

# Inclusive Diffraction in DIS at HERA

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on behalf of the **H1** and **ZEUS** collaborations

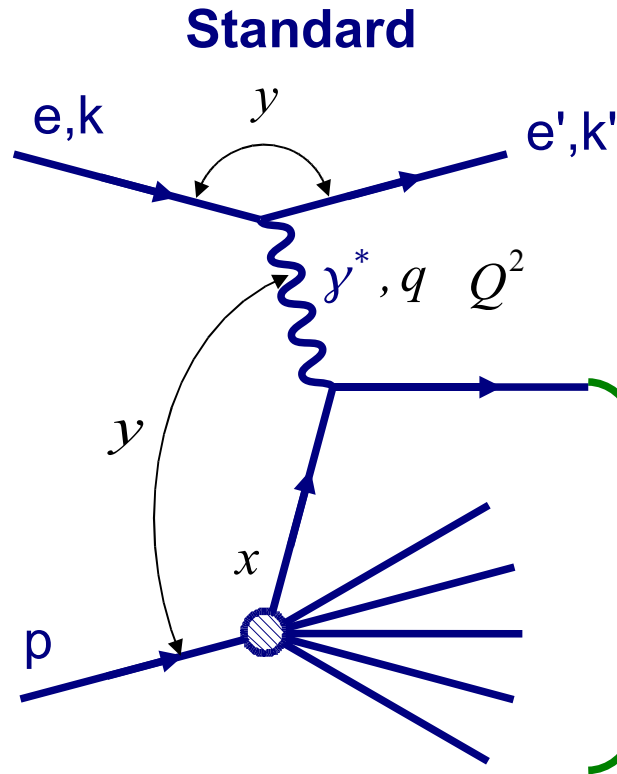
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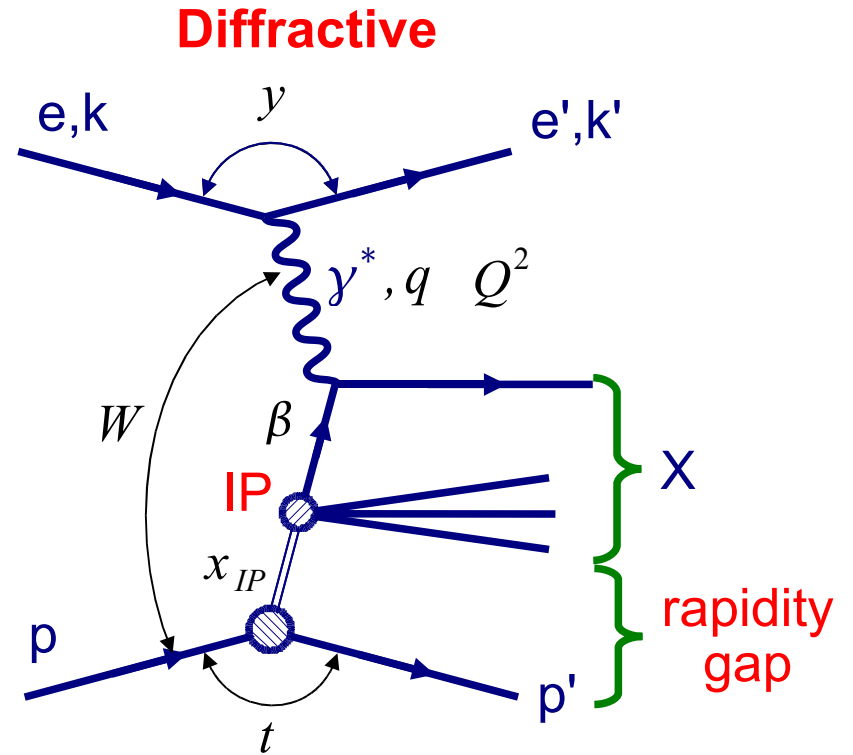
# Outline

- Introduction – description of NC diffractive DIS, event topologies, structure functions
- Methods of diffractive sample selection: Scattered proton tagging, LRG,  $M_x$
- H1 and ZEUS results, Regge fit, H1 2006 DPDF fits,  $Q^2$  dependence, comparisons
- Summary

# NC Deep Inelastic $ep$ Scattering



colour flow



rapidity gap

$$Q^2, x, y$$

$$x \equiv \frac{Q^2}{2p \cdot q}$$

$$x = \beta x_{IP}$$

$$t = (p - p')^2$$

$$M_X$$

$$x_{IP} = \frac{(p - p') \cdot q}{p \cdot q}$$

$$\beta = \frac{Q^2}{2(p - p') \cdot q}$$

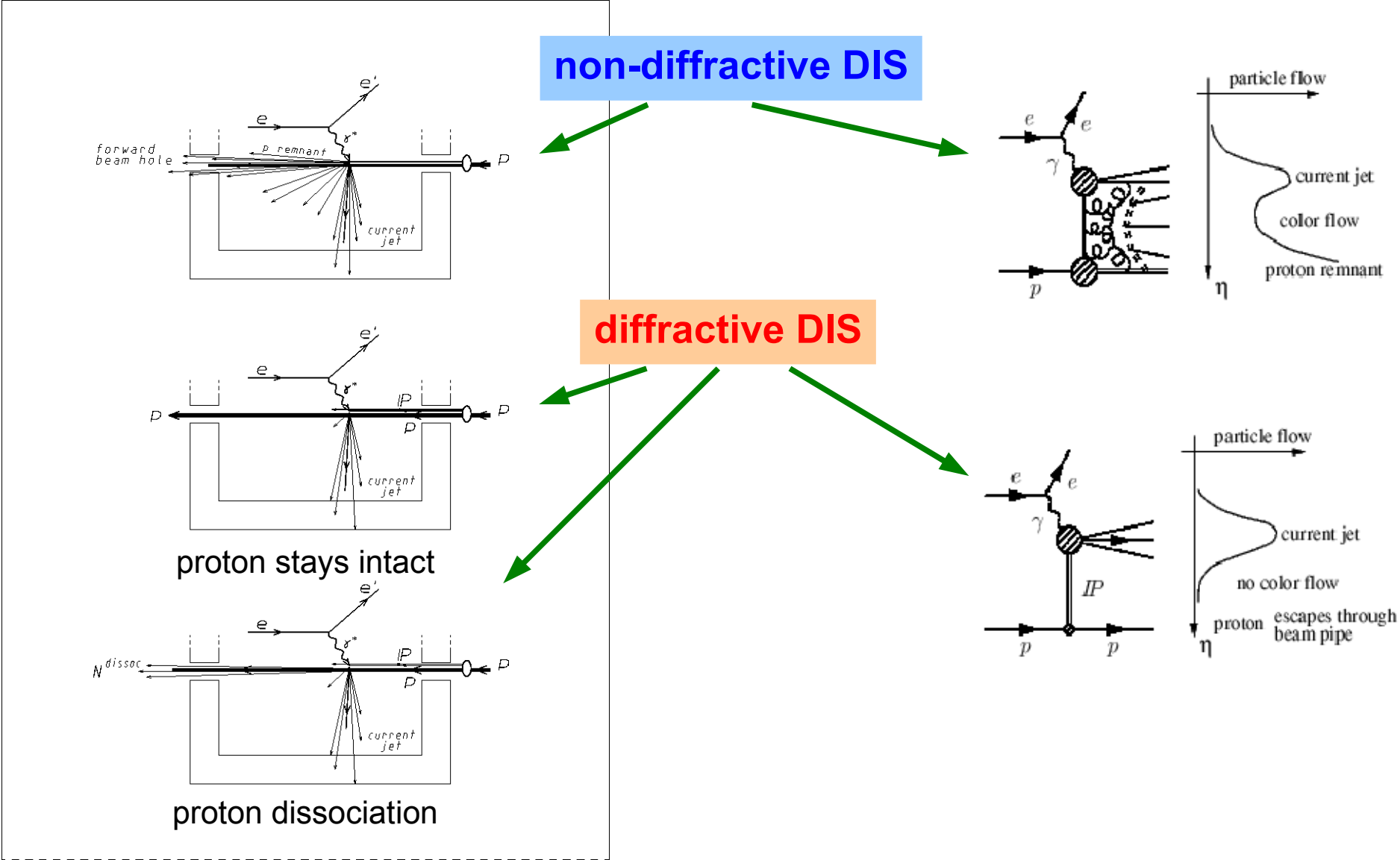
– (four momentum transfer at proton vertex)<sup>2</sup>

– diffractive mass

– fraction of the proton momentum carried by the  $IP$

– fraction of the  $IP$  momentum carried by the struck quark

# Event topologies



# Diffractive structure functions

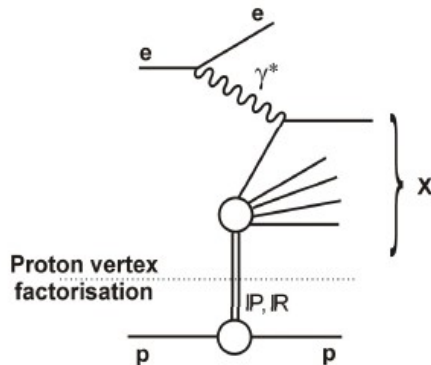
$$\frac{d^4 \sigma_{y^*p}^D}{dQ^2 d\beta dx_{IP} dt} = \frac{2\pi \alpha_{em}^2}{\beta Q^4} \left(1 + (1-y)^2\right) F_2^{D(4)}(Q^2, \beta, x_{IP}, t)$$

If Regge factorization:

$$F_2^{D(4)}(\beta, Q^2, x_{IP}, t) = f_{IP}(x_{IP}, t) F_2^{IP}(\beta, Q^2)$$

↑  
IP flux

↑  
IP structure  
function



When  $t$  is not measured:

$$\frac{d^3 \sigma_{y^*p}^D}{dQ^2 d\beta dx_{IP}} = \frac{2\pi \alpha_{em}^2}{\beta Q^4} \left(1 + (1-y)^2\right) F_2^{D(3)}(Q^2, \beta, x_{IP})$$

Reduced cross section:

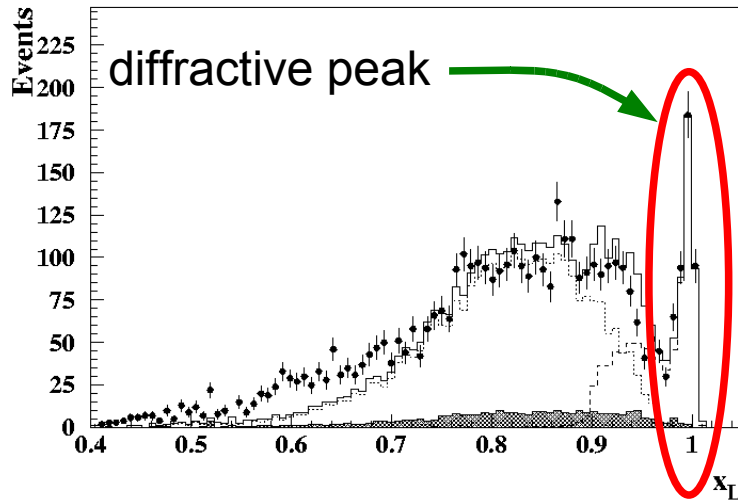
$$\frac{d^4 \sigma^D}{d\beta dQ^2 dx_{IP} dt} = \frac{4\pi \alpha^2}{\beta Q^4} \left(1 - y + \frac{y^2}{2}\right) \cdot \sigma_r^{D(4)}(\beta, Q^2, x_{IP}, t)$$

$$\sigma_r^D = F_2^D - \frac{y^2}{1 + (1-y)^2} F_L^D \quad \sigma_r^D \text{ contain the } F_L^D \text{ contribution}$$

# Diffractive event selection

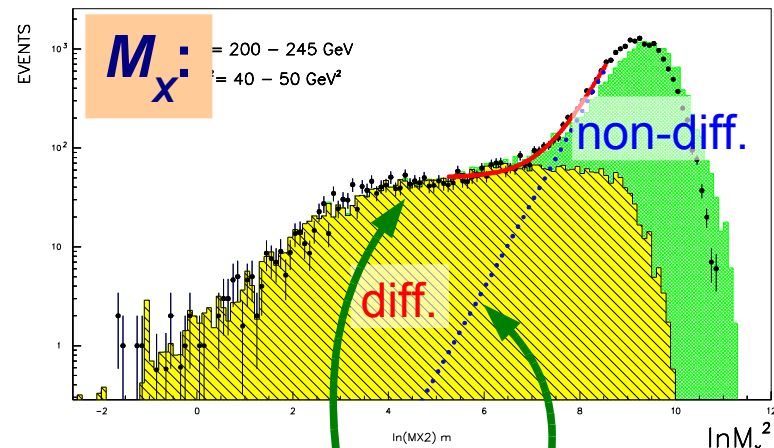
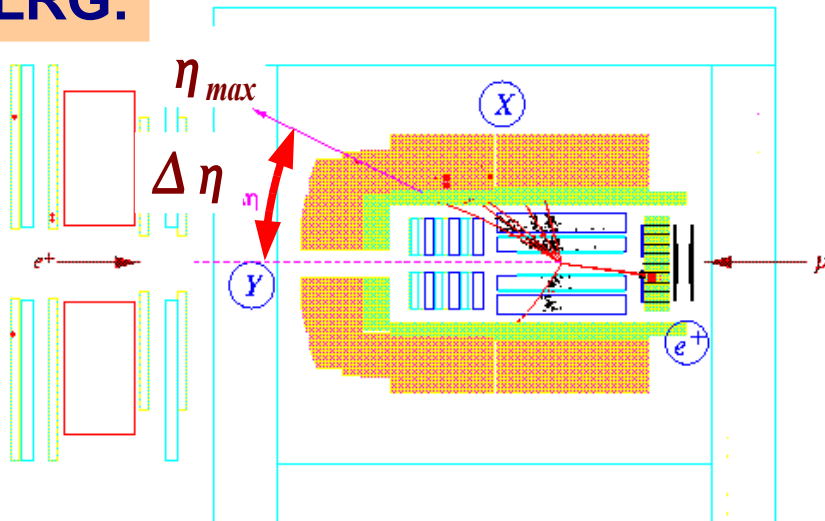
## $p$ tagging:

ZEUS 1994



- outgoing  $p$  tagging
  - FPS (H1), LPS (ZEUS)
  - clean signature, measurement of  $t$
  - low acceptance
- LRG,  $M_X$ 
  - high statistics methods
  - different  $p$  dissociation background, need to be subtracted

## LRG:



$$\frac{dN}{d \ln(M_X^2)} = D + c \exp(b \ln(M_X^2))$$

# H1 FPS results

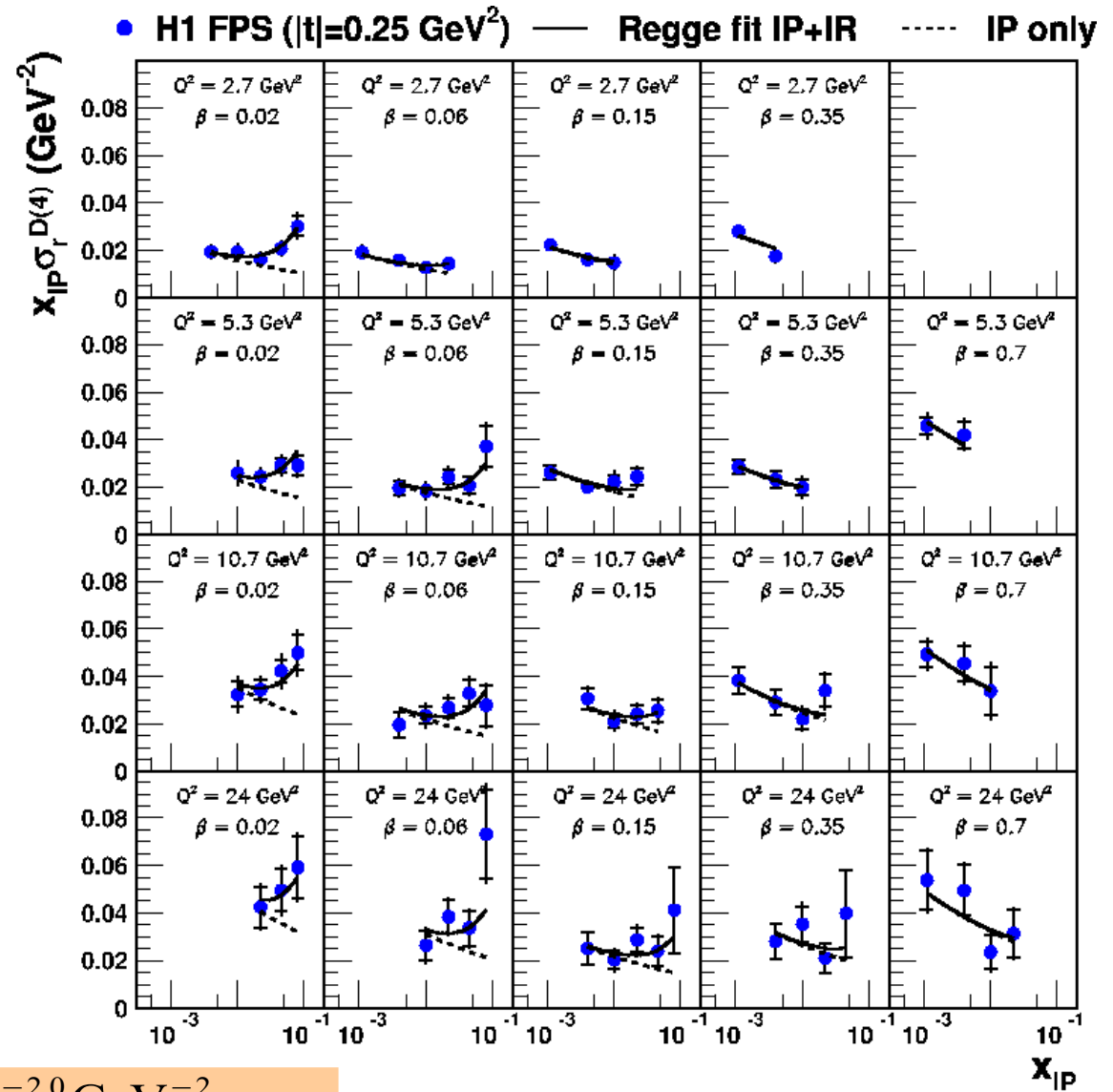
## Results published:

Eur. Phys. J. C48 (2006) 749-766  
 hep-ex/0606003

- 99-00 data,  $28.4 \text{ pb}^{-1}$
- $x_{IP} < 0.1$ ,  $2 < Q^2 < 50 \text{ GeV}^2$

— Regge fit:

$$F_2^{D(4)} = f_{IP}(x_{IP}, t) \cdot F_2^{IP}(\beta, Q^2) + n_{IR} \cdot f_{IR}(x_{IP}, t) \cdot F_2^{IR}(\beta, Q^2)$$



$$\alpha_{IP}' = 0.06_{-0.06}^{+0.19} \text{ GeV}^{-2} \quad B_{IP} = 5.5_{+0.7}^{-2.0} \text{ GeV}^{-2}$$

$$\alpha_{IP}(0) = 1.114 \pm 0.018 (\text{stat.}) \pm 0.012 (\text{syst.})_{-0.020}^{+0.040} (\text{model.})$$

# ZEUS LPS results

## Preliminary results:

- 2000e<sup>+</sup> data, 32.6 pb<sup>-1</sup>
- $x_{IP} < 0.1$ ,  $2 < Q^2 < 120$  GeV<sup>2</sup>

— Regge fit

### Fit results:

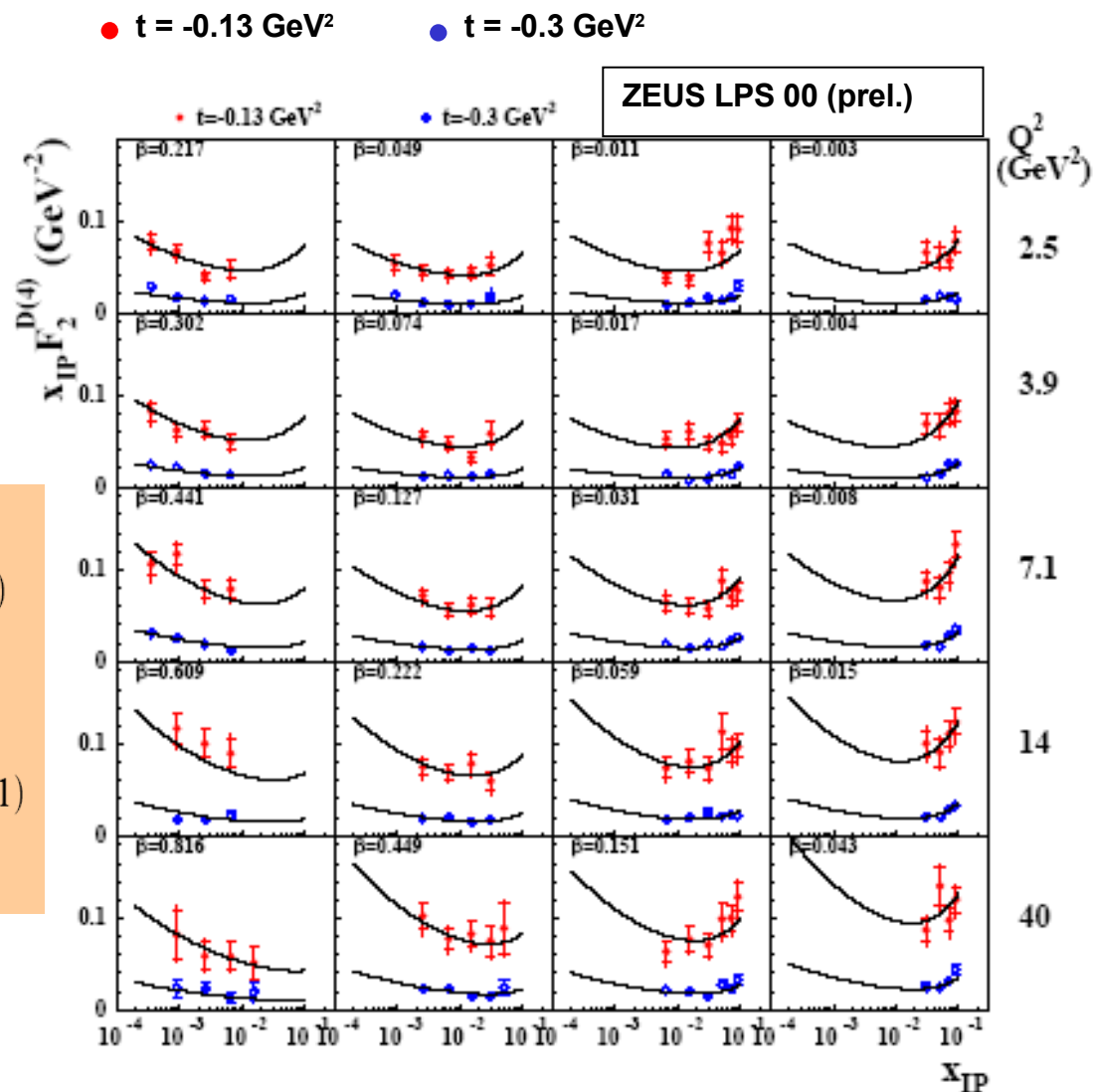
$$\alpha_{IP}(0) = 1.1 \pm 0.02 (\text{stat.})_{-0.02}^{+0.01} (\text{syst.}) + 0.02 (\text{model})$$

$$\alpha_{IP}' = -0.03 \pm 0.07 (\text{stat.})_{-0.08}^{+0.04} (\text{syst.}) \text{ GeV}^{-2}$$

$$B_{IP} = 7.2 \pm 0.7 (\text{stat.})_{-0.7}^{+1.4} (\text{syst.}) \text{ GeV}^{-2}$$

$$\alpha_{IR}(0) = 0.75 \pm 0.07 (\text{stat.})_{-0.04}^{+0.02} (\text{syst.}) \pm 0.05 (\text{model})$$

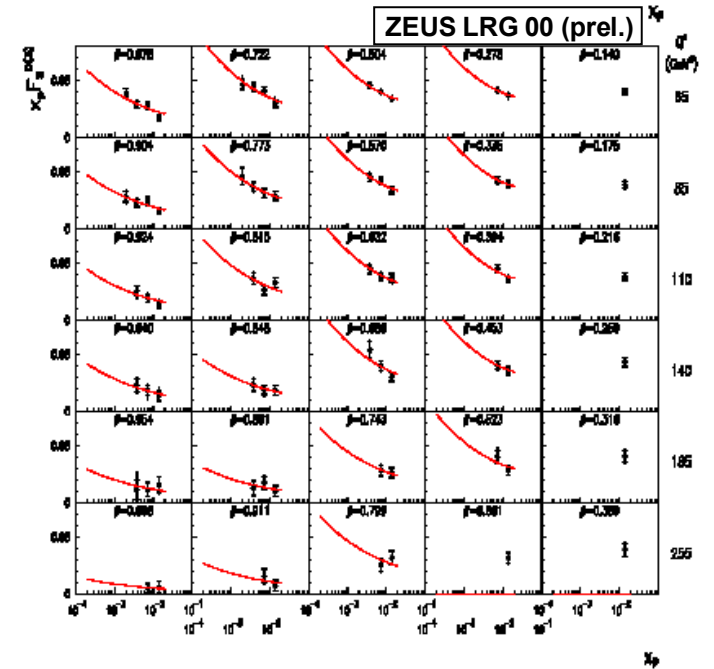
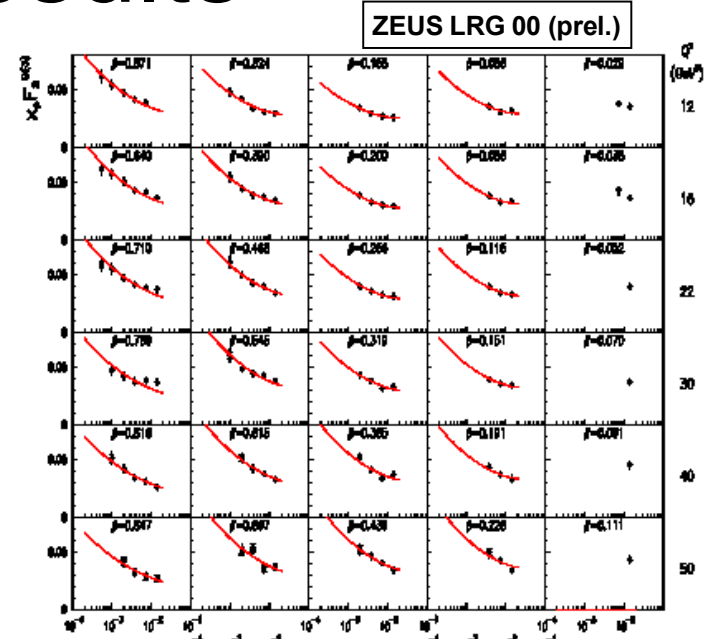
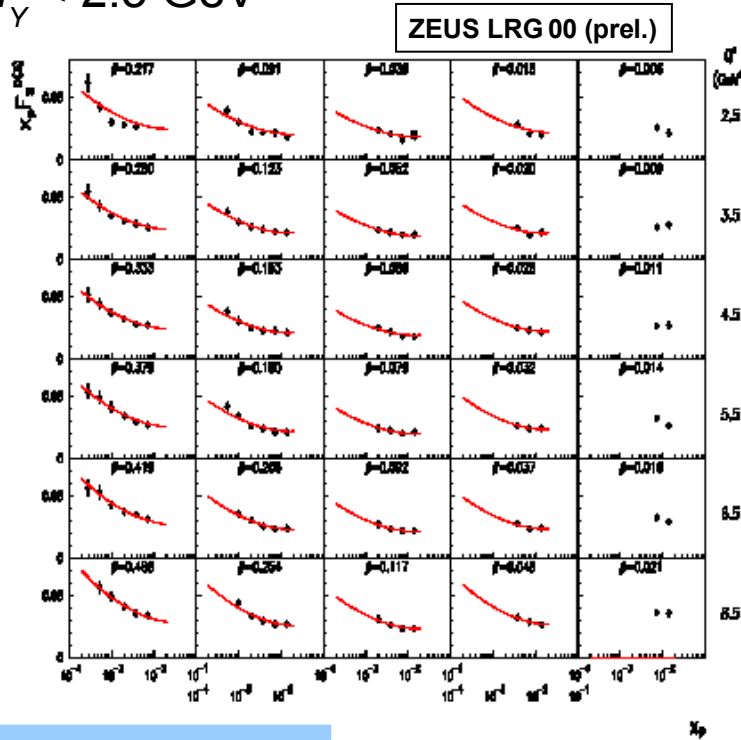
$$\chi^2 / \text{ndf} = 172.5 / 153 = 1.13$$



# ZEUS LRG results

## Preliminary results:

- 2000e+, 45.4 pb<sup>-1</sup>
- corrected to  $M_Y < 2.3$  GeV



— Regge fit

Fit results:

$$\alpha_{IP}(0) = 1.117 \pm 0.005(\text{stat.})_{-0.007}^{+0.024}(\text{model})$$

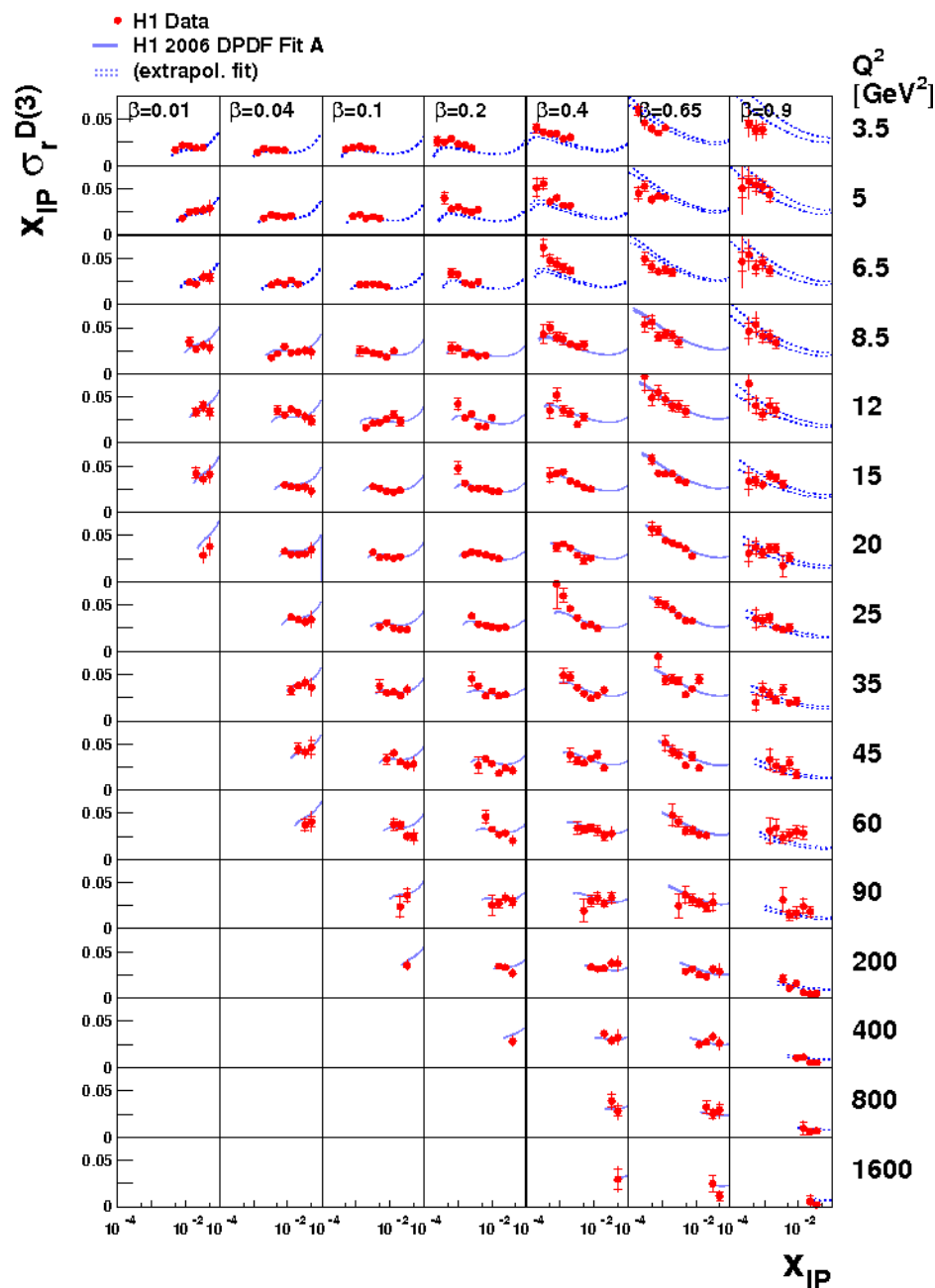
- The Regge-fit gives a good description of the ZEUS LRG data
- $\chi^2/\text{ndf} = 159/185 (=0.86)$

# H1 LRG results

## Results published:

Eur. Phys. J. C48 (2006) 715-748  
 hep-ex/0606004

- Data samples:
  - 1997 MB,  $2 \text{ pb}^{-1}$ ,  
 $3 < Q^2 < 13.5 \text{ GeV}^2$
  - 1997 all,  $10.6 \text{ pb}^{-1}$ ,  
 $13.5 < Q^2 < 105 \text{ GeV}^2$
  - 99-00,  $61.6 \text{ pb}^{-1}$ ,  
 $133 \text{ GeV}^2 > Q^2$
- $x_{IP} < 0.05$ ,  $3.5 < Q^2 < 1600 \text{ GeV}^2$ ,
- $M_Y < 1.6 \text{ GeV}$



# H1 2006 DPDF fits (1)

- **QCD hard scale scattering collinear factorisation** at fixed  $x_{IP}$  and  $t$ 
  - present data:  $ep \rightarrow eXY$ ,  
 $M_Y < 1.6 \text{ GeV}$ ,  $|t| < 1 \text{ GeV}^2$
- **Proton vertex factorisation**
  - DPDFs are factorised into two terms depending only on  $(x_{IP}, t)$  and  $(x, Q^2)$
- Fitted region:  $\beta \leq 0.8$ ,  $M_X > 2 \text{ GeV}$ ,  
 $Q^2 \geq 8.5 \text{ GeV}^2$ , 190 data points
- Parametrisation of quark singlet and gluon distributions:

$$z \Sigma(z, Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$$

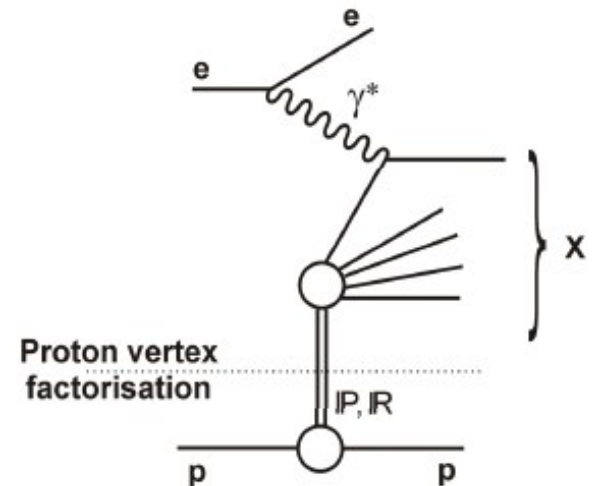
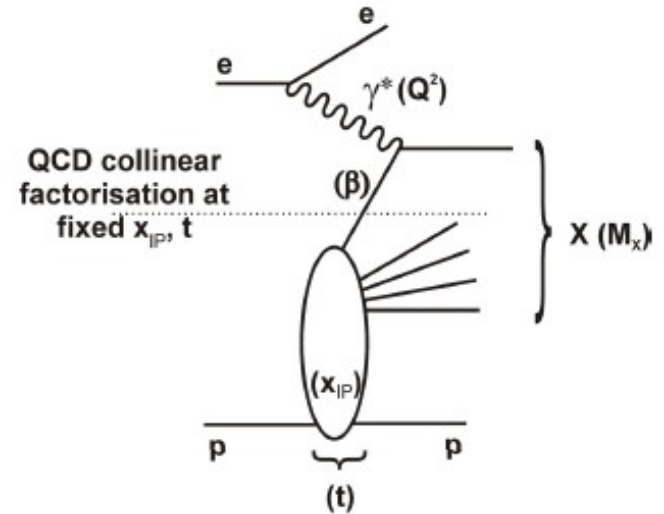
$$zg(z, Q_0^2) = A_g (1-z)^{C_g}$$

gluon density insensitive to the  $B_g$

- The  $x_{IP}$  dependence parametrisation:

$$f_{IP|p}(x_{IP}, t) = A_{IP} \cdot \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}}$$

- **Sub-leading exchange ( $IR$ ) is included**
  - contributes significantly at low  $\beta$  and large  $x_{IP}$
  - PDFs – from  $\pi$  structure function data (Owens)



# H1 2006 DPDF fits (2)

- Free parameters:
  - $A, B, C$  parameters for quark singlet and gluon distributions
  - $\alpha_{IP}(0) - x_{IP}$  dependence
  - $n_{IR}$  – normalisation of the  $IR$  part

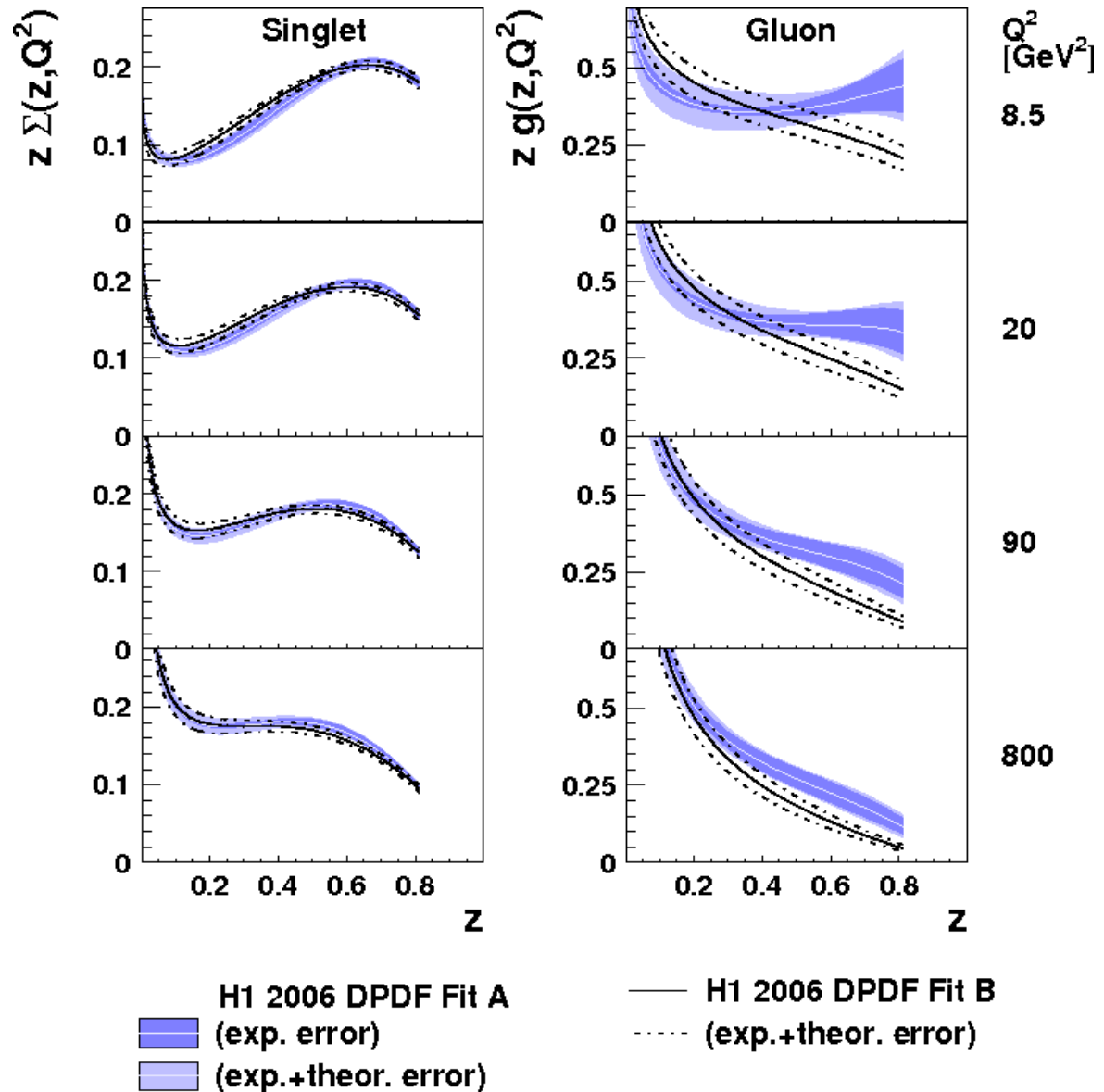
## Fit A:

- $Q_0^2 = 1.75 \text{ GeV}^2$
- $\chi^2/\text{ndf} = 158 / 183$

## Fit B:

- $C_g = 0$  (gluon parametrised as a constant)
- $Q_0^2 = 2.5 \text{ GeV}^2$
- $\chi^2/\text{ndf} = 164 / 184$

- Quark singlet constrained to  $\sim 5\%$ , very stable
- Gluon constrained to  $\sim 15\%$  at low  $z$
- Substantial change to gluon at high  $z$



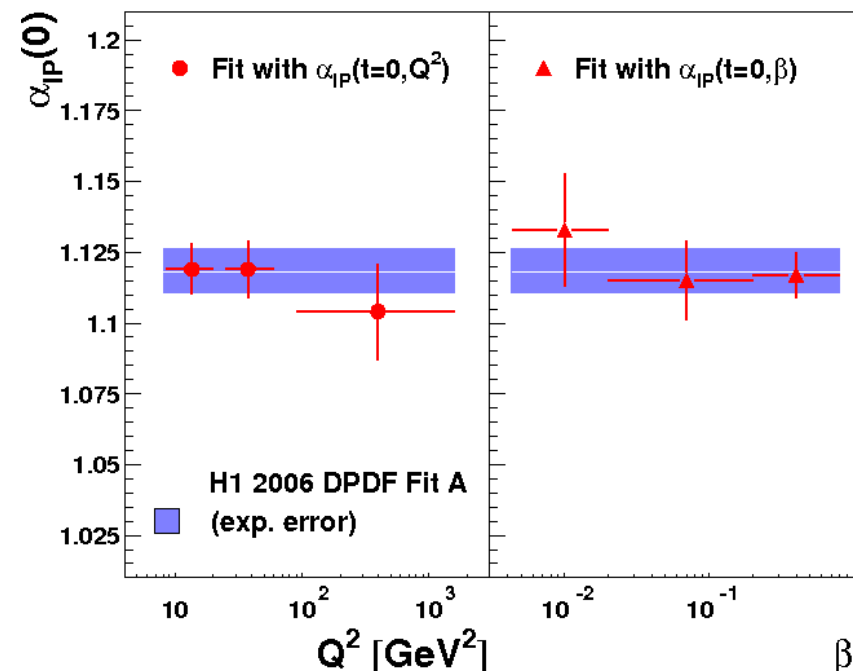
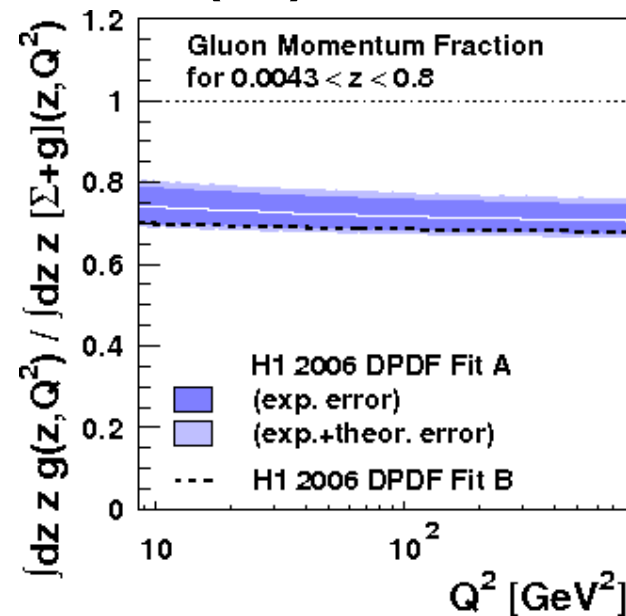
# H1 2006 DPDF fits (3)

- ~70% of the exchanged momentum carried by gluons
- Fits A & B are consistent within the uncertainties

## Effective Pomeron trajectory (Fit A):

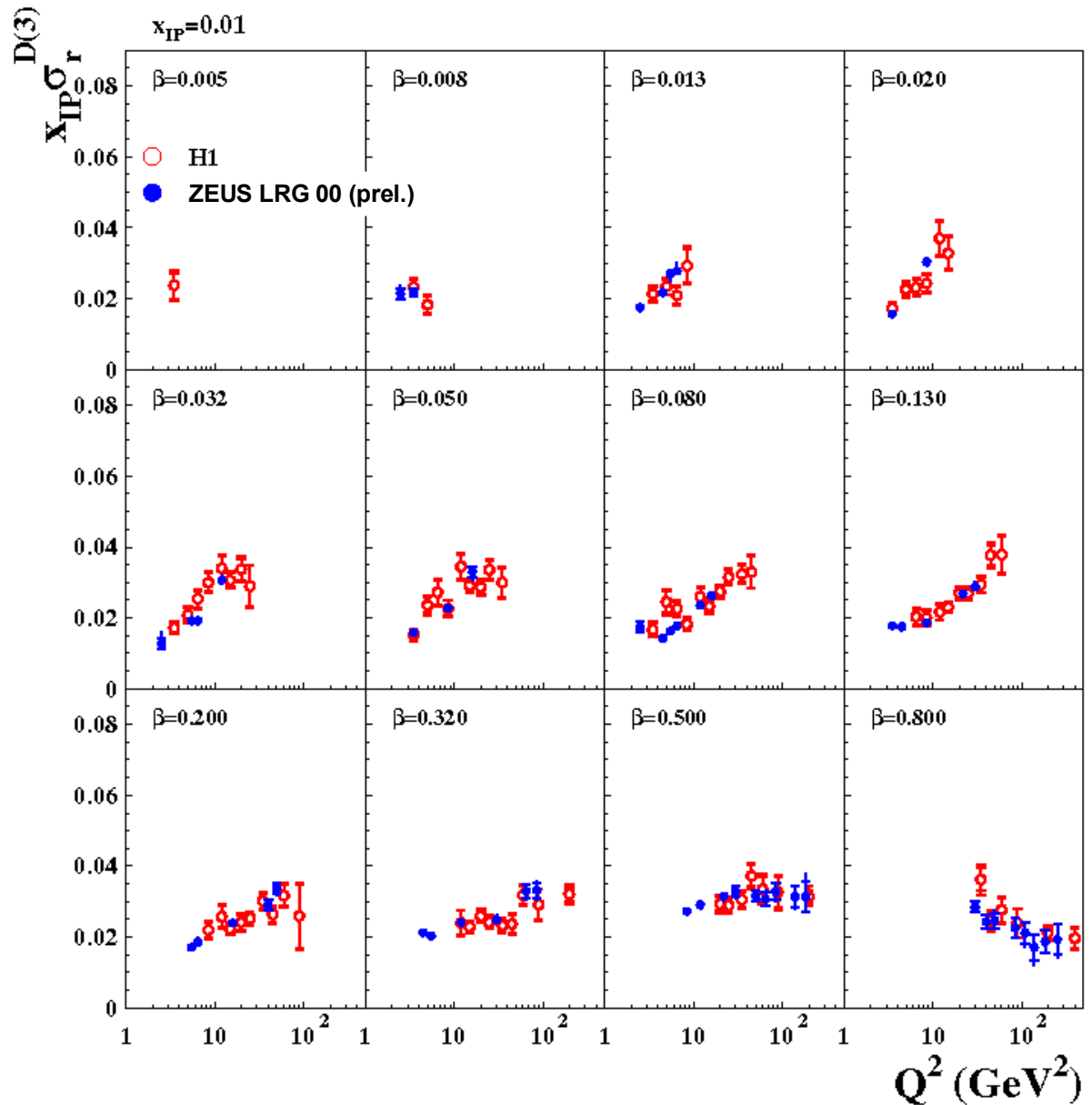
$$\alpha_{IP}(0) = 1.118 \pm 0.008 (\text{exp.})_{-0.010}^{+0.029} (\text{model})$$

- Dominant uncertainty from strong correlation with  $\alpha'_{IP}$ :  $\alpha_{IP}(0)$  increases to ~1.15 if  $\alpha'_{IP} = 0.25$  (instead of 0.06 GeV<sup>-2</sup>)
- No evidence for variation of  $\alpha_{IP}(0)$  with  $Q^2$  or  $\beta$  (consistent with  $p$  vertex factorization)
- Consistent with fits to FPS data



# H1 vs ZEUS – LRG results

- $Q^2$  dependence of  $x_{IP} \sigma_r^{D(3)}$
- **Positive scaling violations**, up to high  $\beta$  values – **large gluon component**
- ZEUS results normalised to H1 (different p-dissociation contribution)
- Good agreement in shapes

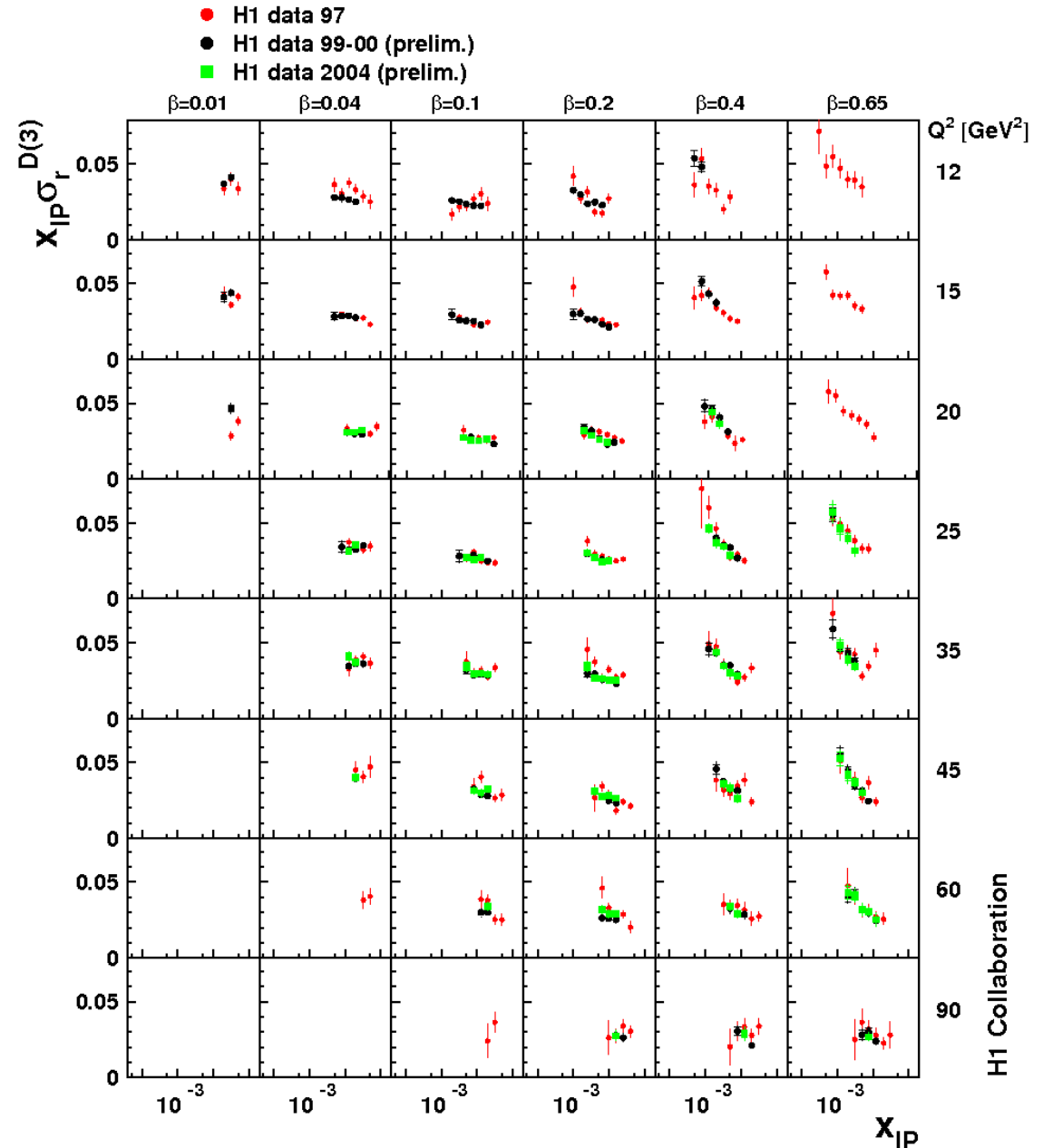


# H1 **new** results – LRG method

## Preliminary results:

- Data samples:
  - 99-00 data, 34 pb<sup>-1</sup>  
10 < Q<sup>2</sup> < 105 GeV<sup>2</sup>
  - 2004 data, 34 pb<sup>-1</sup>  
17.5 < Q<sup>2</sup> < 105 GeV<sup>2</sup>
- results corrected to  
M<sub>Y</sub> < 1.6 GeV, |t| < 1 GeV<sup>2</sup>
- 6 times larger statistics**

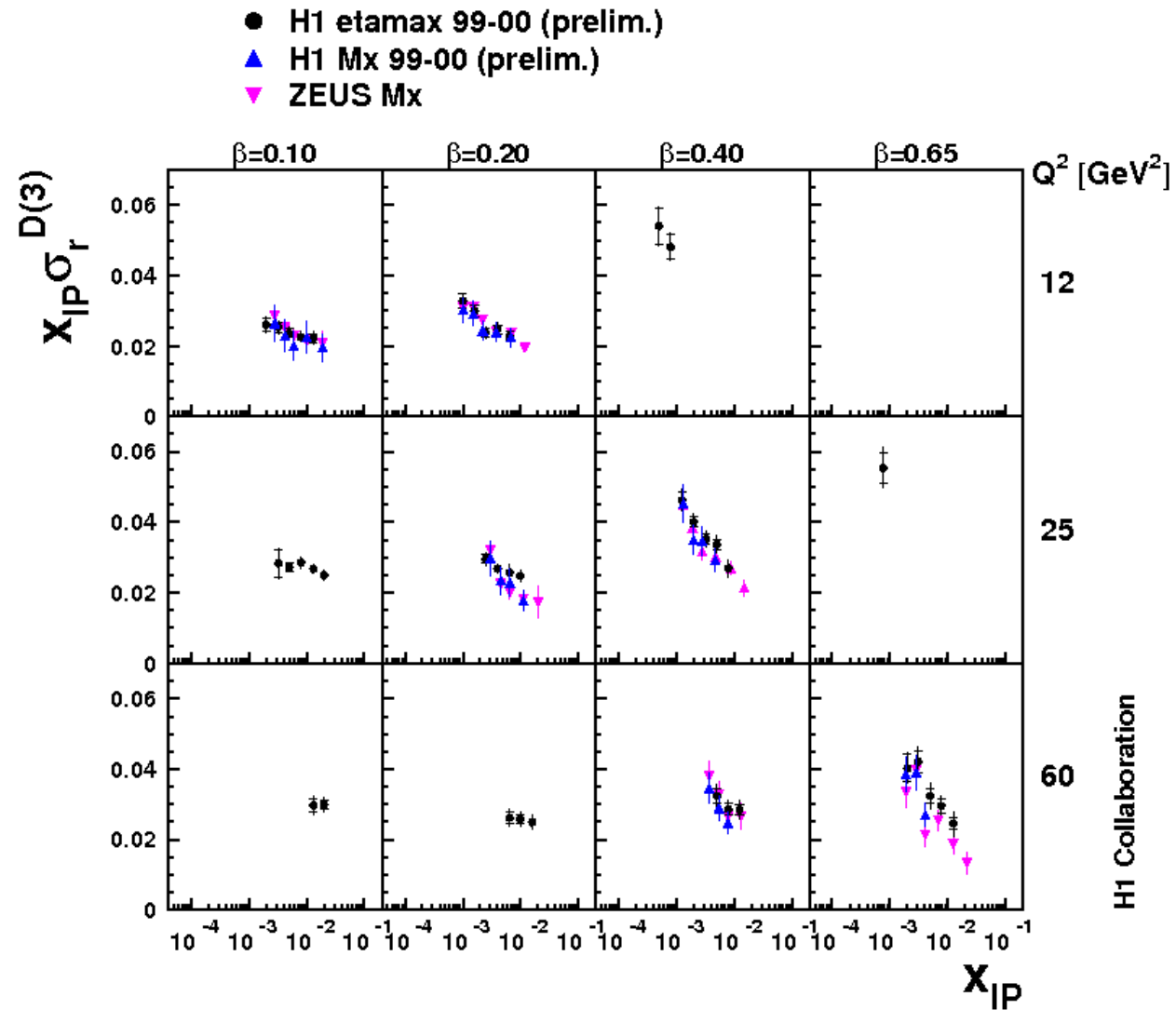
Good agreement between data sets



# H1 **new** results – $M_X$ method

## Preliminary results:

- **99-00 data** analysed with  **$M_X$  method**
- $M_X$  points moved to  $Q^2$ ,  $\beta$ ,  $x_{IP}$  bins and normalised to the same  $M_Y$  range ( $M_Y < 1.6$  GeV)
- ZEUS measurement ( $M_Y < 2.3$  GeV) normalised by a factor 0.85



# ZEUS: comparison of $M_X$ and LRG results (1)

## Published data:

ZEUS Coll., S. Chekanov et al.,  
Nucl. Phys. B 713, 3 (2005)

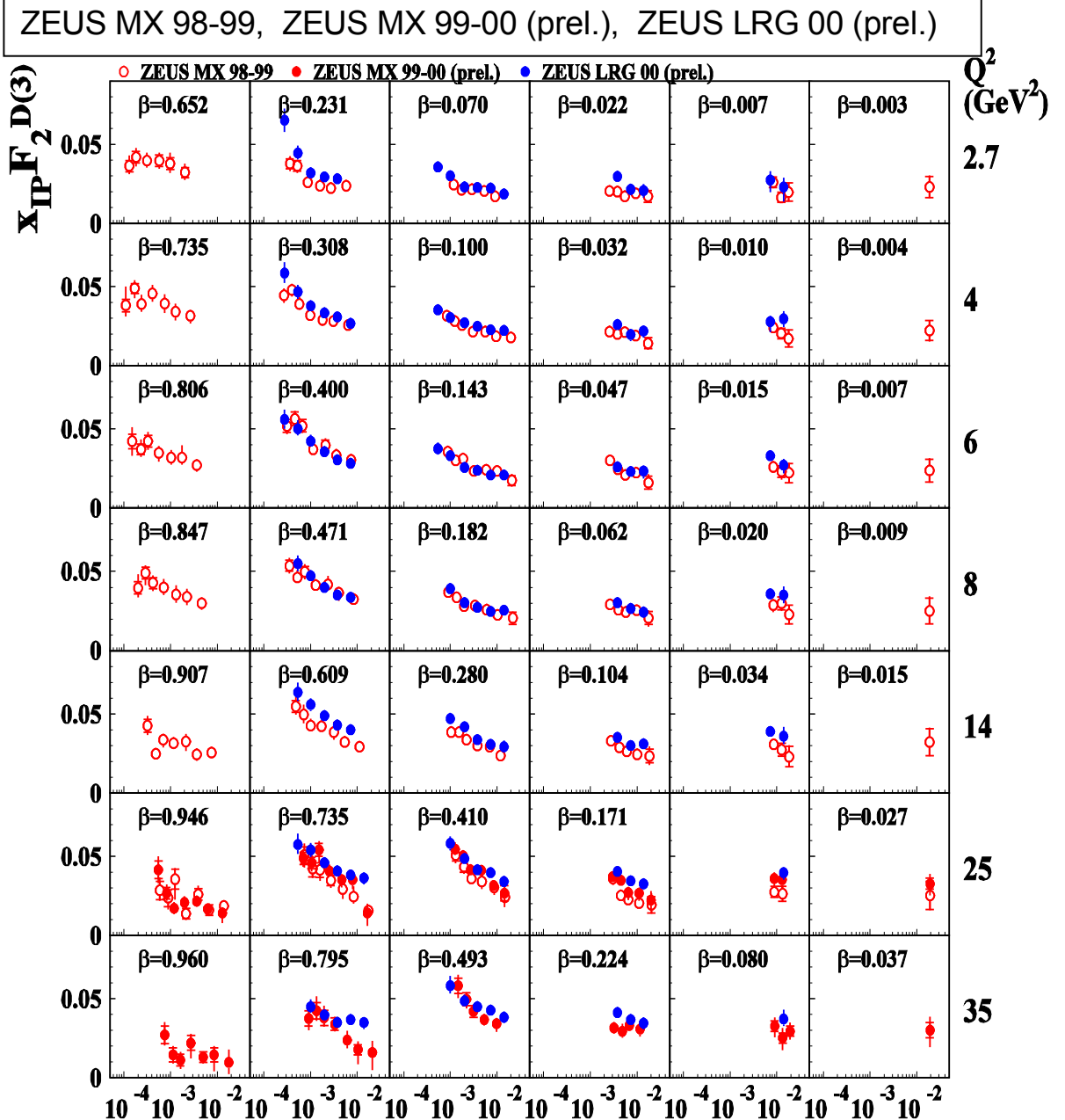
- $M_X$  98-99, 4.2 pb<sup>-1</sup>
- $2.7 < Q^2 < 55 \text{ GeV}^2$

## Preliminary results:

- $M_X$  99-00, 52.4 pb<sup>-1</sup>
- $25 < Q^2 < 320 \text{ GeV}^2$ ,  
 $1.2 < M_X < 30 \text{ GeV}$
- Extension of  $M_X$  98-99  
analysis to higher  $Q^2$

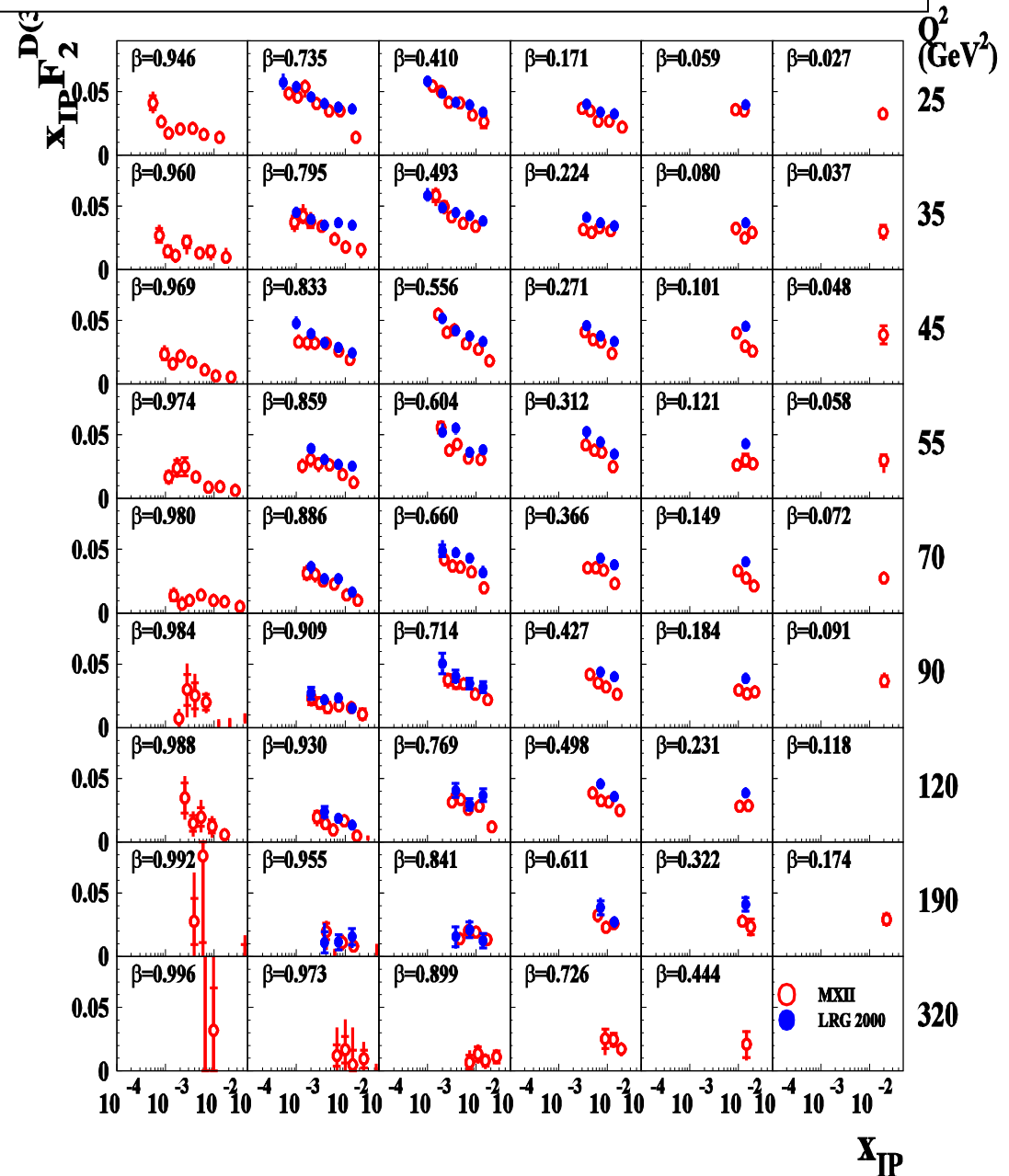
results corrected to  
 $M_Y < 2.3 \text{ GeV}$

$M_X$  98-99 and  $M_X$  99-00  
analyses have common  
bin at  $Q^2 = 25 \text{ GeV}^2$



# ZEUS: comparison of $M_X$ and LRG results (2)

ZEUS MX 98-99, ZEUS MX 99-00 (prel.), ZEUS LRG 00 (prel.)



In general reasonable agreement for  $x_{IP} < 0.01$

For  $x_{IP} > 0.01$  one can expect some differences from Reggeon contributions to the LRG data

# Summary

- Results on inclusive diffraction obtained by H1 and ZEUS collaborations with **three different methods** are presented
- The results span a wide kinematic range, up to **high  $Q^2$**
- DPDFs obtained, have large gluonic component
- There is a **good to reasonable agreement** for the results from all methods
- Work on **understanding some remaining differences**, in particular with respect to the relative normalisation, continues
- We are arriving to a consistent picture of the inclusive diffractive DIS

# Backup slides

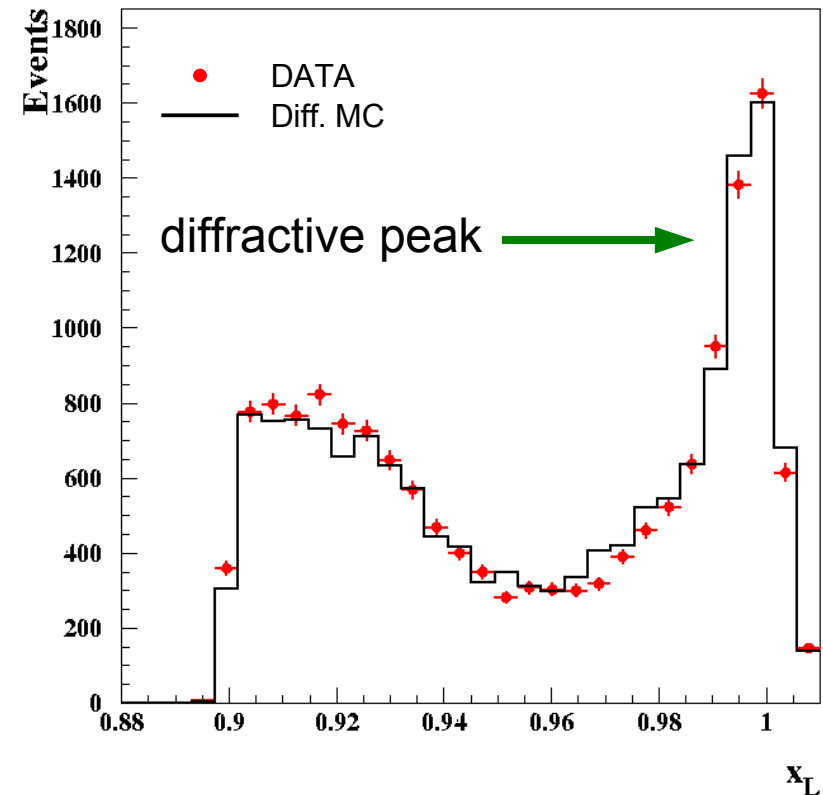
# Scattered proton tagging

$$x_L = \frac{p_z'}{p_z} \text{ spectrum:}$$

- **Clean experimental signature**
- Outgoing proton escapes through the forward beam hole
- A fraction of these events can be detected by the detectors located close to the outgoing proton beam line – FPS (H1), LPS (ZEUS)
- They measure the momentum of the scattered proton –  $t$  information available

$$t = (p - p')^2$$

- Practically free of p-dissociation background
- **Drawback: limited acceptance** (few %), dependent on  $x_L$  and  $p_T$  of outgoing proton

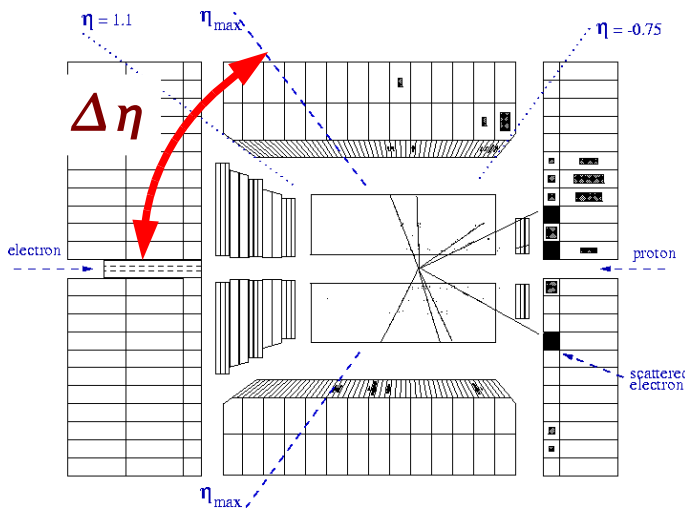
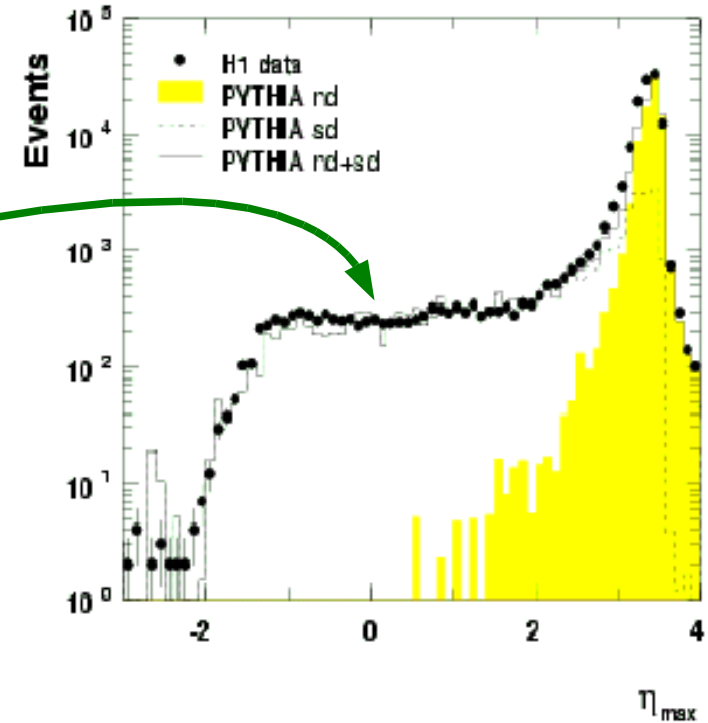


$x_L > 0.97$  – a clean sample of diffractive events

# Selection methods – LRG

- A **large rapidity gap** between the system  $X$  and outgoing proton (or proton remnant system  $Y$ )
- Pseudorapidity of the most forward going particle:  $\eta_{max}$  **distribution**
- Plateau-like structure, due to diffractive events mainly, extends to low  $\eta_{max}$  values – **diffractive tail**
- **Drawback:** background from proton dissociation

$\eta_{max}$  spectrum:



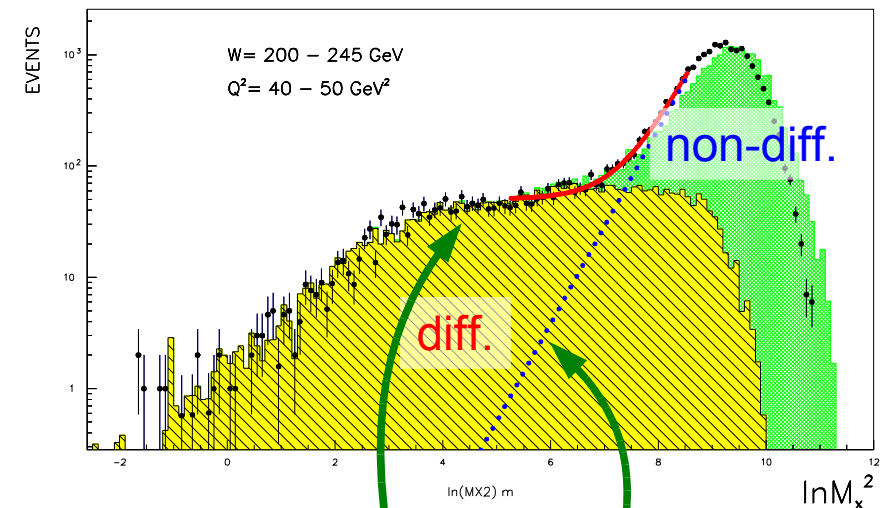
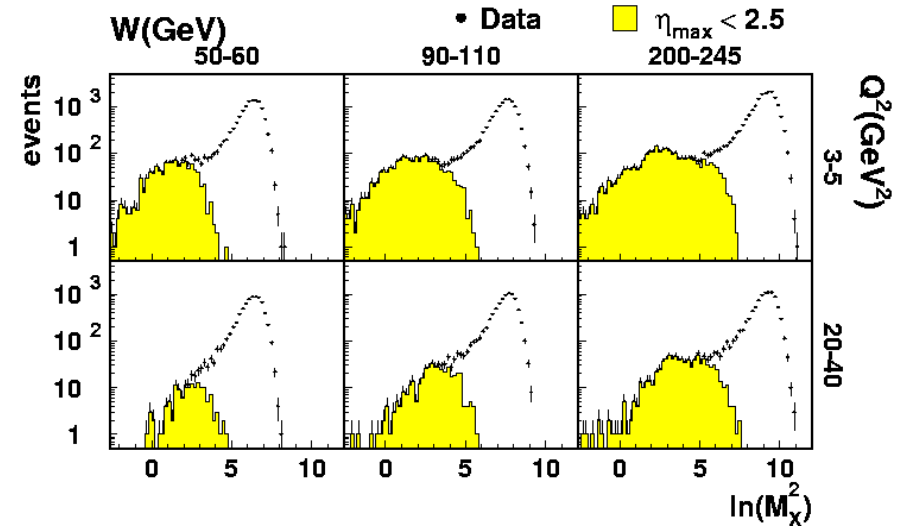
$\eta_{max} < 3$  – a small non-diffractive background

# Selection methods – $M_X$

- Properties of  $\ln(M_X^2)$  distribution:
  - flat for diffractive events
  - for non-diffractive events – exponential fall-off towards low masses
  - position of the non-diffractive peak changes with  $W$
- Identifies the **diffractive contribution** as the excess of events over the exponential fall-off of the **non-diffractive part**

## Drawback:

- Sensitivity to the proton dissociation background



$$\frac{dN}{d \ln(M_X^2)} = \underbrace{D}_{\text{diff.}} + \underbrace{c \exp(b \ln(M_X^2))}_{\text{non-diff.}}$$