



# Diffraction and Diffractive Final States at HERA

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on the behalf of the ZEUS and H1 Collaborations  
Lake Louise Winter Institute, 24/02/2007



# Outline

- Introduction: motivations, features and kinematics
- Inclusive diffraction measurements
- Diffractive final states: jets and open charm
- Conclusion

# HERA



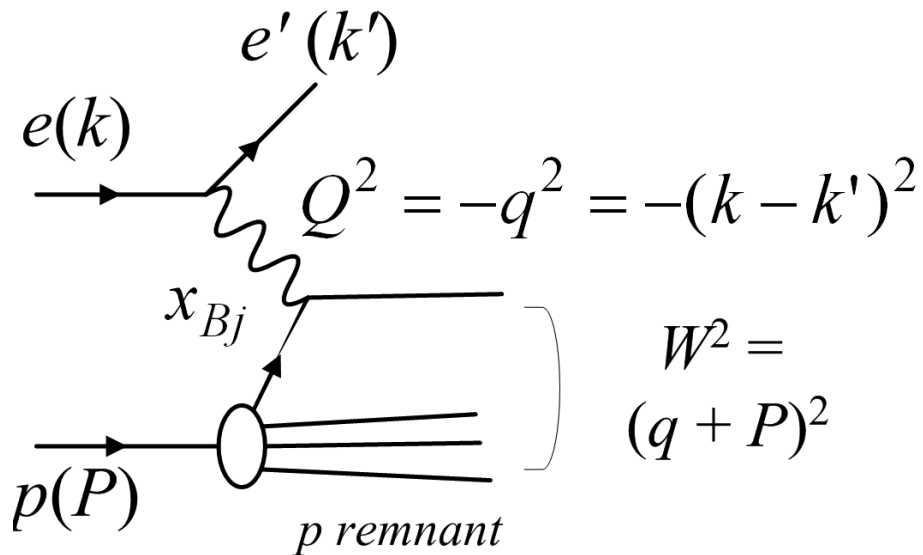
- $R_{\text{HERA}} \sim 1 \text{ Km}$
- 27.5 GeV  $e^-$  ( $e^+$ )
- 920 GeV p
- 200  $\text{pb}^{-1}$  delivered by HERA I
- 500  $\text{pb}^{-1}$  delivered by HERA II

## H1 and ZEUS general purpose detectors:

- EW studies and Proton PDF measurement
- Jet physics
- Search for new physics (leptoquarks, CI, SUSY...)
- Heavy flavour physics
- **Diffraction**

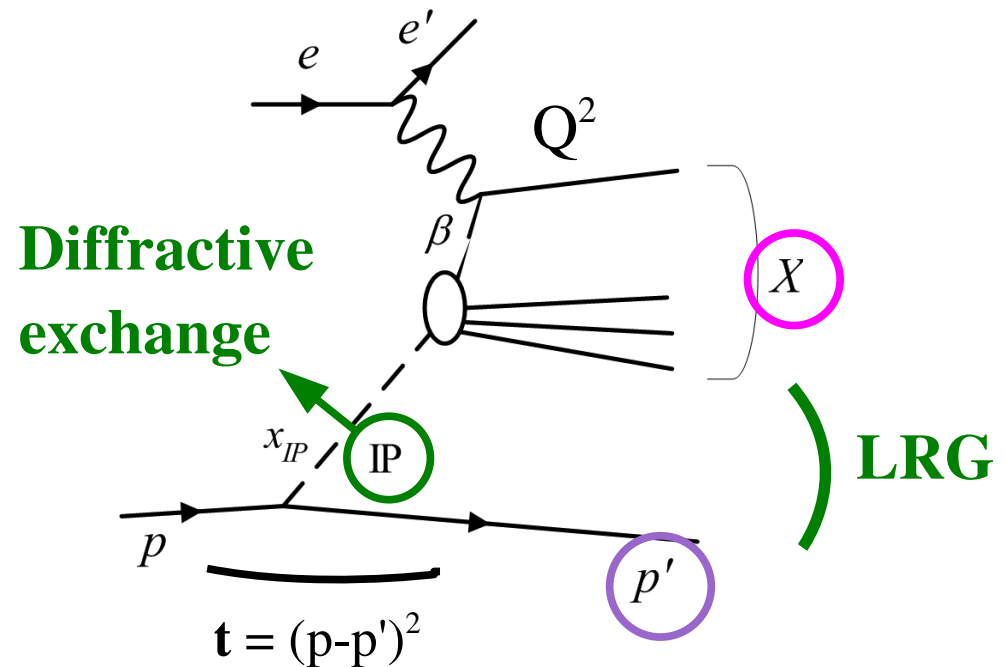
# Diffraction at HERA

## Deep Inelastic Scattering (DIS)



- $\gamma^*$  probes  $p$  internal structure with resolution given by  $Q^2$
- $x_{Bj}$  = fraction of  $p$  momentum taken by parton
- $p$  breaks up

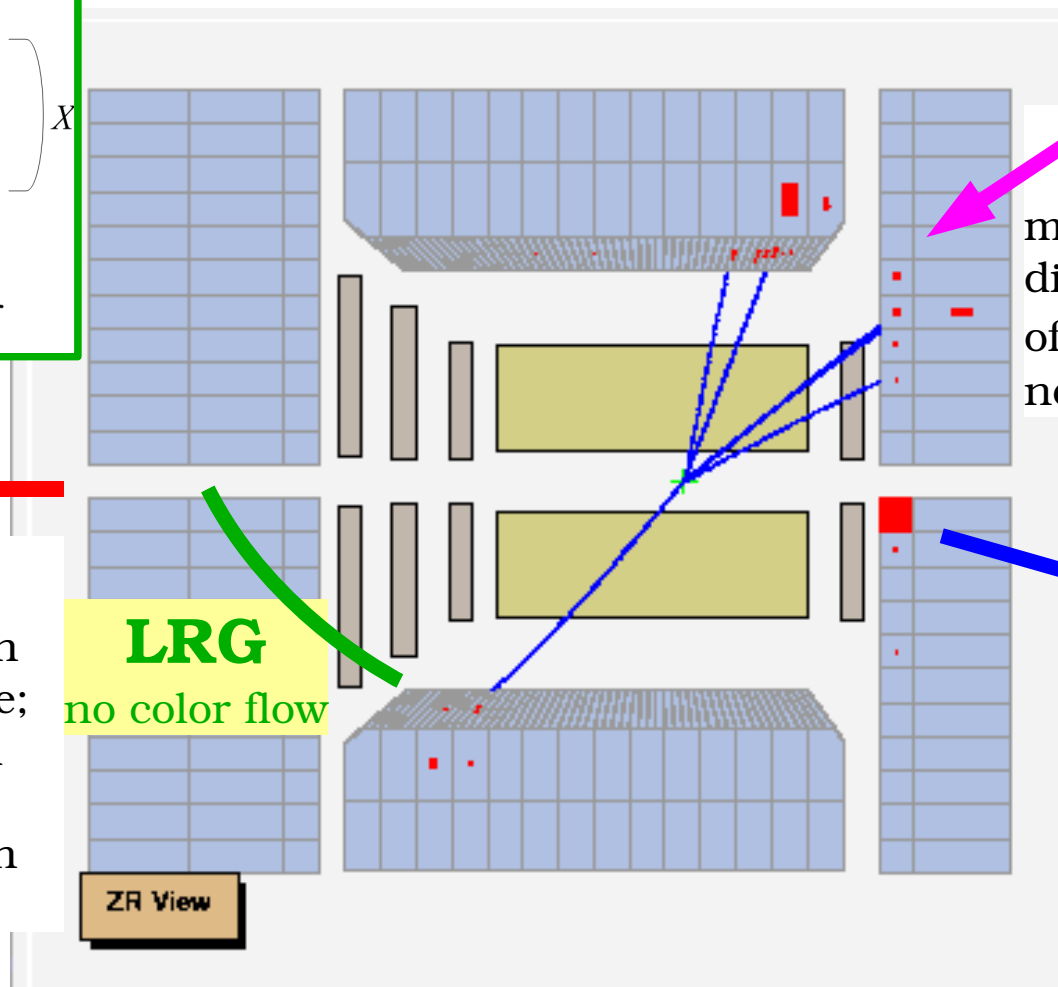
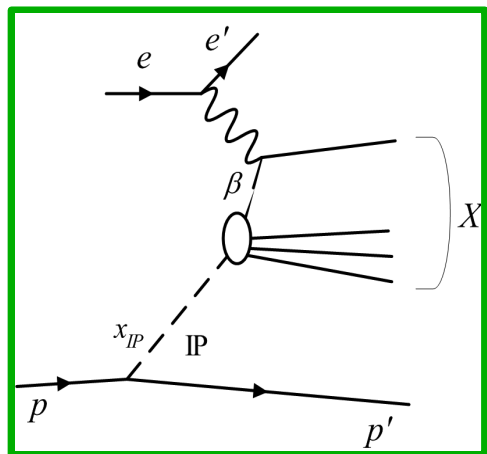
## Diffractive DIS (dDIS)



- ★  $p$  stays intact and escapes in the beam pipe
- ★ no quantum numbers exchanged btw  $\gamma^*$  and  $p$ 
  - no colour flow → **Large Rapidity Gap**
- ★ Hadronic system with **low masses** compared to the total available energy

Providing a QCD motivated description of diffraction is important for having a comprehensive understanding of the strong interaction.

# Experimental features



$M_x$

mass of the diffractive system;  
different  $M_x$  dependence  
of the  $\sigma$  between  
non-diffr DIS and diffr DIS

$p'$

scattered proton  
in the beam pipe;  
can be detected  
by fwd  
instrumentation  
(LPS, FPS)

**LRG**

no color flow

$e'$

scattered electron

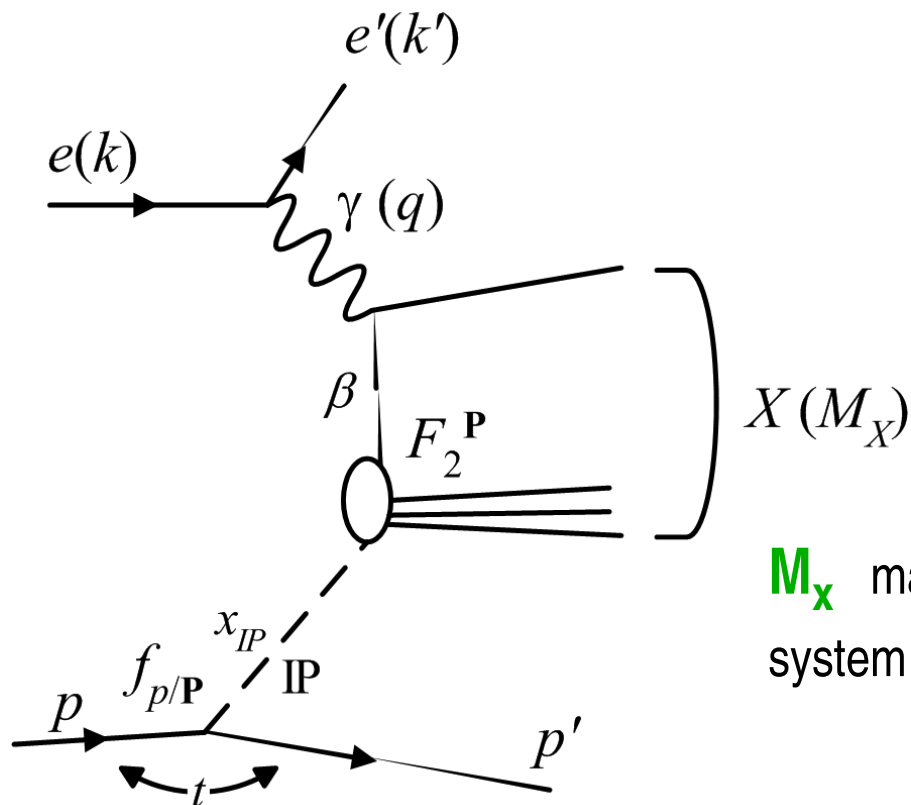
ZR View

# Kinematic variables

$$X_{Bj} = X_{IP} \cdot \beta$$

$\beta$  fraction of IP momentum taken by parton in hard subpr

$X_{IP}$  fraction of p momentum taken by IP



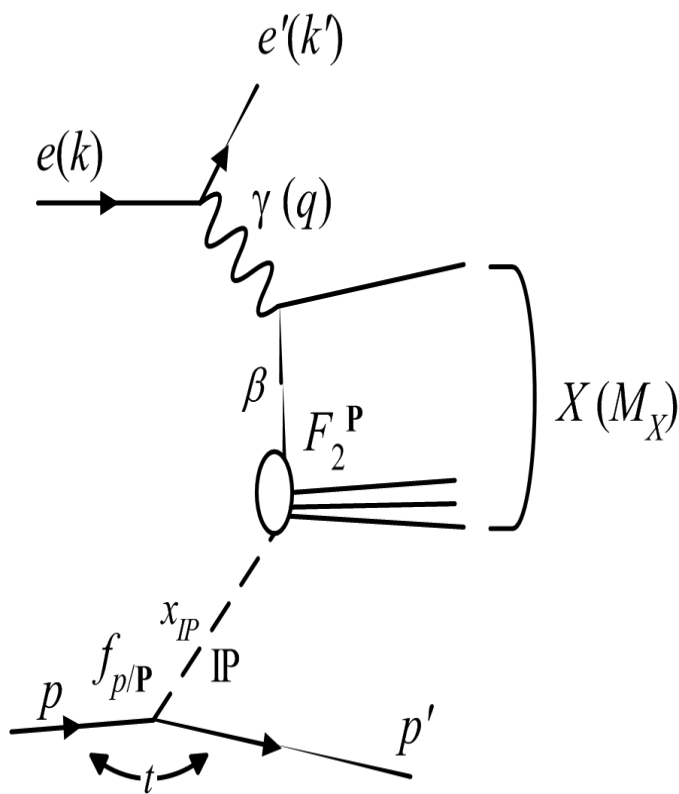
$M_X$  mass of the diffractive final system

$t$  4-mom squared transferred at p vtx

$$\frac{d\sigma^D}{dt dx_{IP} d\beta dQ^2} = \frac{4\pi\alpha^2}{\beta Q^2} \left[ \left(1 - y + \frac{y^2}{2}\right) F_2^{D(4)}(t, x_{IP}, \beta, Q^2) \right]$$

Neglecting longitudinal momenta in the proton

# QCD factorisation and diffractive parton densities



**QCD Factorisation theorem**  
(proven for diffr DIS, J. Collins, 1998)

$$\sigma^D(\gamma^* p \rightarrow Xp) \simeq \sum_{i=q,g} \hat{\sigma} \otimes f_i^D(t, x_{IP}, \beta, Q^2)$$

**Hard subprocess**

equal to non diffr. ,  
calculated with pQCD

**Diffractive PDFs**

defined as the std PDFs +  
diffractive requirement

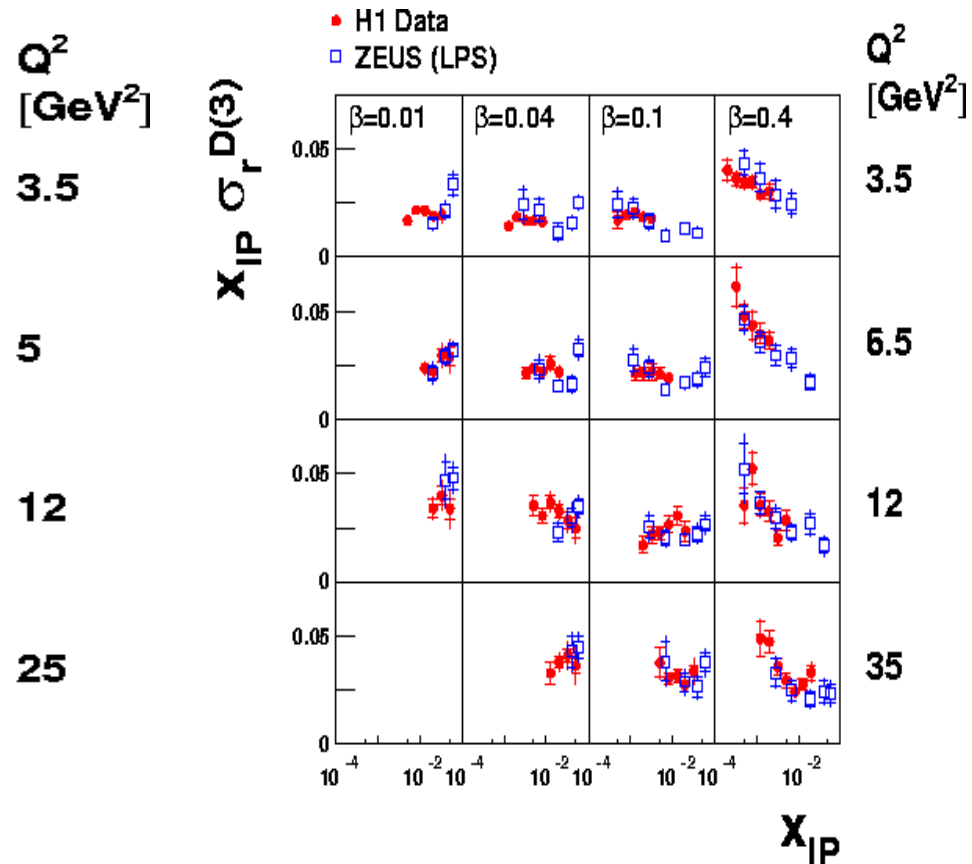
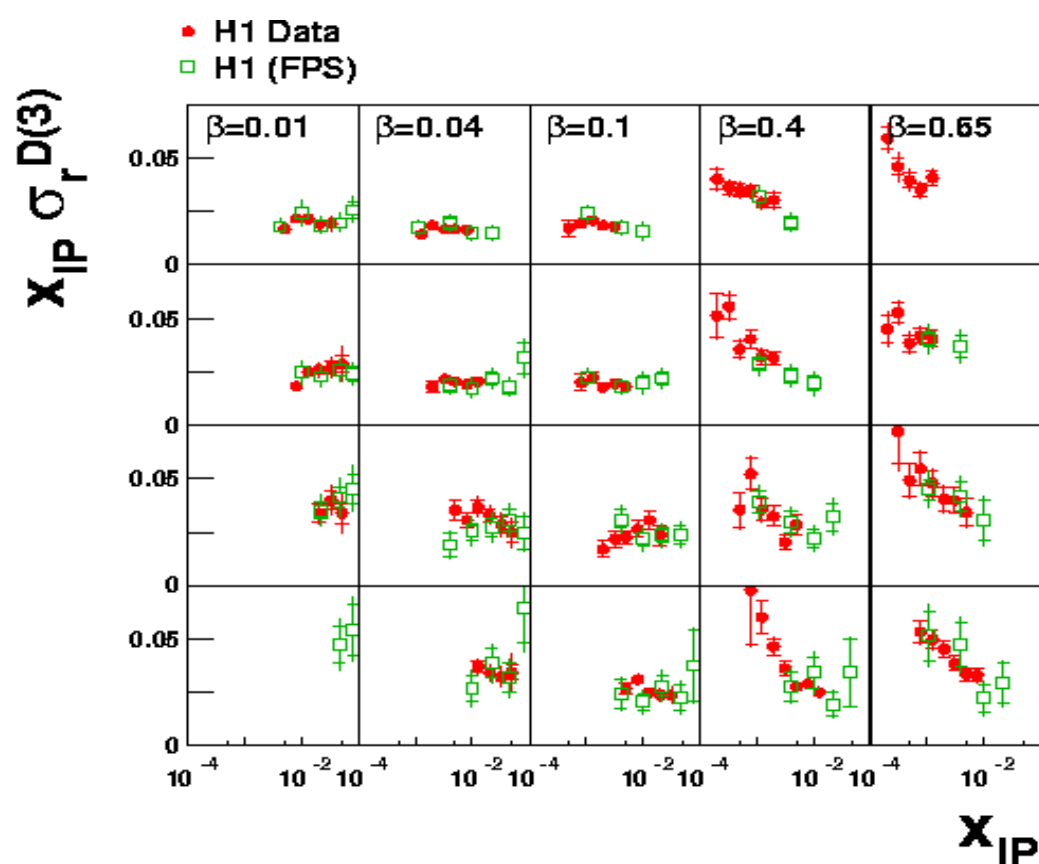
**Universal**

At HERA we can extract the dPDFs via NLO-QCD fits to inclusive diffractive measurements. They can be used later in calculations for other diffractive processes (dijets, charm...).

# Inclusive diffractive DIS

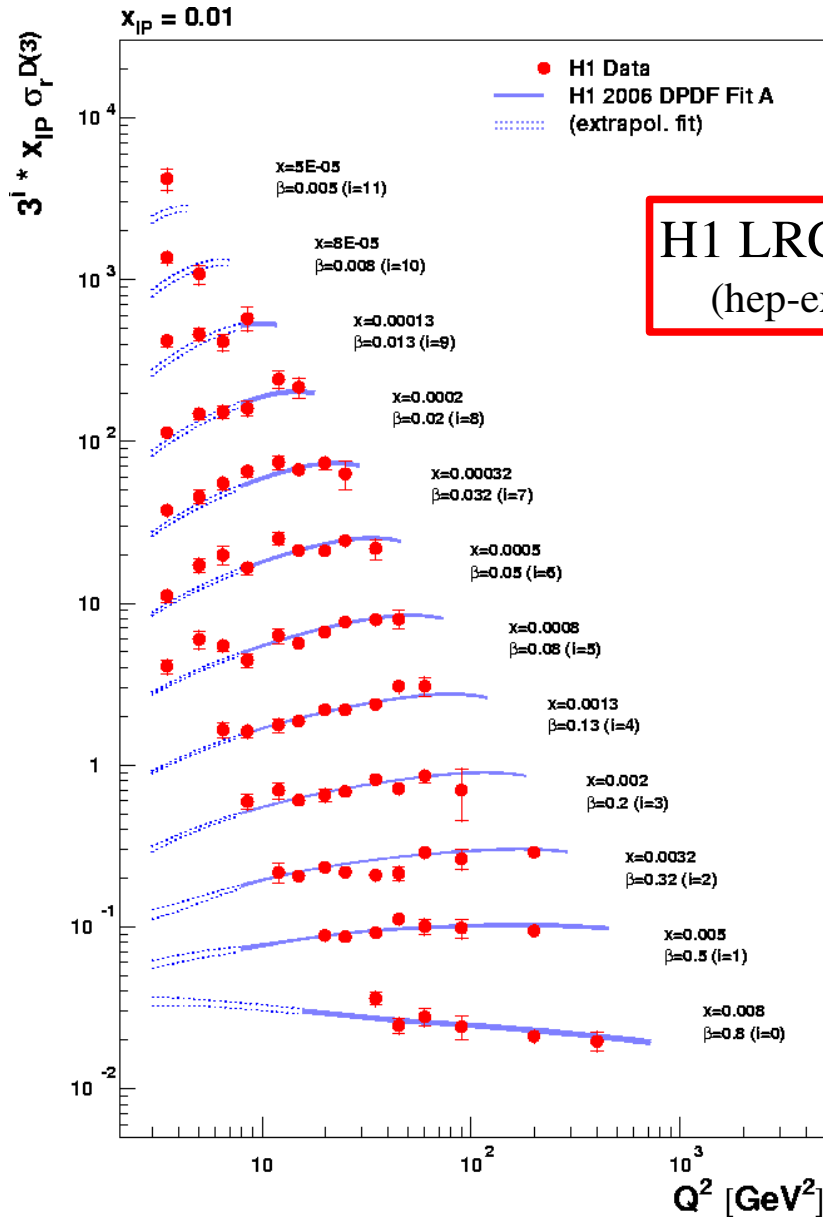
$$ep \rightarrow epX$$

H1 LRG published  
(hep-ex/0606004)



**Good agreement** between different selection methods and different experiments

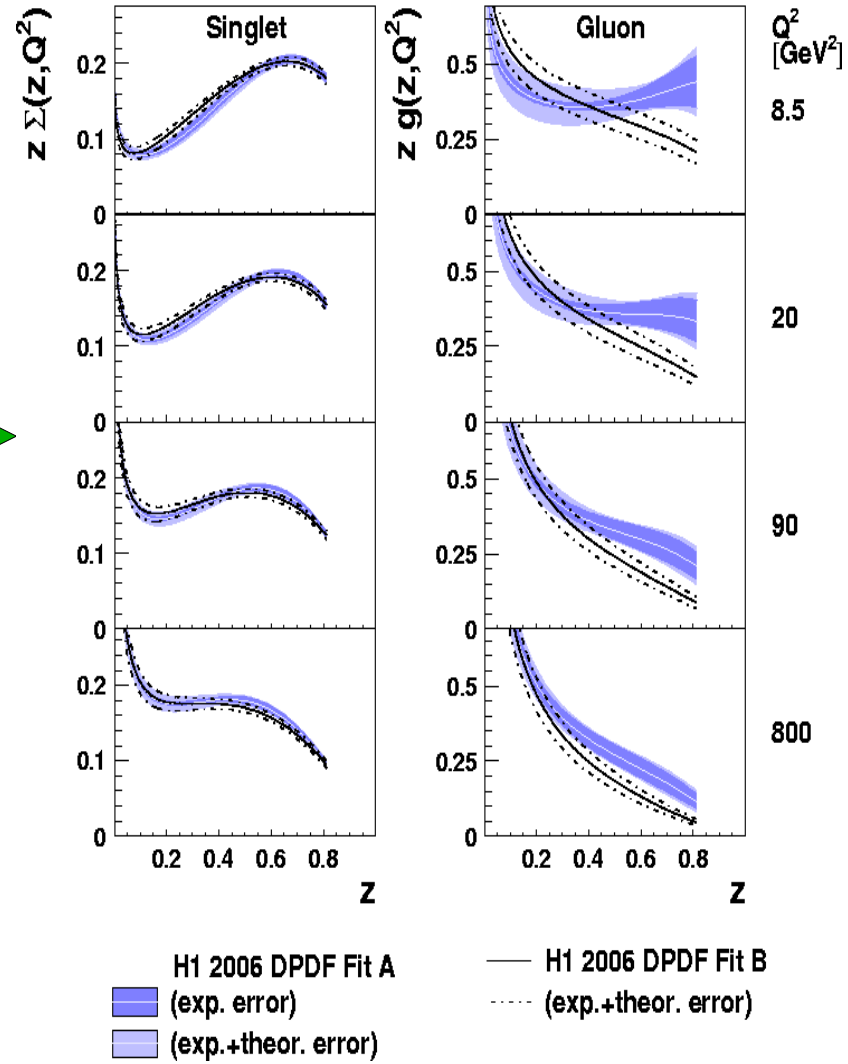
# Diffraction PDFs



H1 LRG published  
(hep-ex/0606004)



Extract the  
dPDFs

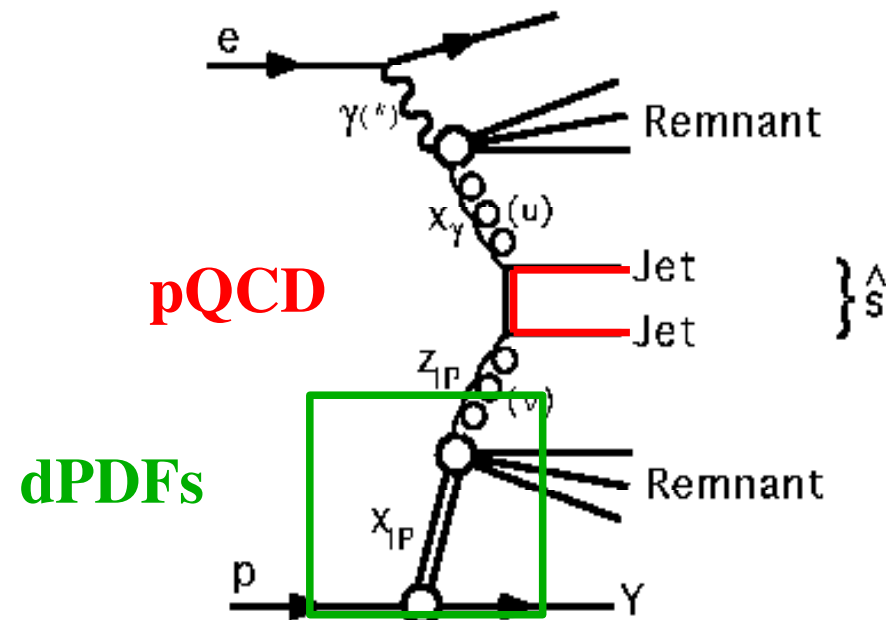
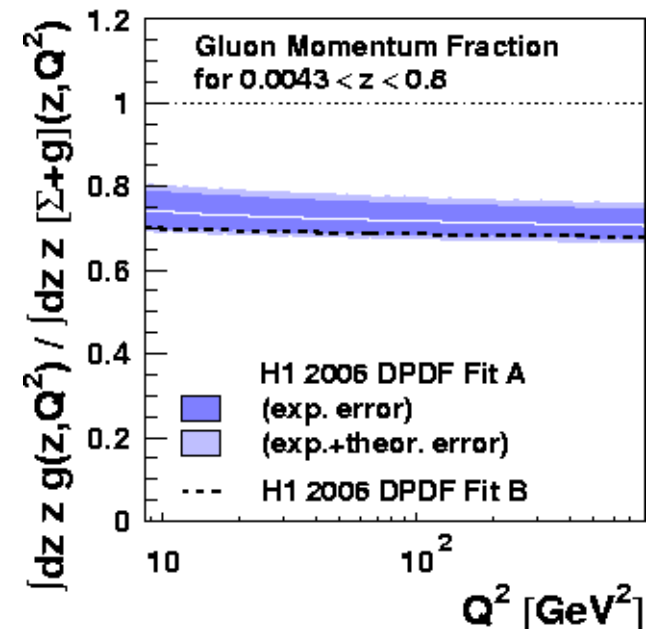


# Diffraction semi-inclusive processes

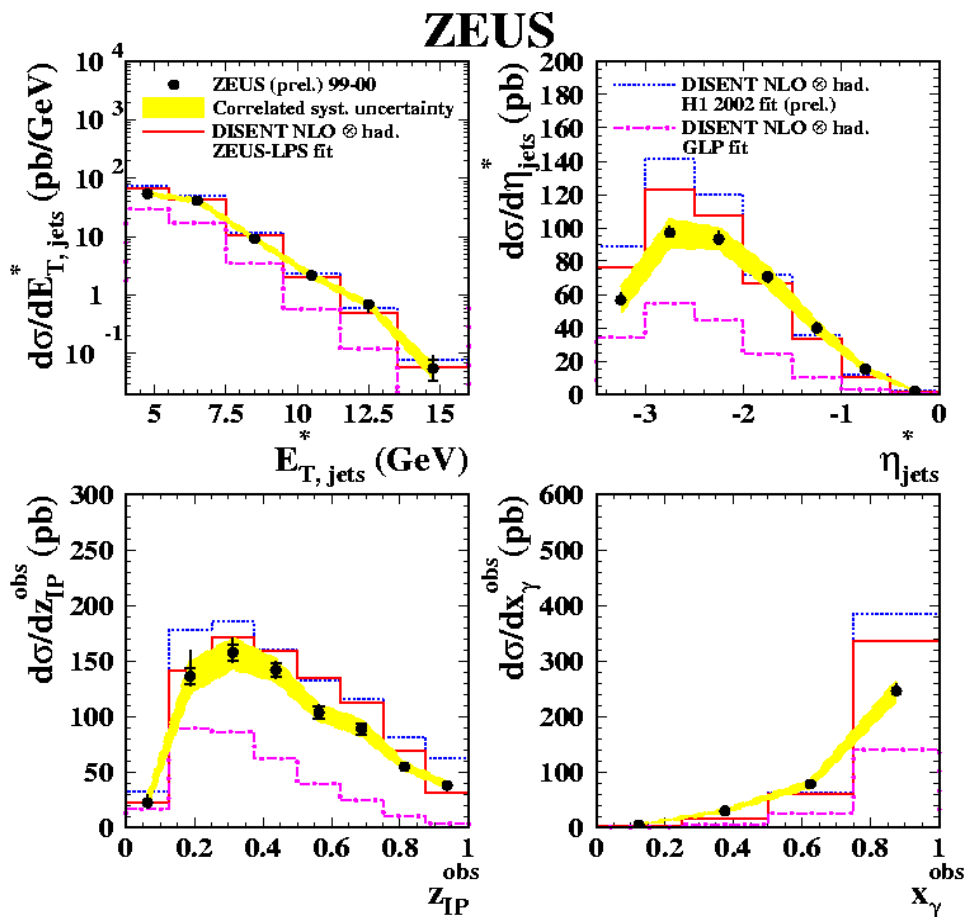
- Choose processes with a hard scale (be sure to be in a pQCD framework)
- A lot of gluons in the dPDFs ! Processes sensitive to gluon content are preferred.

## → Dijets and open charm

- Check QCD factorisation comparison DATA vs NLO theoretical prediction: not only DIS, also  $\gamma p$  (i.e.  $Q^2 \approx 0$ )
- Eventually add these data to the inclusive ones for a more constrained fit to the dPDFs.



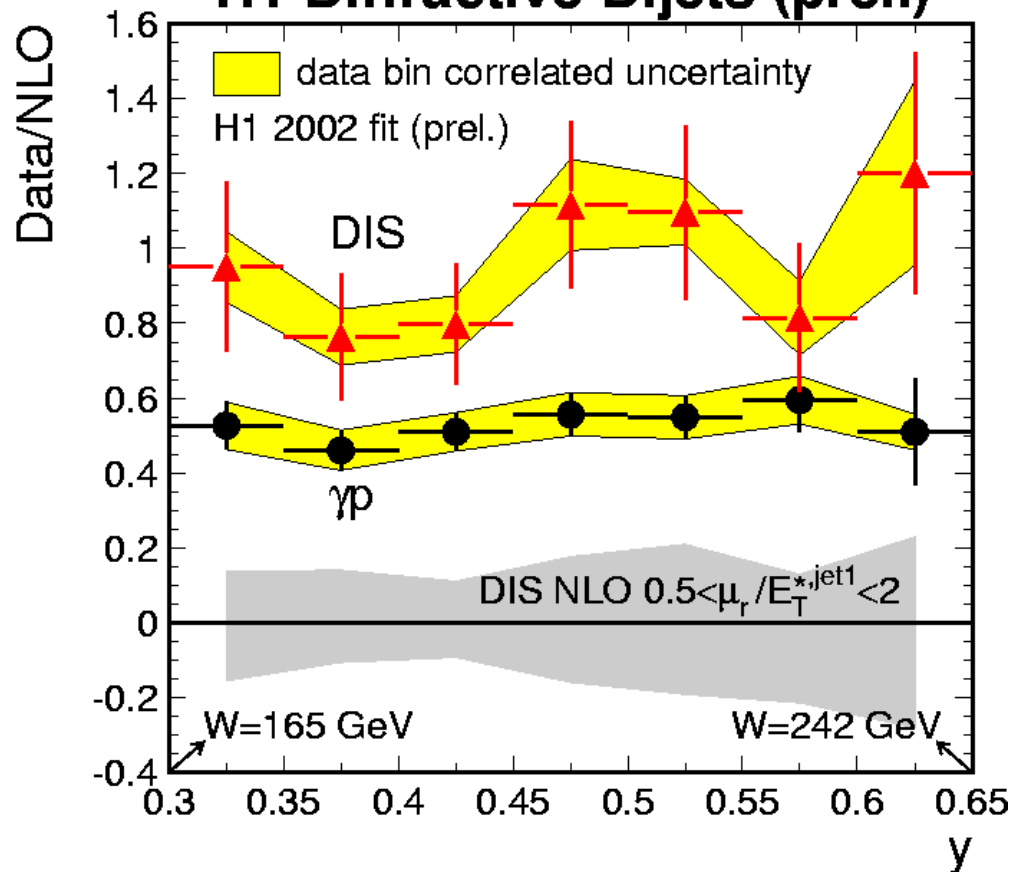
# Diffractive dijets



## Diffr DIS dijets vs NLO:

- Different dPDFs used for the NLO predictions
- General good agreement with data
- Large theoretical uncertainties (~40%, not shown)

## H1 Diffractive Dijets (prel.)

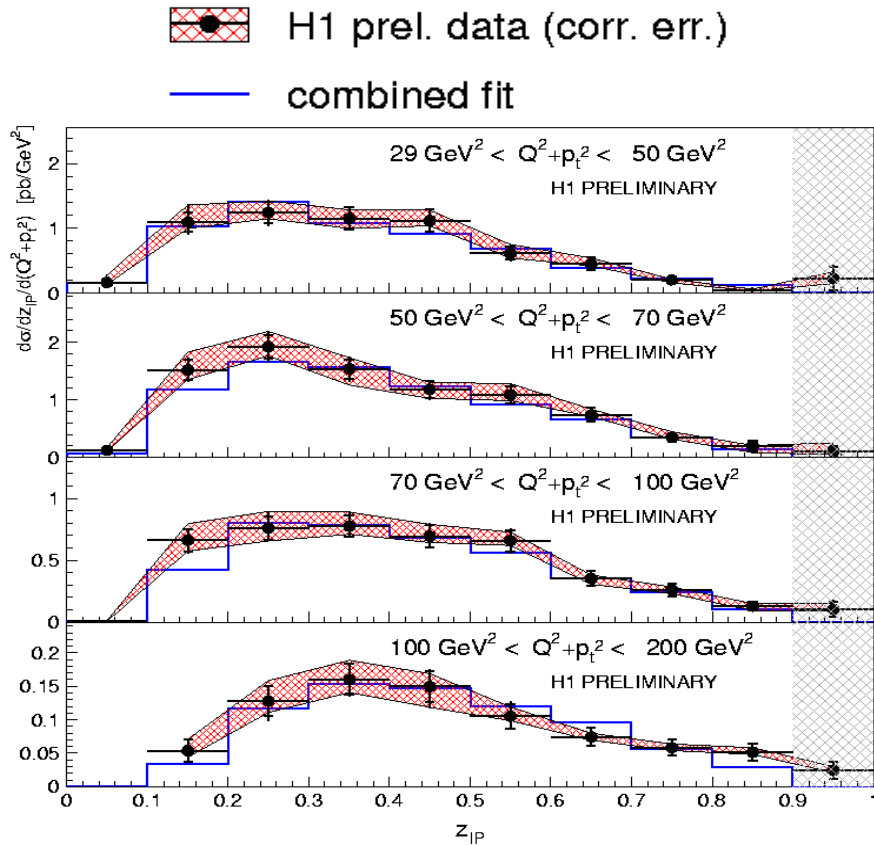


## Ratio Diffr dijets over NLO:

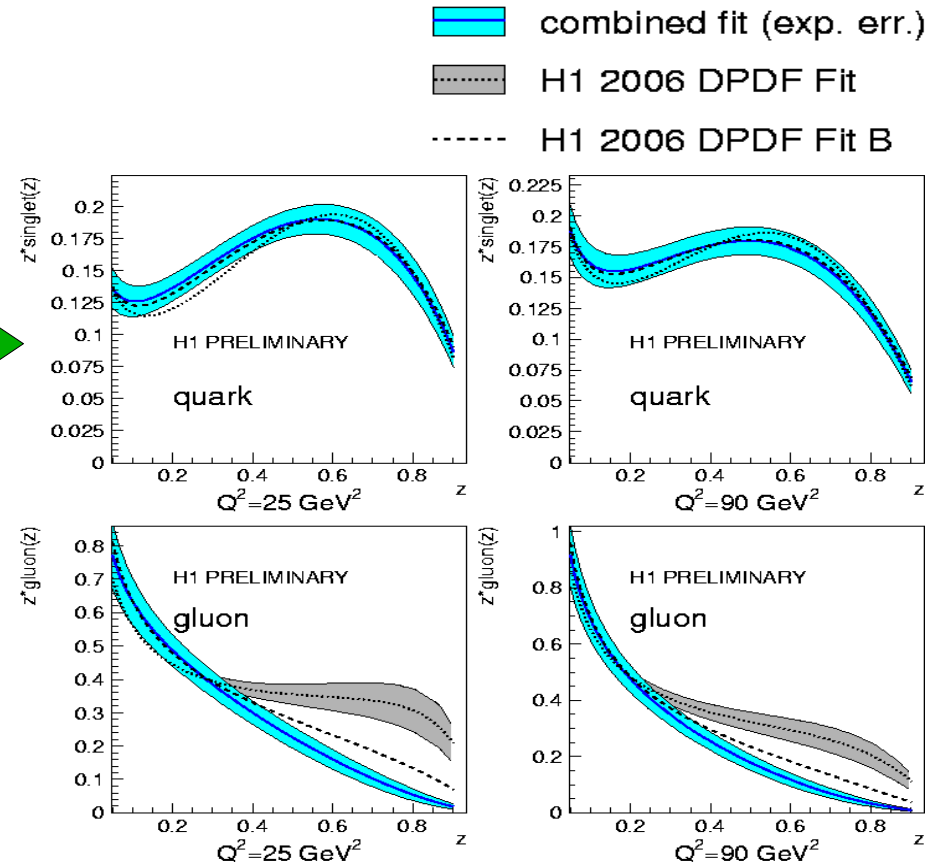
- Good agreement in DIS
- Suppression for  $\gamma p$

# Combined fit dijets+ inclusive

## Diffr dijets in DIS (double differential)

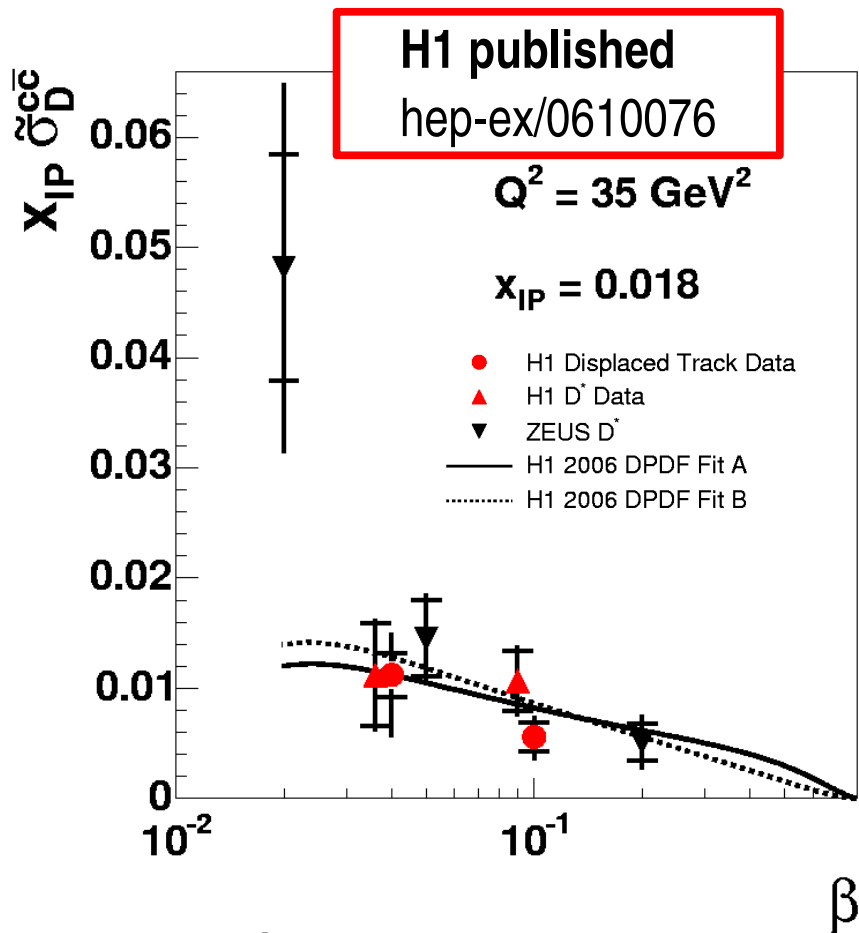


## Combined fit (dijets + $F_2^D$ )



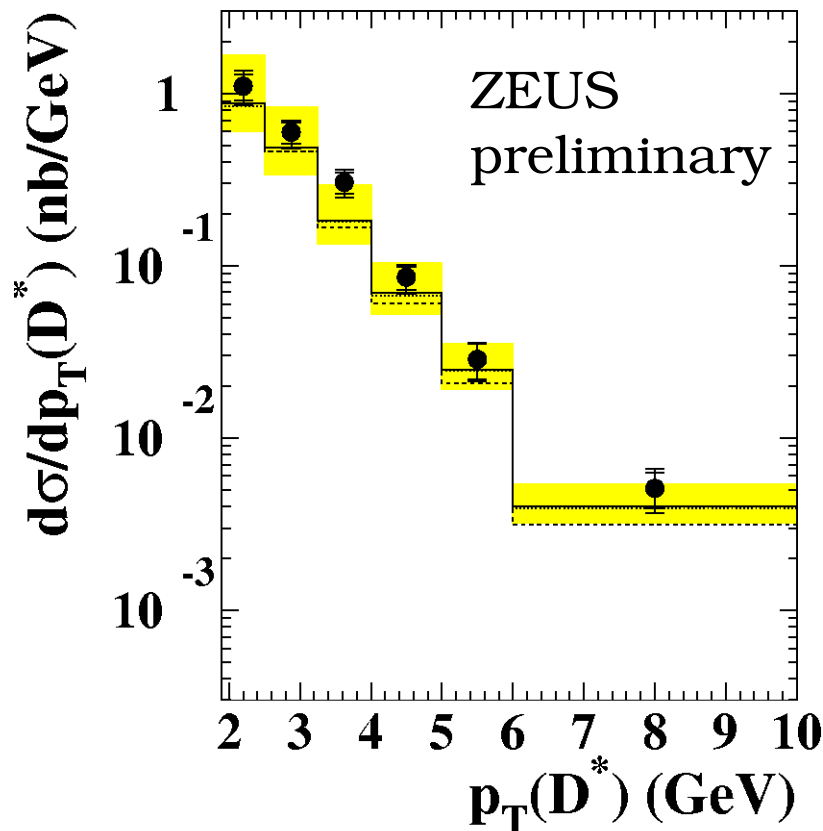
- Extended kinematic coverage
- Uncertainty on gluon dPDF reduced
- NLO fit describes well both dijets and inclusive
- Validation of QCD factorisation theorem in diffr DIS

# Diffractive $D^*$



## DIS measurement:

- Statistically limited
- Good agreement btw experiments and theory



## $\gamma p$ measurement:

- Comparison to many NLO predictions
- Statistically limited
- Huge theoretical uncertainty
- NO evidence of factorisation breaking

# Summary

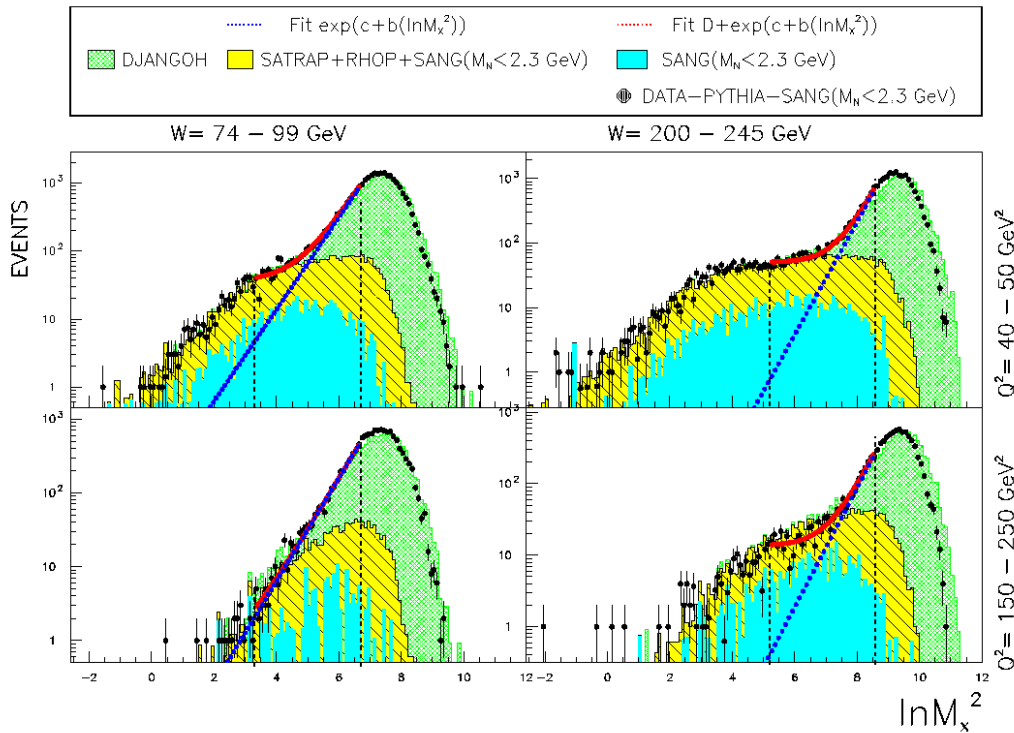
- At HERA diffraction is studied within the QCD framework
  - Many final states under examination
  - Several experimental techniques
  - Diffractive PDFs are extracted
  - Diffractive PDFs can be used for many theoretical predictions (QCD factorisation for diffraction).
- Diffractive final states are a powerful benchmark for the theory
  - $D^*$  in DIS confirms QCD factorisation
  - Dijets in DIS can put an important constrain on the dPDF
  - Dijets in  $\gamma p$  show factorisation breaking
  - $D^*$  in  $\gamma p$  studies are statistically limited but no clear hint of factorisation breaking is observed.



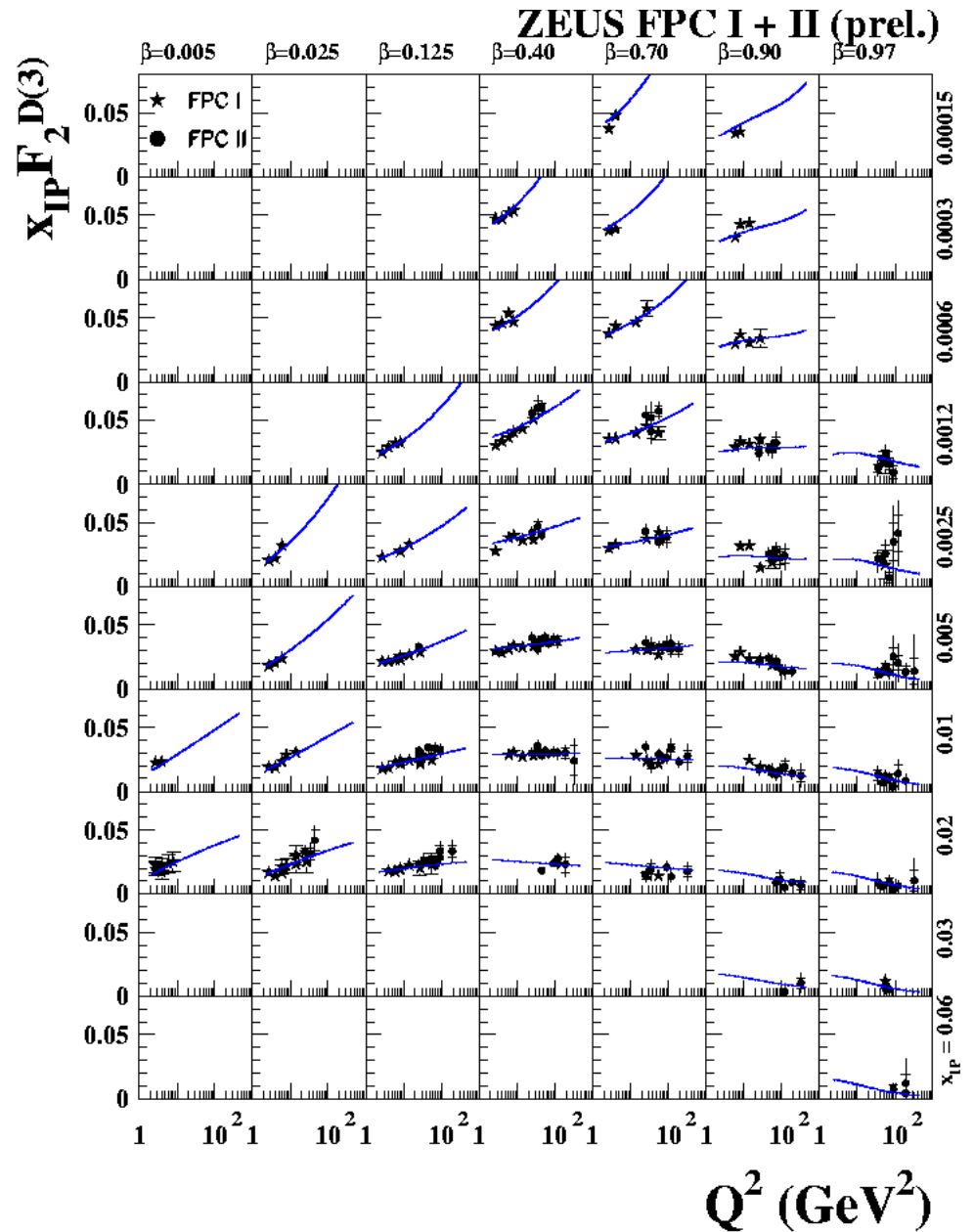
# Backup slides

# Inclusive diffractive DIS

## $ep \rightarrow epX$ ( $M_X$ method)

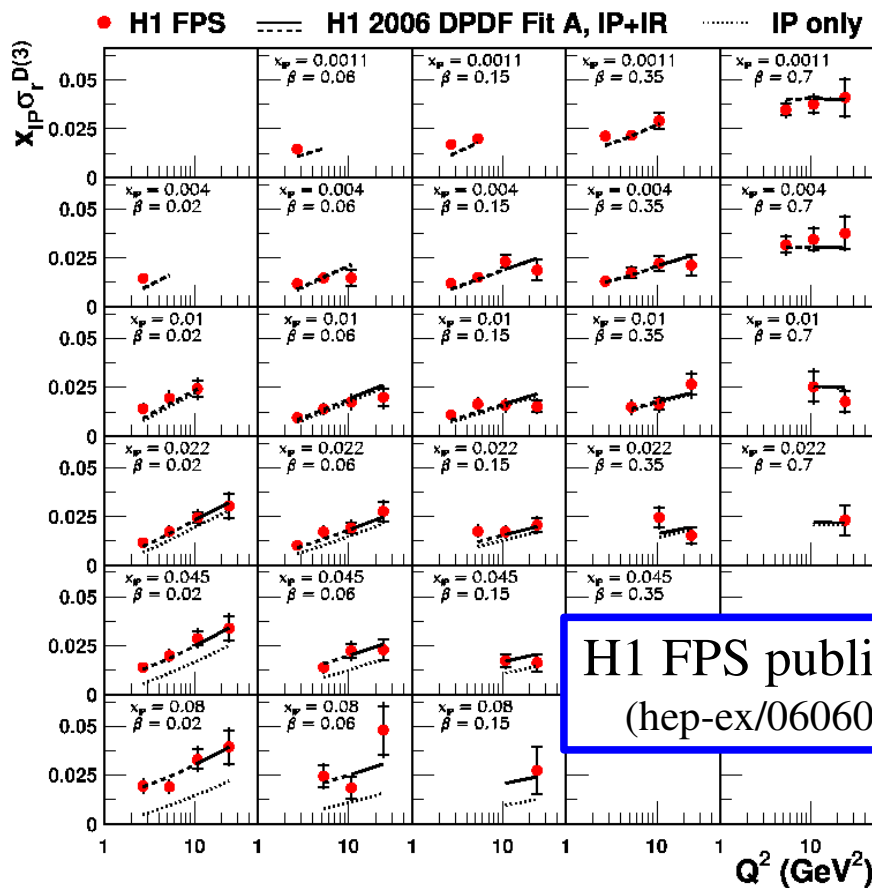


- ZEUS preliminary results
- Diffractive tail at low  $M_X^2$
- Diffractive sample extracted statistically from the total (nondiffr+diffr) DIS sample
- Diffractive sample used for extracting  $F_2^D$



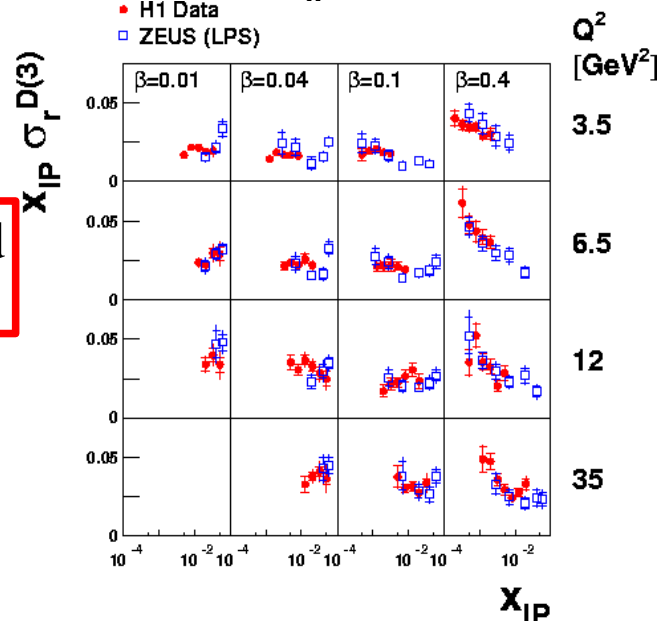
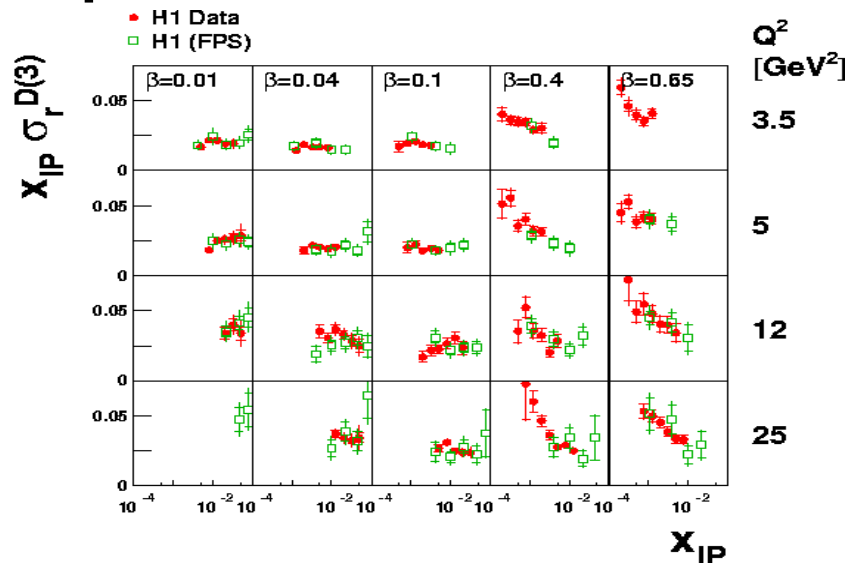
# Inclusive diffractive DIS

$$ep \rightarrow epX$$



H1 FPS published  
(hep-ex/0606003)

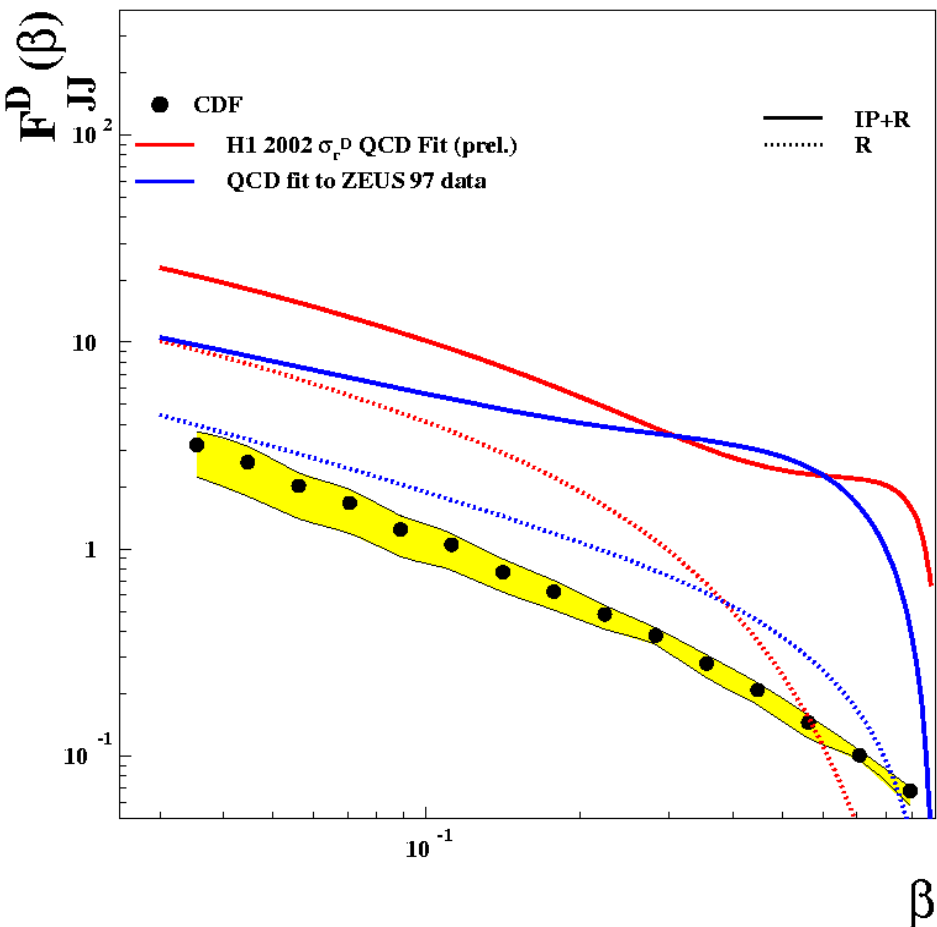
H1 LRG published  
(hep-ex/0606004)



Good agreement btw different selection methods and different experiments

# QCD factorisation breaking

## Diffraction dijet production at CDF

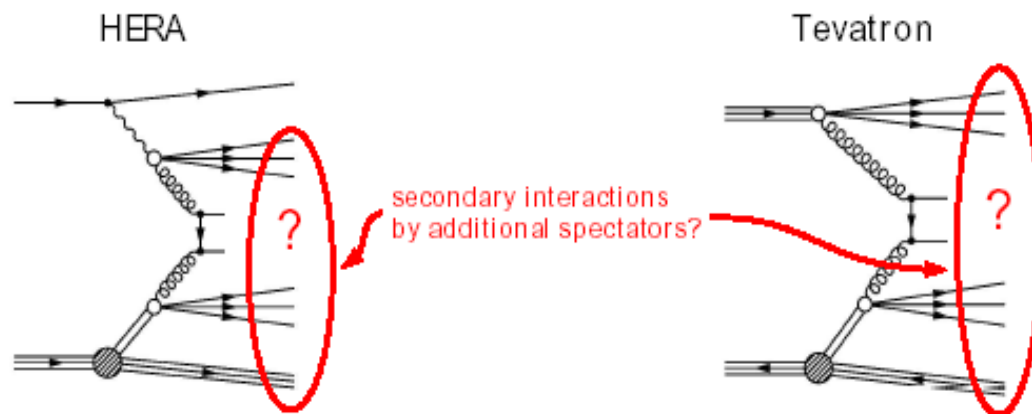


HERA dPDFs don't work at Tevatron !

Predictions overestimate data.

Most accepted interpretation: secondary interactions.

One can correct for that and restore the agreement theory/data.



At HERA the same thing should be visible in PHP ( $Q^2 \approx 0$ ) when the photon behaves like a hadron (resolved photon).