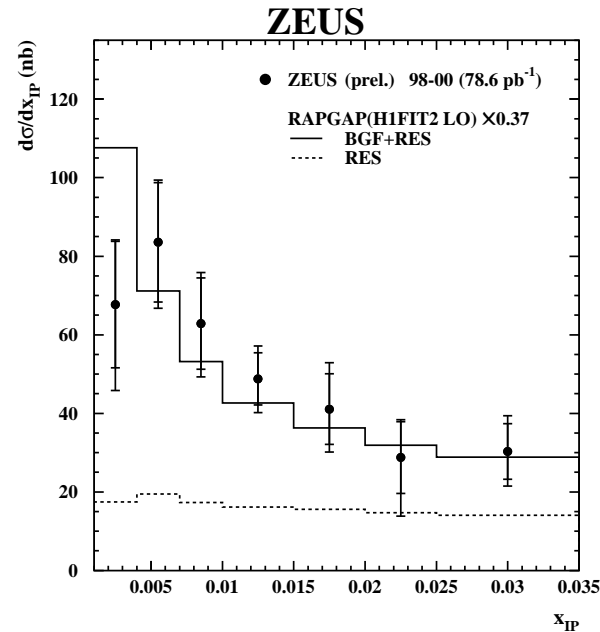
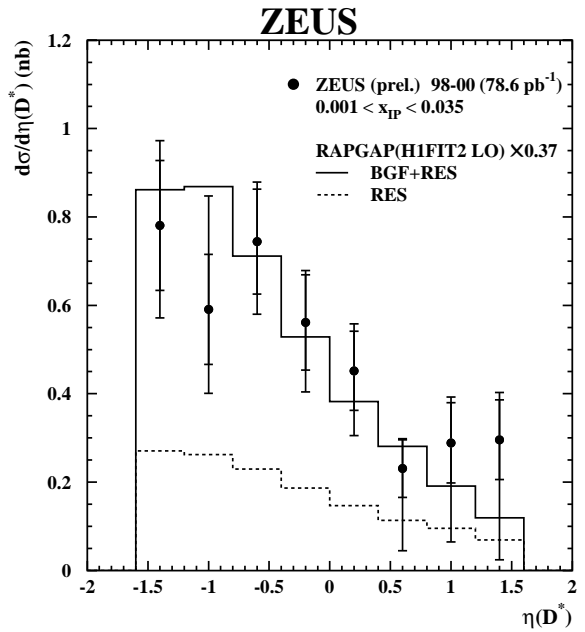
- H1 Preliminary
- H1 2002 fit (prel.)
- correl. uncert.
- FR NLO*(1+ δ_{had})
- RAPGAP



→ NLO prediction above by a factor $\simeq 2$

Suppression of both resolved and direct

Test of QCD factorisation: Charm in Photoprod.



- $Q^2 < 4 \text{ GeV}^2$, $P_T^{D^*} > 1.9 \text{ GeV}$, $\eta_{D^*} < 1.6$
- $130 < W < 300 \text{ GeV}$ $0.001 < x_{IP} < 0.035$
- Shape OK but not normalisation.

→ factorisation broken as for Dijets

Summary of QCD Factorization tests

Assuming H1Fit 2002 is correct

- HERA - Diffraction in DIS regime

- D^* (H1 and ZEUS) validate
- Di-jets (H1 and ZEUS) validate

- Tevatron

- Di-jets in single Diff. (CDF) factor 10 lower than expected from HERA PDFs
- Double Pomeron exchange (CDF) factor 2-1 lower (OK?)
- same in soft and hard diffraction

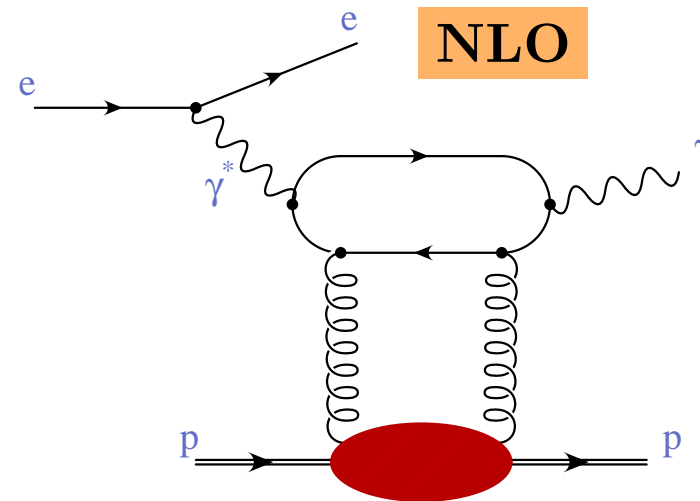
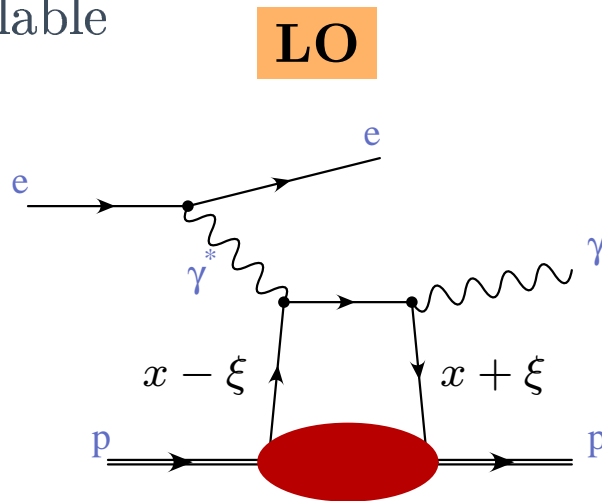
- HERA - Diffraction in photoproduction

- Di-jets (H1 and ZEUS) data below NLO QCD (factor 0.34).
- Di-jets: global suppression of both resolved and direct component
- D^* (ZEUS) data below NLO QCD (factor 0.37).

**=> Better understanding of PDFs and their uncertainties
needed for firm statements**

DVCS - QCD predictions

- Fully calculable in QCD



- NLO leading twist (+ twist three) calc. by [A. Freund and M. McDermott](#) Eur.Phys.J. **C23** (2002) 651. Input: **GPDs**

DGLAP region: $|x| > \xi$

$$\mathcal{H}^q(x, \xi, t; \mu^2) = q(x; \mu^2) e^{-b|t|} \quad \text{q singlet}$$

$$\mathcal{H}^g(x, \xi, t; \mu^2) = x g(x; \mu^2) e^{-b|t|} \quad \text{gluons}$$

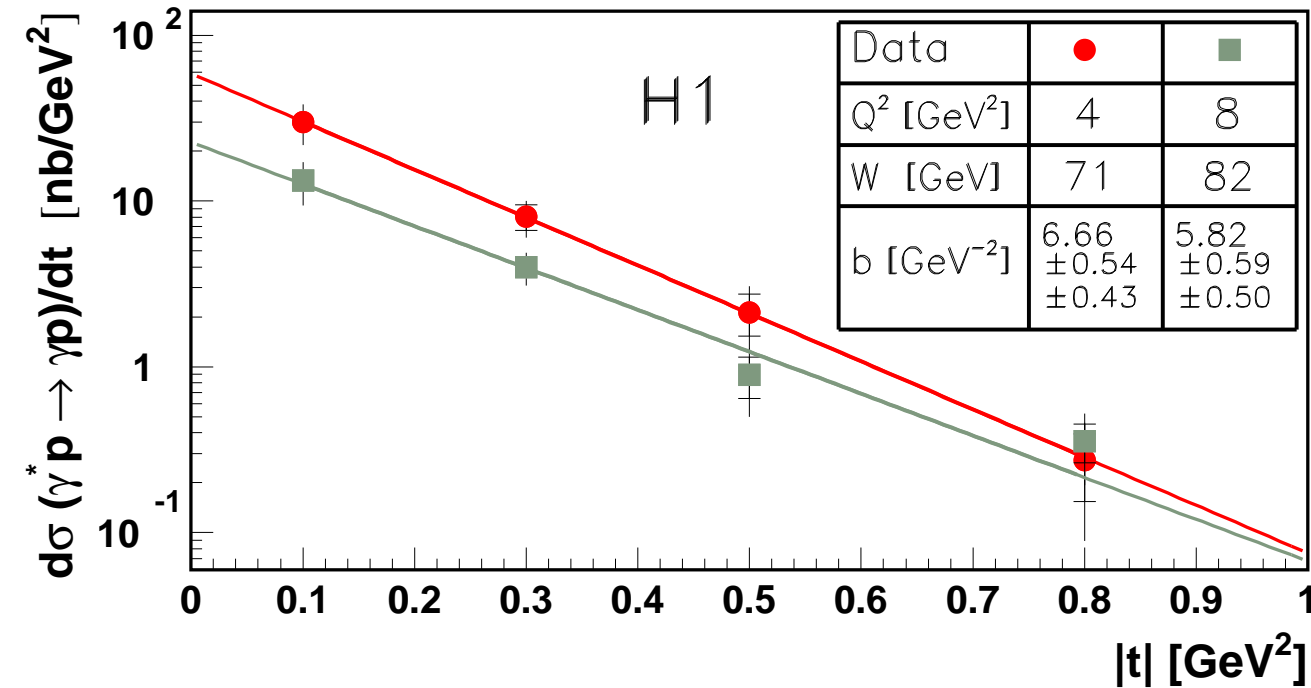
MRST2001 and CTEQ6

ERBL region: $|x| < \xi$

simple analytic function

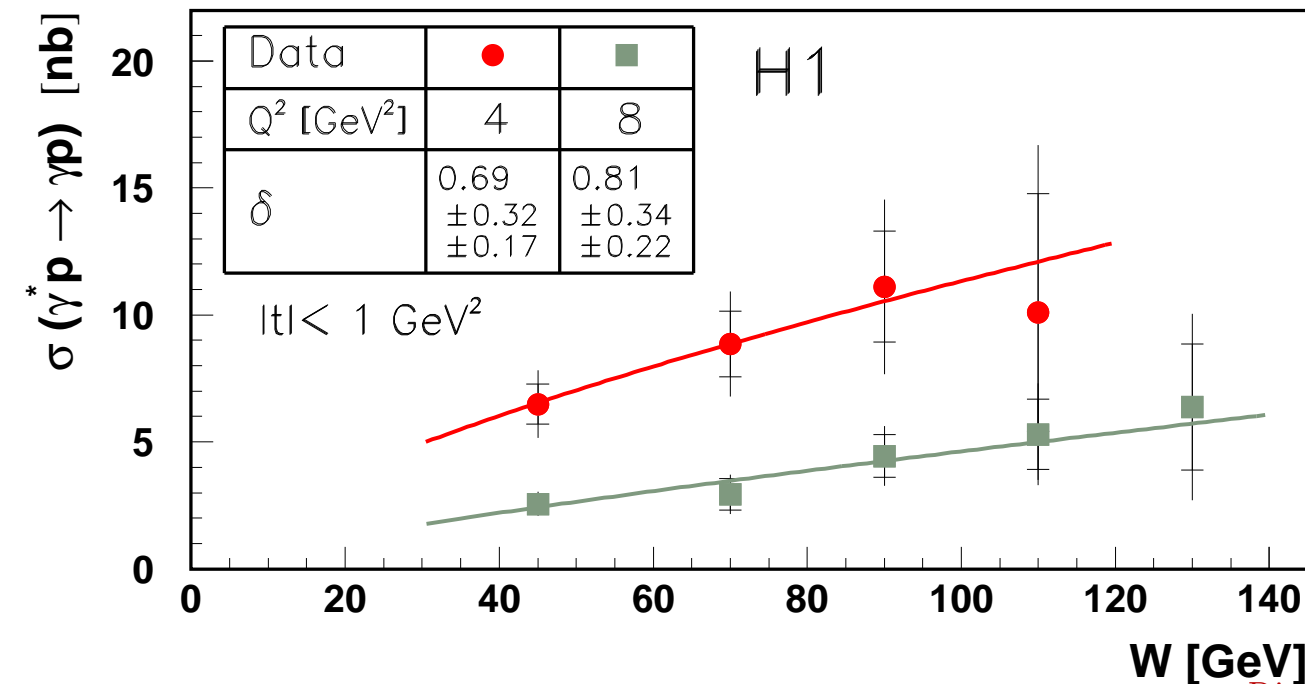
→ Q^2 and ξ generated dynamically

b from the data



→ First measurement of the t slope

combined samples:
 $b = 6.02 \pm 0.35 \pm 0.39 \text{ GeV}^{-2}$



→ W dependence for two Q^2 values

→ Fit W^δ :

→ indication of a hard regime (comparable to J/Ψ)

H1-ZEUS Comparison

→ Agreement

Fit in Q^2 : $(Q^2)^{-n}$

→ $n = 1.54 \pm 0.09 \pm 0.04$

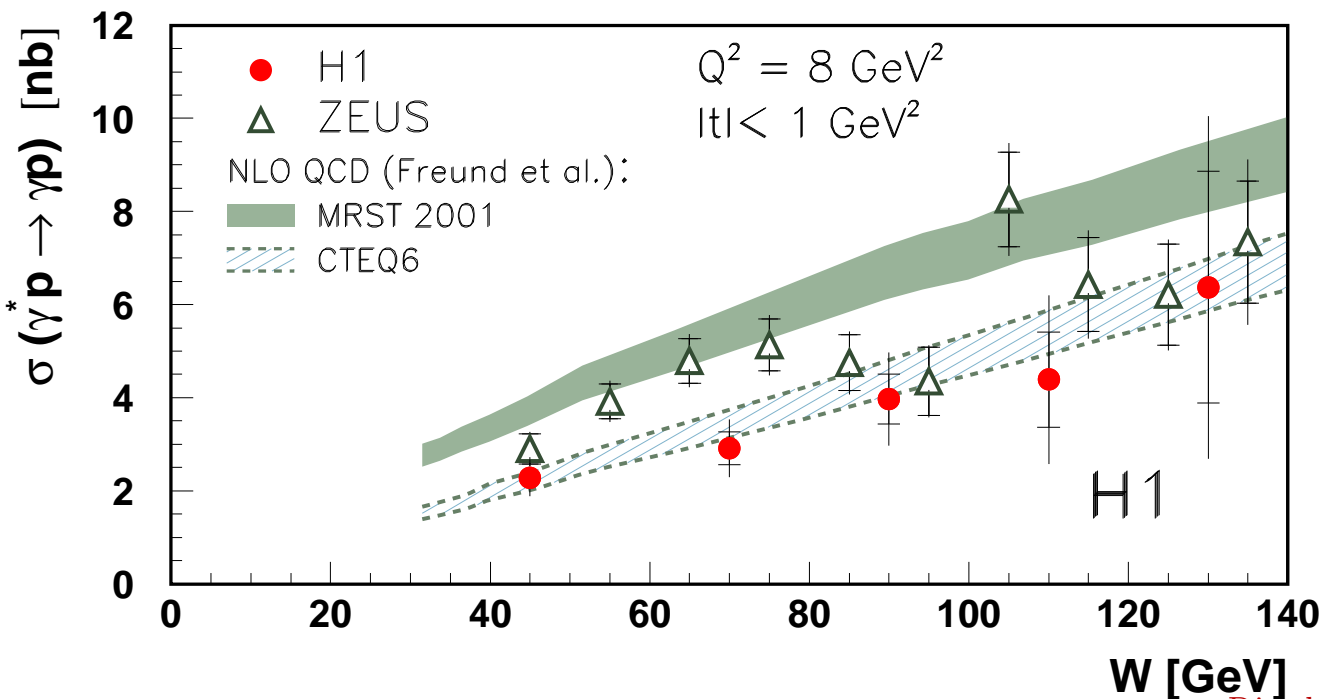
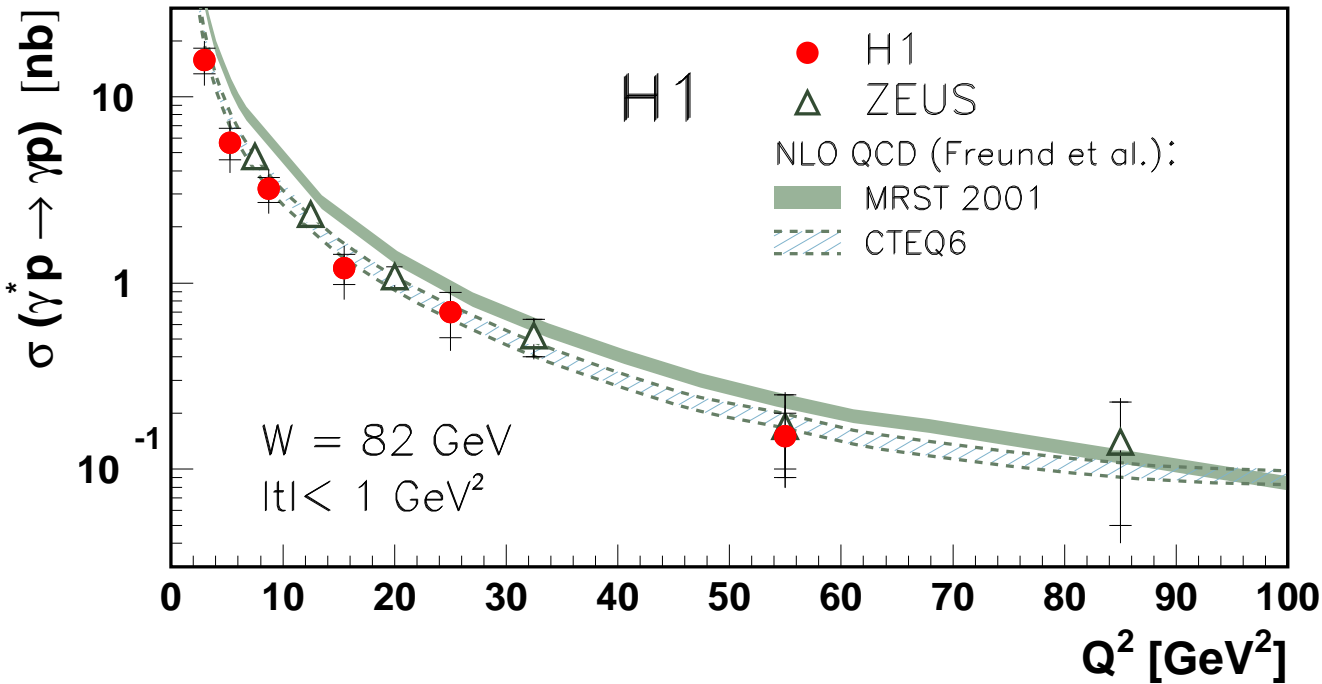
→ n smaller than for VM
($n(\rho) = 2.60 \pm 0.04$)

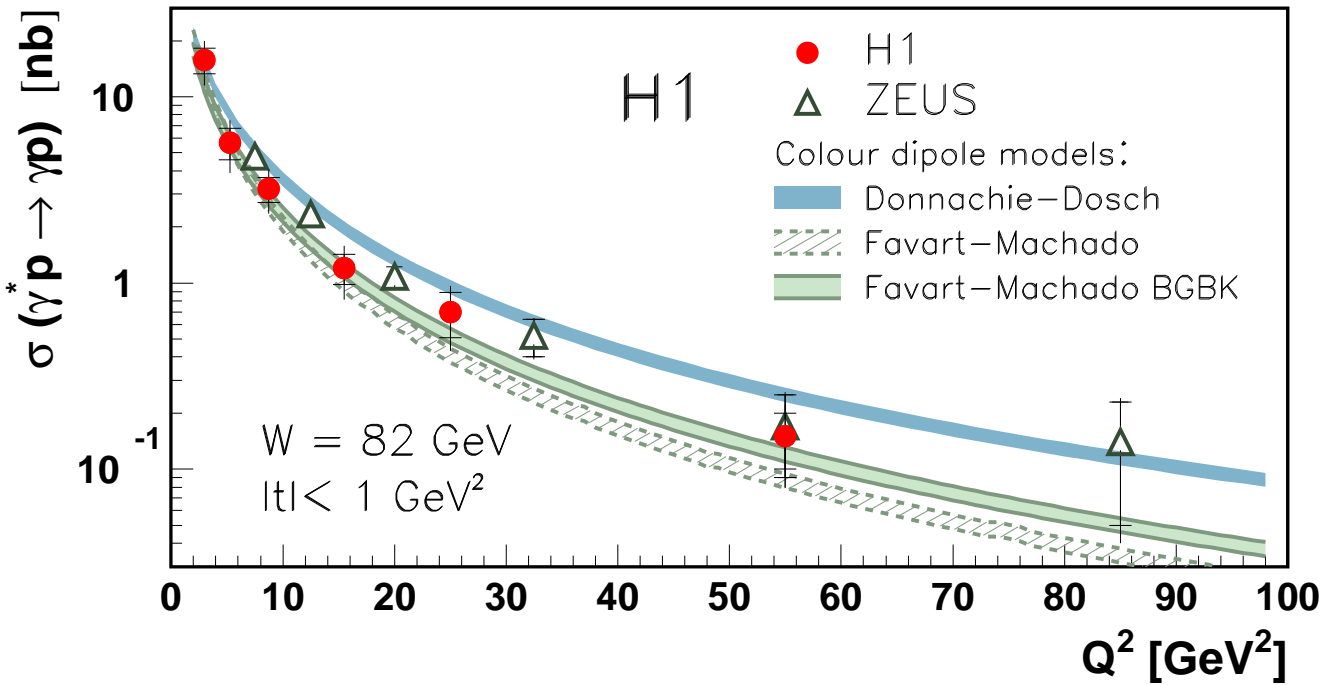
Comparison to NLO QCD:

- Band width provided by b measurement.

→ Good description by QCD-NLO calculations

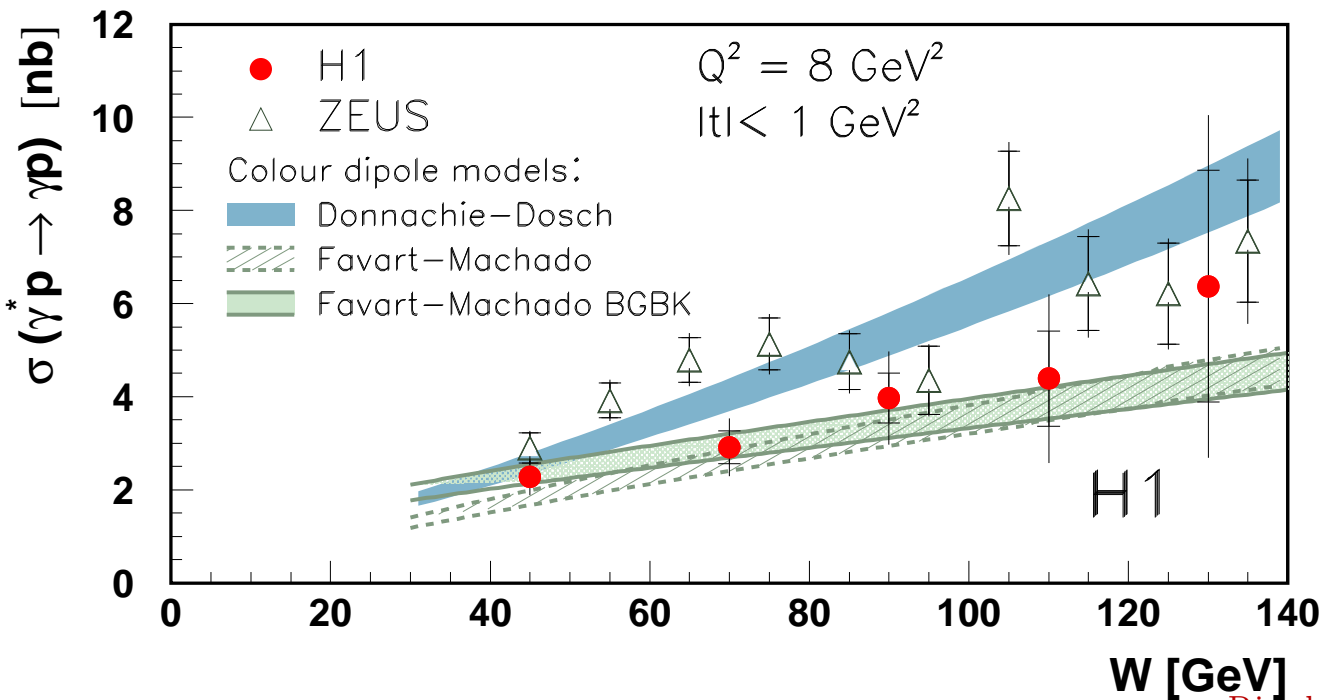
→ No need for intrinsic skewing





Comparison to Dipole Models

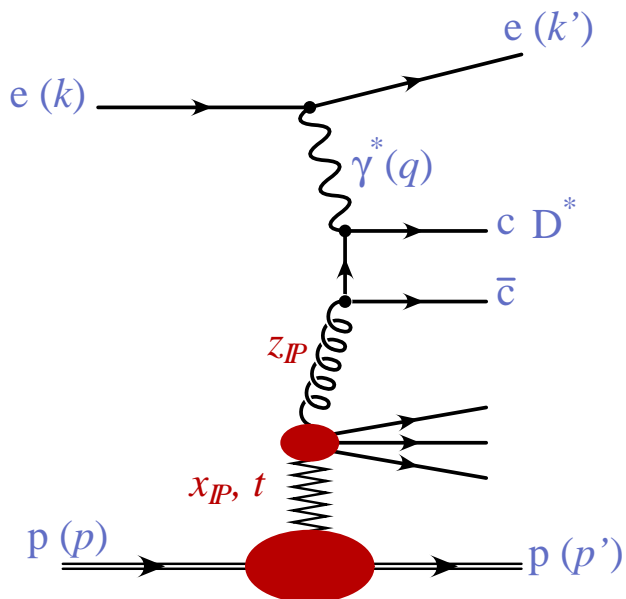
- Good normalisation and shape description
- Improvement in FM when DGLAP evol. included



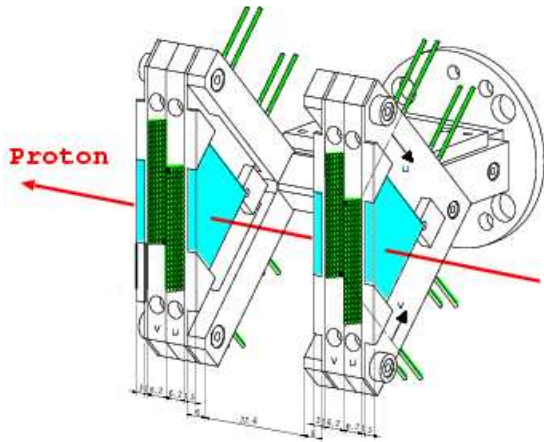
- Still improved if $b(Q^2)$ used as observed for ρ

Future

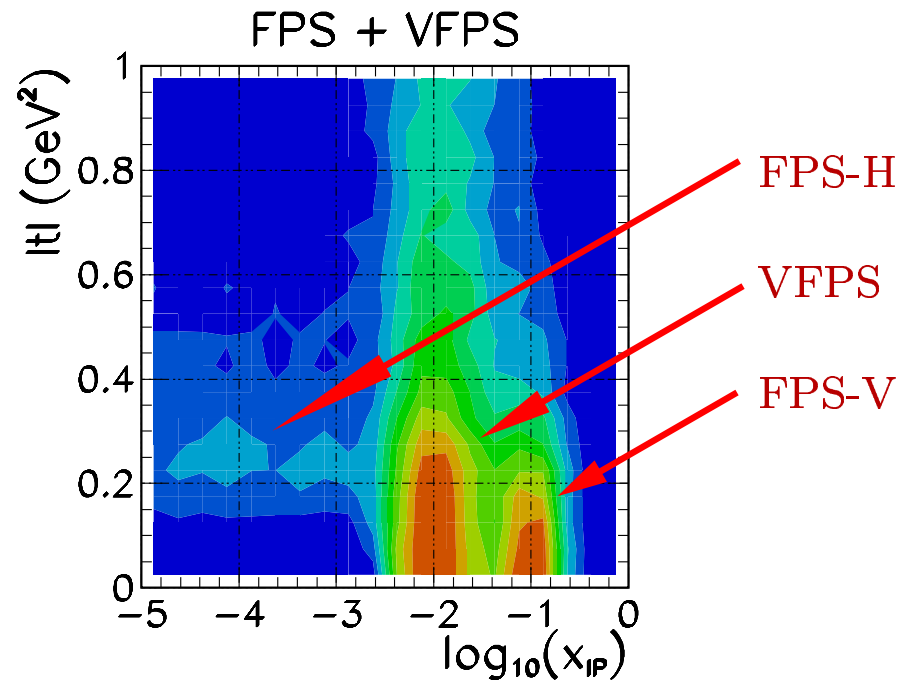
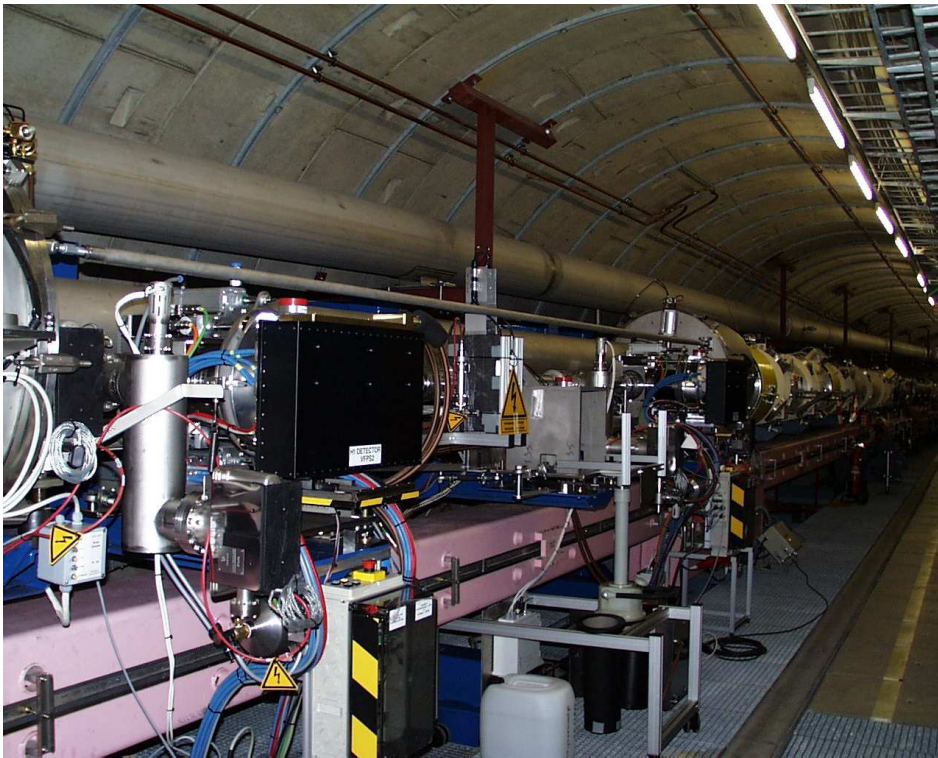
- HERA - more data - in part. D^* , VM, DVCS
- $F_2^{D^{(3)}}(\beta, Q^2, x_{\mathbb{P}})$, $F_2^{D^{(4)}}(\beta, Q^2, x_{\mathbb{P}}, t)$
- measure the t slope of D^* and Di-jet in both electro and photoprod.
- ratio of incl. di-jet to diff di-jet in photoprod.
- same for D^*



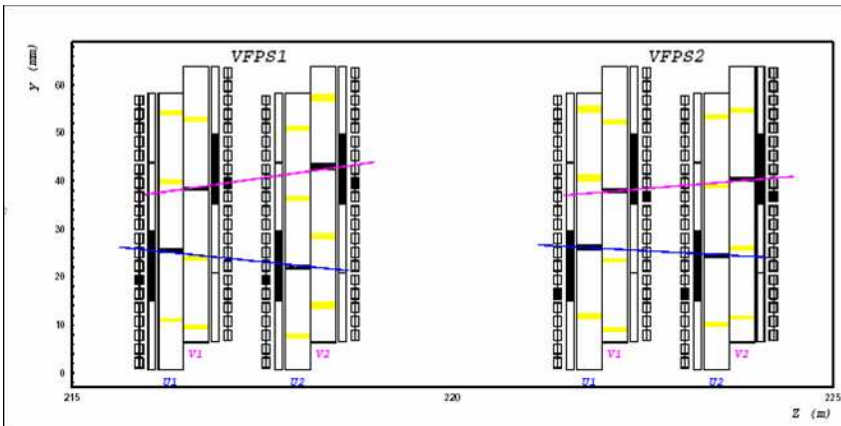
H1 at HERA II



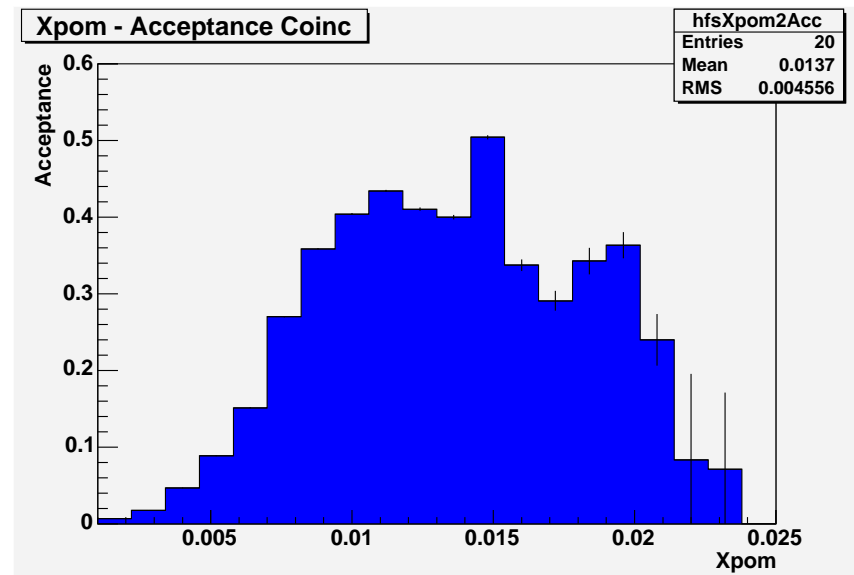
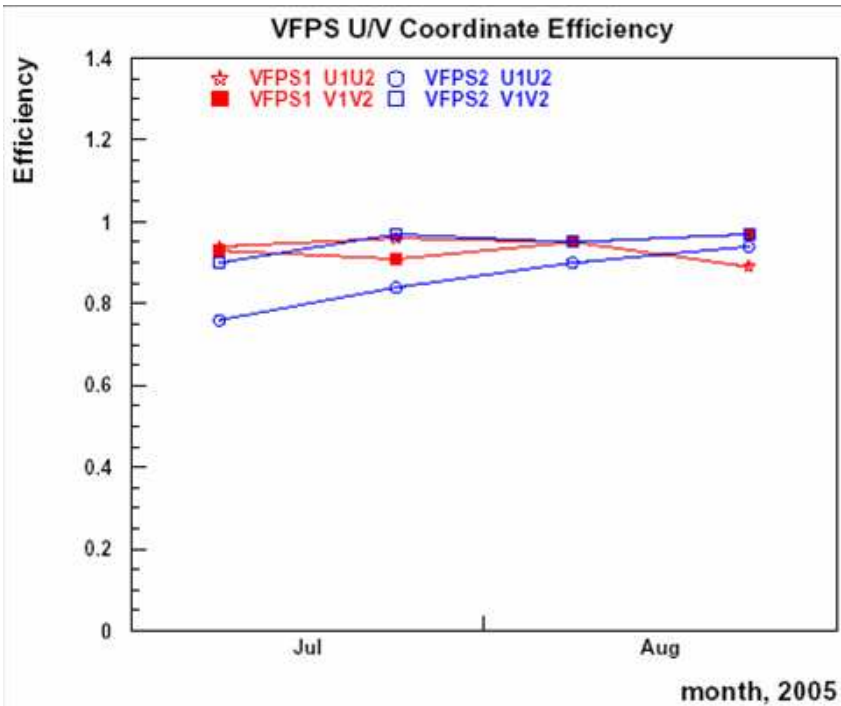
- Scintillating fiber detector
 - Free of proton dissociation bkgd
 - proton 4-momentum measurement $\rightarrow t$
- \rightarrow commissioning January 2004



VFPS - First year of data



- High efficiency
- Acceptance in the expected x_P region
- High acceptance



Conclusion

- Diffraction is a subtle QCD process.
- the partonic structure of the exchanged object in diffraction has been measured.
- it is dominated by gluons.
- Diffractive Structure functions can be factorised in DIS regime (large Q^2) in $\gamma^* - p$ interactions
- Factorisation broken pp^* (Tevatron)).
- Factorisation broken in photoproduction (dijets and D^*).
- better measurement and understanding of DPDFs are needed
- **DVCS**: first t slope measurement
- very good agreement with NLO QCD (absolute) prediction
- Sensitivity to gluon density and parton correlations (GPDs).